

MAXIMUS INC
Form 4
February 18, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
POND PETER

(Last) (First) (Middle)

**MAXIMUS, INC. ATTN:
TREASURY DEPT., 1891 METRO
CENTER DRIVE**

(Street)

RESTON, VA 20190

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

MAXIMUS INC [MMS]

3. Date of Earliest Transaction
(Month/Day/Year)

02/14/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	02/14/2014		M		300	A	\$ 9.058 300
Common Stock	02/14/2014		S		300	D	\$ 46.66 0
Common Stock	02/14/2014		M		316	A	\$ 8.598 316
Common Stock	02/14/2014		S		316	D	\$ 46.66 0
Common Stock	02/14/2014		M		524	A	\$ 8.675 524

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Common Stock	02/14/2014	S	524	D	\$ 46.66	0	D
Common Stock	02/14/2014	M	572	A	\$ 7.962	572	D
Common Stock	02/14/2014	S	572	D	\$ 46.65	0	D
Common Stock	02/14/2014	M	636	A	\$ 7.165	636	D
Common Stock	02/14/2014	S	636	D	\$ 46.6	0	D
Common Stock	02/14/2014	M	380	A	\$ 7.19	380	D
Common Stock	02/14/2014	S	380	D	\$ 46.614	0	D
Common Stock	02/14/2014	M	648	A	\$ 7	648	D
Common Stock	02/14/2014	S	648	D	\$ 46.608	0	D
Common Stock	02/14/2014	M	376	A	\$ 7.248	376	D
Common Stock	02/14/2014	S	376	D	\$ 46.61	0	D
Common Stock	02/14/2014	M	624	A	\$ 7.248	624	D
Common Stock	02/14/2014	S	624	D	\$ 46.56	0	D
Common Stock	02/14/2014	M	340	A	\$ 7.992	340	D
Common Stock	02/14/2014	S	340	D	\$ 46.63	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Puts, Calls, Warrants, Options, Convertible Securities (Instr. 3 and 4)
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Derivative Security			Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 9.058	02/14/2014		M		300		05/03/2004	05/03/2014	Common Stock	300
Stock Options	\$ 8.598	02/14/2014		M		316		06/21/2004	06/21/2014	Common Stock	316
Stock Options	\$ 8.675	02/14/2014		M		524		06/22/2004	06/22/2014	Common Stock	524
Stock Options	\$ 7.962	02/14/2014		M		572		07/19/2004	07/19/2014	Common Stock	572
Stock Options	\$ 7.992	02/14/2014		M		340		07/30/2004	07/30/2014	Common Stock	340
Stock Options	\$ 7.165	02/14/2014		M		636		08/11/2004	08/11/2014	Common Stock	636
Stock Options	\$ 7.19	02/14/2014		M		380		08/16/2004	08/16/2014	Common Stock	380
Stock Options	\$ 7	02/14/2014		M		648		08/17/2004	08/17/2014	Common Stock	648
Stock Options	\$ 7.248	02/14/2014		M		376		09/14/2004	09/14/2014	Common Stock	376
Stock Options	\$ 7.248	02/14/2014		M		624		09/14/2004	09/14/2014	Common Stock	624

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
POND PETER MAXIMUS, INC. ATTN: TREASURY DEPT. 1891 METRO CENTER DRIVE RESTON, VA 20190	X			

Signatures

David R. Francis: As Attorney-In-Fact for: Peter Pond

02/18/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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