

Edgar Filing: Flaherty & Crumrine PREFERRED INCOME OPPORTUNITY FUND INC - Form NSAR-B

Flaherty & Crumrine PREFERRED INCOME OPPORTUNITY FUND INC
Form NSAR-B
January 29, 2018

PAGE 1
000 B000000 11/30/2017
000 C000000 882071
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 FLAHERTY&CRUMRINE PFD INCOME OPPTY FUND INC
001 B000000 811-06495
001 C000000 6267957300
002 A000000 301 E. COLORADO BOULEVARD, SUITE 720
002 B000000 PASADENA
002 C000000 CA
002 D010000 91101
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 FLAHERTY & CRUMRINE INCORPORATED
008 B000001 A
008 C000001 801-19384
008 D010001 PASADENA
008 D020001 CA
008 D030001 91101
010 A000001 THE BANK OF NEW YORK MELLON
010 B000001 84-1761
010 C010001 WESTBOROUGH
010 C020001 MA
010 C030001 01581
010 C040001 1722
012 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.
012 B000001 84-1761
012 C010001 WESTBOROUGH
012 C020001 MA
012 C030001 01581
013 A000001 KPMG LLP
013 B010001 BOSTON
013 B020001 MA
013 B030001 02110
015 A000001 THE BANK OF NEW YORK MELLON CORP.
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10286
018 000000 Y
019 A000000 N
PAGE 2
019 B000000 0
021 000000 0
022 A000001 GOLDMAN SACHS & CO.
022 B000001 13-5108880
022 C000001 6063
022 D000001 0

Edgar Filing: Flaherty & Crumrine PREFERRED INCOME OPPORTUNITY FUND INC - Form NSAR-B

022 A000002 MERRILL LYNCH
022 B000002 13-2511846
022 C000002 5590
022 D000002 0
022 A000003 JPMORGAN SECURITIES
022 B000003 13-3224016
022 C000003 5165
022 D000003 0
022 A000004 JEFFERIES & COMPANY INC.
022 B000004 95-2622900
022 C000004 4879
022 D000004 129
022 A000005 WELLS FARGO SECURITIES, LLC
022 B000005 56-2326000
022 C000005 2598
022 D000005 1796
022 A000006 MORGAN STANLEY
022 B000006 13-2655998
022 C000006 3689
022 D000006 0
022 A000007 CANTOR FINITZGERALD
022 B000007 13-2698893
022 C000007 915
022 D000007 2307
022 A000008 CABRERA CAPITAL MARKETS
022 B000008 01-0892965
022 C000008 1844
022 D000008 1096
022 A000009 CITIGROUP
022 B000009 13-5266470
022 C000009 2887
022 D000009 0
022 A000010 DEUTSCHE BANK SECURITIES
022 B000010 13-2730828
022 C000010 2369
022 D000010 258
023 C000000 43142
023 D000000 6905
024 000000 Y
025 A000001 JPMORGAN CHASE & CO.
025 B000001 13-3224016
025 C000001 E
025 D000001 10363
025 A000002 WELLS FARGO & CO.
025 B000002 56-2326000
PAGE 3
025 C000002 E
025 D000002 9047
025 A000003 MORGAN STANLEY
025 B000003 13-2655998
025 C000003 E
025 D000003 8155
025 A000004 CITIGROUP
025 B000004 13-5266470
025 C000004 E
025 D000004 4814
025 A000005 BANK OF AMERICA
025 B000005 13-4078651
025 C000005 E
025 D000005 4469
025 A000006 GOLDMAN SACHS GROUP
025 B000006 13-5108880

