

Edgar Filing: FIRST BANCTRUST CORP - Form SC 13G/A

FIRST BANCTRUST CORP
Form SC 13G/A
February 08, 2007

OMB APPROVAL

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC 20549

SCHEDULE 13G

UNDER THE SECURITIES EXCHANGE ACT OF 1934
(AMENDMENT NO. 5) *

FIRST BANCTRUST CORPORATION

(Name of Issuer)

COMMON STOCK PAR VALUE \$.01 PER SHARE

(Title of Class of Securities)

31868F 10 2

(CUSIP Number)

12-31-06

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this
Schedule is filed:

- ☒ Rule 13d-1(b)
☐ Rule 13d-1(c)
☐ Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's
initial filing on this form with respect to the subject class of securities, and
for any subsequent amendment containing information which would alter the
disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed
to be "filed" for the purpose of Section 18 of the Securities Exchange Act of
1934 ("Act") or otherwise subject to the liabilities of that section of the Act
but shall be subject to all other provisions of the Act (however, see the
Notes).

PERSONS WHO RESPOND TO THE COLLECTION OF INFORMATION CONTAINED IN THIS FORM ARE
NOT REQUIRED TO RESPOND UNLESS THE FORM DISPLAYS A CURRENTLY VALID OMB CONTROL
NUMBER.

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CUSIP NO. 31868F 10 2

1. Names of Reporting Persons.
I.R.S. Identification Nos. of above persons (entities only).

FIRST BANCTRUST CORPORATION EMPLOYEE STOCK OWNERSHIP PLAN TRUST

2. Check the Appropriate Box if a Member of a Group (See Instructions)
(a) ☐
(b) ☐

3. SEC Use Only

4. Citizenship or Place of Organization

STATE CHARTERED STOCK SAVINGS INSTITUTION'S EMPLOYEE STOCK OWNERSHIP
PLAN ORGANIZED IN ILLINOIS

Number of	5.	Sole Voting Power
Shares		90,606 SHARES
Beneficially	6.	Shared Voting Power
Owned by		138,544 SHARES
Each	7.	Sole Dispositive Power
Reporting		90,606 SHARES
Person With:	8.	Shared Dispositive Power
		138,544 SHARES

9. Aggregate Amount Beneficially Owned by Each Reporting Person
229,150 SHARES

10. Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares
(See Instructions)
☐

11. Percent of Class Represented by Amount in Row (9)
9.9%

12. Type of Reporting Person (See Instructions)
EP

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ITEM 1.

- (A) NAME OF ISSUER:
FIRST BANCTRUST CORPORATION
- (B) ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES
101 SOUTH CENTRAL AVENUE, PARIS, ILLINOIS 61944

ITEM 2.

- (A) NAME OF PERSON FILING:
FIRST BANCTRUST CORPORATION EMPLOYEE STOCK OWNERSHIP PLAN TRUST
TRUSTEE: FIRST BANKERS TRUST SERVICES, INC., BROADWAY AT 12TH STREET, QUINCY, ILLINOIS 62305
- (B) ADDRESS OF PRINCIPAL BUSINESS OFFICE OR, IF NONE, RESIDENCE
101 SOUTH CENTRAL AVENUE, PARIS, ILLINOIS 61944
- (C) CITIZENSHIP
STATE CHARTERED STOCK SAVINGS INSTITUTION'S EMPLOYEE STOCK OWNERSHIP PLAN ORGANIZED IN ILLINOIS
- (D) TITLE OF CLASS OF SECURITIES
COMMON STOCK PAR VALUE \$.01 PER SHARE
- (E) CUSIP NUMBER:
31868F 10 2

ITEM 3.

IF THIS STATEMENT IS FILED PURSUANT TO Section 240.13D-1(B) OR Section 240.13(D)-2(B) OR (C), CHECK WHETHER THE PERSON FILING IS A:

- (F) ☒ AN EMPLOYEE BENEFIT PLAN IN ACCORDANCE WITH Section 240.13d-1(b) (1) (ii) (F)

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ITEM 4. OWNERSHIP

- (A) AMOUNT BENEFICIALLY OWNED
229,150 SHARES
- (B) PERCENT OF CLASS

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9.9%

(C) NUMBER OF SHARES AS TO WHICH THE PERSON HAS:

- (I) SOLE POWER TO VOTE OR DIRECT THE VOTE
90,606 SHARES REPRESENTING UNALLOCATED SHARES
- (II) SHARED POWER TO VOTE OR DIRECT THE VOTE
138,544 REPRESENTING ALLOCATED SHARES
- (III) SOLE POWER TO DISPOSE OR TO DIRECT THE DISPOSITION OF
90,606 SHARES
- (IV) SHARED POWER TO DISPOSE OR DIRECT THE DISPOSITION OF
138,544 SHARES

ITEM 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS

NOT APPLICABLE

ITEM 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON

NOT APPLICABLE

ITEM 7. IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED
THE SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY

NOT APPLICABLE

ITEM 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP

NOT APPLICABLE

ITEM 9. NOTICE OF DISSOLUTION OF GROUP

NOT APPLICABLE

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ITEM 10. CERTIFICATION

THE FOLLOWING CERTIFICATION SHALL BE INCLUDED IF THE STATEMENT IS FILED
PURSUANT TO RULE 13D-1(B):

BY SIGNING BELOW, I CERTIFY THAT, TO THE BEST OF MY KNOWLEDGE AND
BELIEF, THE SECURITIES REFERRED TO ABOVE WERE ACQUIRED IN THE ORDINARY COURSE OF
BUSINESS AND WERE NOT ACQUIRED FOR THE PURPOSE OF AND DO NOT HAVE THE EFFECT OF
CHANGING OR INFLUENCING THE CONTROL OF THE ISSUER OF SUCH SECURITIES AND WERE
NOT ACQUIRED IN CONNECTION WITH OR AS A PARTICIPANT IN ANY TRANSACTION HAVING
SUCH PURPOSES OR EFFECT.

SIGNATURE

AFTER REASONABLE INQUIRY AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, I
CERTIFY THAT THE INFORMATION SET FORTH IN THIS STATEMENT IS TRUE, COMPLETE AND

CORRECT.

FEBRUARY 7, 2007

FIRST BANCTRUST CORPORATION EMPLOYEE STOCK
OWNERSHIP PLAN TRUST BY FIRST BANKERS TRUST
SERVICES, INC. AS TRUSTEE

/s/ Linda Shultz

Linda Shultz, Trust Officer

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