## Edgar Filing: MKS INSTRUMENTS INC - Form 4

MKS INST Form 4 April 12, 20	RUMENTS INC											
										OMB APPROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSI Washington, D.C. 20549							OMMISSION	OMB Number:	3235-0287			
Check t if no lou subject Section Form 4 Form 5 obligati may col	nger to 16. or Filed pu ons Section 17	MENT OF rsuant to S (a) of the F	Expires:January 31Expires:2005Estimated averageburden hours perresponse0.5									
See Inst 1(b).		30(h)	of the I	nvestn	nen	t Compa	ny A	ct of 1940	)			
(Print or Type	Responses)											
1. Name and EMERSON		2. Issuer Name <b>and</b> Ticker or Trading Symbol MKS INSTRUMENTS INC [MKSI]						5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (	(Middle) 3. Date of Earliest Transaction (Check					k all applicable)					
8000 W. F.	(Month/Day/Year) 04/10/2006					i	DirectorOfficer (give titleOther (specify below)Other (specify below)					
	Filed(Month/Day/Year)						<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>Form filed by One Reporting Person</li> <li>X_ Form filed by More than One Reporting</li> </ul>					
51 LUUIS	, MO 63136								Person			
(City)	(State)	(Zip)	Tal	ble I - N	lon-	Derivative	e Secu	rities Acqu	ired, Disposed of,	or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	nsaction Date 2A. Deemed h/Day/Year) Execution Date, i any (Month/Day/Yea			Code (Instr. 3, 4 and 5) (Instr. 8) (A) or					6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	04/10/2006			S	v		(D) D	Price 22.327 <u>(1)</u> (2)	7,371,711	Ι	Through a subsidiary (3)	
Common Stock	04/11/2006			S		15,000	D	\$ 22.7222 (4) (5)	7,356,711	I	Through a subsidiary $(3)$	
Common Stock									1,065,182	D (6)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of<br/>information contained in this form are not<br/>required to respond unless the formSEC 1474<br/>(9-02)

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# displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address		Relationsh				
	Director	10% Owner	Officer	Other		
EMERSON ELECTRIC CO 8000 W. FLORISSANT AVE. ST LOUIS, MO 63136		Х				
ASTEC AMERICA INC 5810 VAN ALLEN WAY CARLSBAD, CA 92008	810 VAN ALLEN WAY X					
Signatures						
/s/ Harley M. Smith, Assistant S Electric Co.	04/12/2006					
<u>**</u> Signature of Rep	Date					
/s/ Harley M. Smith, Secretary f	04/12/2006					
<u>**</u> Signature of Rep		Date				

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The sales were effected in multiple transactions, at varying prices, on April 10, 2006, as follows and as described in Footnote 2 below: 200 shares at 22.13; 200 at 22.14; 100 at 22.15; 400 at 22.17; 400 at 22.13; 900 at 22.22; 100 at 22.23; 200 at (1)  $222.24 \pm 270$  at 222.23; 200 at 222.23

- \$22.24; 1,700 at \$22.25; 511 at \$22.26; 389 at \$22.27; 600 at \$22.28; 900 at \$22.29; 1,700 at \$22.30; 500 at \$22.31; 200 at \$22.32; 600 at \$22.34; 400 at \$22.35; 100 at \$22.36; 400 at \$22.38; and 200 at \$22.39.
- (2) This footnote sets forth additional detail with respect to the transactions described in Footnote 1, as follows: 700 shares at \$22.40; 400 at \$22.41; 300 at \$22.42; 400 at \$22.43; 100 at \$22.44; 300 at \$22.45; 100 at \$22.46; 200 at \$22.47; 100 at \$22.50; 200 at \$22.53; 400 at

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\$22.56; 100 at \$22.78; 200 at \$22.80; and 200 at \$22.97. The weighted average sales price for these transactions was \$22.3270 per share.

(3) The reported securities are owned directly by Astec America Inc. The Reporting Person is the ultimate parent company of Astec America Inc.

The sales were effected in multiple transactions, at varying prices, on April 11, 2006, as follows and as described in Footnote 5 below:

(4) 200 shares at \$22.23; 200 at \$22.31; 100 at \$22.35; 200 at \$22.37; 200 at \$22.38; 100 at \$22.40; 200 at \$22.41; 300 at \$22.46; 100 at \$22.48; 100 at \$22.51; 200 at \$22.54; 300 at \$22.57; 200 at \$22.58; 200 at \$22.59; 200 at \$22.62; 200 at \$22.63; 200 at \$22.64; 300 at \$22.67; 300 at \$22.67; 300 at \$22.69; 646 at \$22.70; 454 at \$22.71; 700 at \$22.72; 500 at \$22.73; 100 at \$22.74; and 500 at \$22.75.

This footnote sets forth additional detail with respect to the transactions described in Footnote 4, as follows: 300 shares at \$22.76; 300 at \$22.77; 1,200 at \$22.78; 700 at \$22.79; 1,313 at \$22.80; 1,200 at \$22.81; 600 at \$22.82; 1,013 at \$22.83; 400 at \$22.85; 174 at \$22.86; 500 at \$22.87; 100 at \$22.89; 100 at \$22.90; 200 at \$22.94; 1 at \$22.95; 99 at \$22.96; and 100 at \$22.98. The weighted average sales

- price for these transactions was \$22.7222 per share.
- (6) The reported securities are owned directly by Emerson Electric Co.

### **Remarks:**

(5)

#### See Exhibit 99.1 - Joint Filer Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.