Edgar Filing: Flaherty & Crumrine PREFERRED INCOME OPPORTUNITY FUND INC - Form NSAR-B

| | | | |
|-----|---------|------|------|
| 025 | C000006 | E | |
| 025 | D000006 | | 3636 |
| 026 | A000000 | N | |
| 026 | B000000 | N | |
| 026 | C000000 | N | |
| 026 | D000000 | Y | |
| 026 | E000000 | N | |
| 026 | F000000 | N | |
| 026 | G010000 | N | |
| 026 | G020000 | N | |
| 026 | H000000 | N | |
| 027 | 000000 | N | |
| 028 | A010000 | | 0 |
| 028 | A020000 | | 0 |
| 028 | A030000 | | 0 |
| 028 | A040000 | | 0 |
| 028 | B010000 | | 0 |
| 028 | B020000 | | 0 |
| 028 | B030000 | | 0 |
| 028 | B040000 | | 0 |
| 028 | C010000 | | 0 |
| 028 | C020000 | | 0 |
| 028 | C030000 | | 0 |
| 028 | C040000 | | 0 |
| 028 | D010000 | | 0 |
| 028 | D020000 | | 0 |
| 028 | D030000 | | 0 |
| 028 | D040000 | | 0 |
| 028 | E010000 | | 0 |
| 028 | E020000 | | 0 |
| 028 | E030000 | | 0 |
| 028 | E040000 | | 0 |
| 028 | F010000 | | 0 |
| 028 | F020000 | | 0 |
| 028 | F030000 | | 0 |
| | PAGE | 4 | |
| 028 | F040000 | | 0 |
| 028 | G010000 | | 0 |
| 028 | G020000 | | 0 |
| 028 | G030000 | | 0 |
| 028 | G040000 | | 0 |
| 028 | H000000 | | 0 |
| 030 | A000000 | | 0 |
| 030 | B000000 | 0.00 | |
| 030 | C000000 | 0.00 | |
| 031 | A000000 | | 0 |
| 031 | B000000 | | 0 |
| 032 | 000000 | | 0 |
| 033 | 000000 | | 0 |
| 035 | 000000 | | 0 |
| 036 | B000000 | | 0 |
| 038 | 000000 | | 0 |
| 042 | A000000 | 0 | |
| 042 | B000000 | 0 | |
| 042 | C000000 | 0 | |
| 042 | D000000 | 0 | |
| 042 | E000000 | 0 | |
| 042 | F000000 | 0 | |
| 042 | G000000 | 0 | |
| 042 | H000000 | 0 | |
| 043 | 000000 | | 0 |
| 044 | 000000 | | 0 |

Edgar Filing: Flaherty & Crumrine PREFERRED INCOME OPPORTUNITY FUND INC - Form NSAR-B

045 000000 Y
 046 000000 N
 047 000000 Y
 048 000000 0.000
 048 A010000 100000
 048 A020000 0.625
 048 B010000 0
 048 B020000 0.000
 048 C010000 0
 048 C020000 0.000
 048 D010000 0
 048 D020000 0.000
 048 E010000 0
 048 E020000 0.000
 048 F010000 0
 048 F020000 0.000
 048 G010000 0
 048 G020000 0.000
 048 H010000 0
 048 H020000 0.000
 048 I010000 0
 048 I020000 0.000
 048 J010000 0
 048 J020000 0.000
 048 K010000 100000

PAGE 5

048 K020000 0.500
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 Y
 054 C000000 N
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 Y
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 054 N000000 N
 054 O000000 Y
 055 A000000 N
 055 B000000 Y
 056 000000 Y
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 Y
 060 B000000 Y
 061 000000 0
 062 A000000 N
 062 B000000 0.0
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0

Edgar Filing: Flaherty & Crumrine PREFERRED INCOME OPPORTUNITY FUND INC - Form NSAR-B

062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 0.0
066 A000000 Y
PAGE 6
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 N
066 F000000 Y
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 Y
070 E020000 N
070 F010000 Y
070 F020000 N
070 G010000 Y
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 N
070 I020000 N
070 J010000 Y
070 J020000 Y
070 K010000 Y
070 K020000 Y
070 L010000 Y
070 L020000 Y
070 M010000 Y
070 M020000 N
070 N010000 Y
070 N020000 N
070 O010000 Y
070 O020000 Y
070 P010000 N
070 P020000 N
070 Q010000 N
070 Q020000 N
070 R010000 Y
070 R020000 N

Edgar Filing: Flaherty & Crumrine PREFERRED INCOME OPPORTUNITY FUND INC - Form NSAR-B

