## Edgar Filing: MELROSE KENDRICK B - Form 5

MELROSE KENDRICK B Form 5 November 14, 2002

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# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

#### FORM 5

#### ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

- O Check box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
- O Form 3 Holdings Reported
- O Form 4 Transactions Reported

	Name and Address of Reporting Person*		Issuer Name and Ticker or Trading Symbol	3.	I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)			
	Melrose Kendrick B.		SurModics, Inc. (SRDX)		-			
	(Last) (First) (Middle)	_						
	8111 Lyndale Avenue South	4.	Statement for Month/Year September, 2002	5.	If Amendment, Date of Original (Month/Year)			
	(Street) 6 Minneapolis MN 55420		Relationship of Reporting Person(s) to Issuer (Check All Applicable)	7.	Individual or Joint/Group Reporting (Check Applicable Line)			
			X Director O 10% Own	er	X Form filed by One Reporting Person			
	(City) (State) (Zip)	-	Officer (give title below)		O			

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	O	Other (specify below)	Form filed by More than One Reporting Person
* If the form is filed by mo	re than one reporting per	rson, see instruction 4(b)(v).	

Title of Security (Instr. 3)		Transaction Date (Month/Day/Year)	2A.	Deemed Execution Date, if any (Month/Day/Year)	Code	actionurities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			Amount of Securities Beneficially Owned at the End of Issuer's Fiscal Year (Instr. 3 and 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Nature of Indirect Beneficial Ownership (Instr. 4)
						Amount	(A) or (D)	Price			
Common Stock		10/25/01			G	12,000	D	None			
Common Stock		12/28/01			G	408	D	None	224,657		
						Page 2					

			l, Disposed of, or Beneficially (ons, convertible securities)	Owned	
Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution 4. Trans Date, if any Code (Month/Day/Year) (Instr.	Secur (Acqu (D)	ber of Derivative rities ired (A) or Disposed of . 3, 4 and 5)
				(A	( <b>D</b> )
Director Stock Option (Right to Buy)	\$6.5625		Previously Reported		
Director Stock Option (Right to Buy)	\$2.50		Previously Reported		
Director Stock Option (Right to Buy)	\$25.094		Previously Reported		
Director Stock Option (Right to Buy)	\$34.85	11/21/01	A	1	,000

					otions, convertible secu		
Expiration I (Month/Day/	<b>Date</b>	Title and A of Underlying Securities (Instr. 3 and	3	Price of Solution Security (Instr. 5)	Number of Derivative Securities Beneficially Owned at End of Year (Instr. 4)		11. Nature of Indirect Beneficial Ownershij (Instr. 4)
Date Exercisable	Expiration Date		mount or umber of Shares				
(1)	5/17/09	Common Stock	9,000	None	9,000	D	
(2)	9/18/05	Common Stock	40,000	None	40,000	D	
(3)	9/18/10	Common Stock	2,000	None	2,000	D	
(4)	11/21/11	Common Stock	1,000	None	1,000	D	
Explanation o							
					mmencing 5/17/99.		
					mmencing 9/18/95.		
					mencing 9/18/00.		
4) Exercisable	e in annual i				mencing 11/21/01.		
	_	/s/ Kendri	ck B. Melro	ose	November 13, 2002	_	
			e of Reporterson  B. Melros		Date		

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\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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