Electromed, Inc. Form 4 July 03, 2013

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box subject to

if no longer Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Brock Jeremy T.

> (First) (Middle)

C/O ELECTROMED, INC., 500 SIXTH STREET NW

(Street)

NEW PRAGUE, MN 56071

2. Issuer Name and Ticker or Trading Symbol

Electromed, Inc. [ELMD]

3. Date of Earliest Transaction (Month/Day/Year)

07/01/2013

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

**OMB** 

Number:

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**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Director 10% Owner X\_ Officer (give title Other (specify

below) Chief Financial Officer

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

Execution Date, if (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code (Instr. 8)

Disposed of (D) (Instr. 3, 4 and 5)

Code V Amount (D) Price

5. Amount of Securities Beneficially Owned Following Reported

Transaction(s) (Instr. 3 and 4)

6. Ownership Form: Direct (I) (Instr. 4)

7. Nature of Indirect (D) or Indirect Beneficial Ownership

(9-02)

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(A)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of TransactionDerivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of 8 **Underlying Securities** (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				(
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 2.53				<u>(1)</u>	05/29/2022	Common Stock	18,000
Stock Option (right to buy)	\$ 1.59				<u>(2)</u>	11/15/2022	Common Stock	20,000
Stock Option (right to buy)	\$ 1.31	07/01/2013	A	10,000	(3)	06/30/2023	Common Stock	10,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
reporting of their runner runners	Director	10% Owner	Officer	Other			
Brock Jeremy T.							
C/O ELECTROMED, INC. 500 SIXTH STREET NW			Chief Financial Officer				

## **Signatures**

NEW PRAGUE, MN 56071

/s/ Jeremy T.
Brock

\*\*Signature of Reporting Person

O7/02/2013

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 6,000 shares vest on each of 6/30/13, 6/30/14 and 6/30/15.
- (2) 6,667 shares vest on each of 6/30/13 and 6/30/14 and 6,666 shares vest on 6/30/15.
- (3) 3,333 shares vest on each of 6/30/14 and 6/30/15 and 3,334 shares vest on 6/30/16.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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