Edgar Filing: GUIRE PATRICK E - Form 5

Form 5 November 12, 2004				
FORM 5			OMB AP	PROVAL
UNITED STATES Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 3 Holdings Section 17(a) of the Perorted	S SECURITIES AND EXCHANGE Washington, D.C. 20549 FATEMENT OF CHANGES IN BEN OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchang Public Utility Holding Company Act of) of the Investment Company Act of 19	NEFICIAL ge Act of 1934, of 1935 or Section	OMB Number: Expires: Estimated av burden hour response	•
1. Name and Address of Reporting Person <u>*</u> GUIRE PATRICK E	2. Issuer Name and Ticker or Trading Symbol SURMODICS INC [SRDX]	5. Relationship of I Issuer	Reporting Perso	on(s) to
(Last) (First) (Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 09/30/2004	(Check X_ Director X_ Officer (give		Owner (specify
9924 WEST 74TH STREET	07/30/2004	below)	below) Vice President	
(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joi (check	int/Group Repo	rting

EDEN PRAIRIE, MNÂ 55344

GUIRE PATRICK E

X Form Filed by One Reporting Person ____ Form Filed by More than One Reporting Person

(City)	(State)	(Zip) Tab	le I - Non-Der	ivative Se	curiti	es Acquir	ed, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi (A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/07/2002	Â	P4	1,700	A	\$ 28.11	219,179	D	Â
Common Stock	10/17/2003	Â	G	1,450	D	\$ 0	217,729	D	Â
Common Stock	07/23/2004	Â	G	1,000	D	\$0	217,329 <u>(1)</u>	D	Â
Common Stock	08/13/2002	Â	P4	490	А	\$ 29.32	132,490	I	By Spouse

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 2270 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Secu Acq (A)	vative urities uired or oosed D) r. 3,		ate	7. Title and A Underlying S (Instr. 3 and	Securities
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 3.875	Â	Â	Â	Â	Â	(2)	09/21/2005	Common Stock	10,000
Employee Stock Option (Right to Buy)	\$ 29.17	Â	Â	Â	Â	Â	(<u>3)</u>	03/17/2010	Common Stock	10,000
Employee Stock Option (Right to Buy)	\$ 21.36	Â	Â	Â	Â	Â	(4)	01/06/2011	Common Stock	5,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
GUIRE PATRICK E 9924 WEST 74TH STREET EDEN PRAIRIE, MN 55344	ÂX	Â	Senior Vice President	Â			
Signatures							

Patrick E. Guire	11/12/2004
<u>**</u> Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 600 shares acquired in Employee Stock Purchase Plan.
- (2) Exercisable in annual increments of 2,000 shares each commencing 9/21/99
- (3) Exercisable in annual increments of 2,000 shares each commencing 3/17/04
- (4) Exercisable in annual increments of 1,000 shares each commencing 1/26/05.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.