071 A000000 43142
 071 B000000 38262
 071 C000000 212972
 071 D000000 18
 072 A000000 12

PAGE 7

072 B000000 6865
 072 C000000 6268
 072 D000000 0
 072 E000000 0
 072 F000000 1217
 072 G000000 212
 072 H000000 0
 072 I000000 36
 072 J000000 23
 072 K000000 0
 072 L000000 54
 072 M000000 55
 072 N000000 0
 072 O000000 0
 072 P000000 1465
 072 Q000000 0
 072 R000000 57
 072 S000000 62
 072 T000000 0
 072 U000000 0
 072 V000000 0
 072 W000000 208
 072 X000000 3389
 072 Y000000 0
 072 Z000000 9744
 072AA000000 1823
 072BB000000 0
 072CC010000 11075
 072CC020000 0
 072DD010000 10939
 072DD020000 0
 072EE000000 0
 073 A010000 0.8770
 073 A020000 0.0000
 073 B000000 0.0000
 073 C000000 0.0000
 074 A000000 0
 074 B000000 0
 074 C000000 0
 074 D000000 9126
 074 E000000 209371
 074 F000000 1523
 074 G000000 0
 074 H000000 0
 074 I000000 2405
 074 J000000 279
 074 K000000 0
 074 L000000 2209
 074 M000000 21
 074 N000000 224934
 074 O000000 516

PAGE 8

074 P000000 102
 074 Q000000 74700
 074 R010000 0
 074 R020000 0

Edgar Filing: Flaherty & Crumrine PREFERRED INCOME OPPORTUNITY FUND INC - Form NSAR-B

| | |
|-------------|-----------------------------------|
| 074 R030000 | 0 |
| 074 R040000 | 210 |
| 074 S000000 | 0 |
| 074 T000000 | 149406 |
| 074 U010000 | 12512 |
| 074 U020000 | 0 |
| 074 V010000 | 11.94 |
| 074 V020000 | 0.00 |
| 074 W000000 | 0.0000 |
| 074 X000000 | 130 |
| 074 Y000000 | 0 |
| 075 A000000 | 0 |
| 075 B000000 | 146172 |
| 076 000000 | 12.02 |
| 077 A000000 | Y |
| 077 B000000 | Y |
| 077 C000000 | N |
| 077 D000000 | N |
| 077 E000000 | N |
| 077 F000000 | N |
| 077 G000000 | N |
| 077 H000000 | N |
| 077 I000000 | N |
| 077 J000000 | N |
| 077 K000000 | N |
| 077 L000000 | N |
| 077 M000000 | N |
| 077 N000000 | N |
| 077 O000000 | N |
| 077 P000000 | N |
| 077 Q010000 | N |
| 077 Q020000 | Y |
| 077 Q030000 | N |
| 078 000000 | N |
| 080 A000000 | Federal Insurance Company (Chubb) |
| 080 B000000 | N/A |
| 080 C000000 | 600 |
| 081 A000000 | N |
| 081 B000000 | 0 |
| 082 A000000 | N |
| 082 B000000 | 0 |
| 083 A000000 | N |
| 083 B000000 | 0 |
| 084 A000000 | N |
| 084 B000000 | 0 |
| 085 A000000 | Y |
| 085 B000000 | N |
| PAGE 9 | |
| 086 A010000 | 79 |
| 086 A020000 | 920 |
| 086 B010000 | 0 |
| 086 B020000 | 0 |
| 086 C010000 | 0 |
| 086 C020000 | 0 |
| 086 D010000 | 0 |
| 086 D020000 | 0 |
| 086 E010000 | 0 |
| 086 E020000 | 0 |
| 086 F010000 | 0 |
| 086 F020000 | 0 |
| 087 A010000 | COMMON STOCK |
| 087 A020000 | 33848E106 |

Edgar Filing: Flaherty & Crumrine PREFERRED INCOME OPPORTUNITY FUND INC - Form NSAR-B

087 A030000 PFO

088 A000000 N

088 B000000 N

088 C000000 N

088 D000000 N

SIGNATURE BRADFORD S. STONE

TITLE CHIEF FINANCIAL