**NEVRO CORP** Form 4

November 12, 2014

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

OMB Number:

5. Relationship of Reporting Person(s) to

3235-0287 January 31,

Expires:

**OMB APPROVAL** 

2005

0.5

Estimated average burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Common

Common

Stock

Stock

11/12/2014

11/12/2014

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Three Arch	Management IV	, L.L.C.	Symbol			J	I	ssuer		
			NEVRO CORP [NVRO]				(Check all applicable)			
(Last)	(First)	(Middle)	3. Date o	f Earliest 7	Transaction			`	**	
				Day/Year)			-	Director		Owner
3200 ALPI	NE ROAD		11/12/2	2014			- t	Officer (give to below)	titleX Oth below)	er (specify
								· · · · · · · · · · · · · · · · · · ·	% Beneficial C	Owner
	(Street)		4. If Amo	endment, D	ate Original		$\epsilon$	. Individual or Joi	int/Group Filir	ng(Check
			Filed(Mo	nth/Day/Yea	ar)		A	Applicable Line)		
DODEOL A	MALLEN CA	24020					-	Form filed by Or X_ Form filed by M	1 0	
PORTOLA	VALLEY, CA	94028					_	Person		
(City)	(State)	(Zip)	Tab	le I - Non-	Derivative Sec	uritie	s Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of	2. Transaction Dat			3.	4. Securities	_	red (A)		6.	7. Nature of
Security (Instr. 3)	(Month/Day/Year)	Execution any	n Date, if	Transacti Code	omr Disposed of (Instr. 3, 4 an			Securities Beneficially	Ownership Form:	Indirect Beneficial
(msu. 3)		-	Day/Year)	(Instr. 8)	(111501. 5, 4 an	iu <i>5)</i>		Owned	Direct (D)	Ownership
		`		`				Following	or Indirect	(Instr. 4)
						(A)		Reported Transaction(s)	(I) (Instr. 4)	
						or		(Instr. 3 and 4)	(111801.4)	
				Code V	Amount	(D)	Price	`		See
Common	11/12/2014			С	1,272,187	A	(2)	1,276,263 (1)	T	footnote
Stock	11/12/2014			C	<u>(1)</u> <u>(2)</u>	А	<u>\</u>	1,270,203	1	(3)
Common	11/12/2014			C	682,475	٨	(4)	1,958,738 (1)	т	See
Stock	11/12/2014			C	(1) (4)	A	<u>(4)</u>	1,938,738 (1)	1	footnote (3)

204,076

28,090 (1)

(1) (5)

(2)

A

<u>(5)</u>

<u>(2)</u>

2,162,814 (1) I

I

28,180 (1)

C

C

See

(3)

See

footnote

footnote

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								(6)
Common Stock	11/12/2014	С	15,069 (1) (4)	A	<u>(4)</u>	43,249 (1)	I	See footnote (6)
Common Stock	11/12/2014	C	4,506 (1) (5)	A	<u>(5)</u>	47,755 <u>(1)</u>	I	See footnote (6)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	TransactionDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series A Preferred Stock	<u>(2)</u>	11/12/2014		C	1,	272,187 (1)	(2)	(2)	Common Stock	1,272,18 (1) (2)
Series B Preferred Stock	<u>(4)</u>	11/12/2014		C	6	582,475 (1)	<u>(4)</u>	<u>(4)</u>	Common Stock	682,475 (1) (4)
Series C Preferred Stock	<u>(5)</u>	11/12/2014		C	2	204,076 (1)	<u>(5)</u>	(5)	Common Stock	204,076 (1) (5)
Series A Preferred Stock	(2)	11/12/2014		C	2	8,090 (1)	(2)	(2)	Common Stock	28,090 (1)
Series B Preferred Stock	<u>(4)</u>	11/12/2014		C	1:	5,069 (1)	<u>(4)</u>	<u>(4)</u>	Common Stock	15,069 (1)
Series C Preferred Stock	<u>(5)</u>	11/12/2014		C	4	4,506 <u>(1)</u>	<u>(5)</u>	<u>(5)</u>	Common Stock	4,506 (1)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Trepozonia o material material	Director	10% Owner	Officer	Other		
Three Arch Management IV, L.L.C. 3200 ALPINE ROAD PORTOLA VALLEY, CA 94028				Former 10% Beneficial Owner		
THREE ARCH PARTNERS IV LP 3200 ALPINE ROAD PORTOLA VALLEY, CA 94028				Former 10% Beneficial owner		
THREE ARCH ASSOCIATES IV LP 3200 ALPINE ROAD PORTOLA VALLEY, CA 94028				Former 10% Beneficial Owner		
JAEGER WILFRED E 3200 ALPINE ROAD PORTOLA VALLEY, CA 94028	X			Former 10% Beneficial Owner		
WAN MARK A 3200 ALPINE ROAD PORTOLA VALLEY, CA 94028				Former 10% Beneficial Owner		

# **Signatures**

/s/ Wilfred E. Jaeger, as managing	member of Three Arch Management IV, L.L.C.	11/12/2014			
	**Signature of Reporting Person	Date			
/s/ Wilfred E. Jaeger, as managing member of Three Arch Management IV, L.L.C. , general partner of Three Arch Partners IV, L.P.					
	**Signature of Reporting Person	Date			
/s/ Wilfred E. Jaeger, as managing member of Three Arch Management IV, L.L.C., general partner of Three Arch Associates IV, L.P.					
	**Signature of Reporting Person	Date			
/s/ Wilfred E. Jaeger		11/12/2014			
	**Signature of Reporting Person	Date			
/s/ Mark A. Wan		11/12/2014			
	***Signature of Reporting Person	Date			

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects a 1-for-24 reverse stock split of the Issuer's outstanding securities effected prior to the effectiveness of the Issuer's S-1 Registration Statement.
- (2) Each share of Series A Preferred Stock was automatically converted on a 1-for-1 basis into Common Stock immediately prior to the closing of the Issuer's initial public offering.
- (3) Shares are held by Three Arch Partners IV, L.P. ("TAP IV"). Three Arch Management IV, L.L.C. ("TAM IV"), the general partner of TAP IV, has sole voting and dispositive power with respect to the securities held by TAP IV. Mark A. Wan and Wilfred E. Jaeger, the managing members of TAM IV, share the voting and dispositive power with respect to the shares held by TAP IV. Such persons and

Reporting Owners 3

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entities disclaim beneficial ownership of the shares held by TAP IV except to the extent of any pecuniary interest therein.

- (4) Each share of Series B Preferred Stock was automatically converted on a 1-for-1 basis into Common Stock immediately prior to the closing of the Issuer's initial public offering.
- (5) Each share of Series C Preferred Stock was automatically converted on a 1-for-1 basis into Common Stock immediately prior to the closing of the Issuer's initial public offering.
- Shares are held by Three Arch Associates IV, L.P. ("TAA IV"). TAM IV, the general partner of TAA IV, has sole voting and dispositive power with respect to the securities held by TAA IV. Mark A. Wan and Wilfred E. Jaeger, the managing members of TAM IV, share the voting and dispositive power with respect to the shares held by TAA IV. Such persons and entities disclaim beneficial ownership of the shares held by TAA IV except to the extent of any pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.