

TRI-CONTINENTAL CORP
Form N-PX
August 25, 2016

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

FORM N-PX

**ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT
COMPANY**

Investment Company Act File Number:	811-00266
Name of Registrant:	Tri-Continental Corporation
Address of Principal Executive Offices:	225 Franklin Street Boston, Massachusetts 02110
Name and address of agent of service:	Christopher O. Petersen, Esq. c/o Columbia Management Investment Advisers, LLC 225 Franklin Street Boston, Massachusetts 02110
Registrant's telephone number including area code:	612-671-4321
Date of fiscal year end:	12/31
Date of reporting period:	07/01/2015 06/30/2016

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***** FORM N-Px REPORT *****

ICA File Number: 811-00266

Reporting Period: 07/01/2015 - 06/30/2016

Tri-Continental Corporation

Item 1. Proxy Voting Record

===== Tri-Continental Corporation =====

ABBOTT LABORATORIES

Ticker: ABT Security ID: 002824100

Meeting Date: APR 29, 2016 Meeting Type: Annual

Record Date: MAR 02, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Robert J. Alpern	For	For	Management
1.2	Elect Director Roxanne S. Austin	For	For	Management
1.3	Elect Director Sally E. Blount	For	For	Management

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1.4	Elect Director W. James Farrell	For	For	Management
1.5	Elect Director Edward M. Liddy	For	For	Management
1.6	Elect Director Nancy McKinstry	For	For	Management
1.7	Elect Director Phebe N. Novakovic	For	For	Management
1.8	Elect Director William A. Osborn	For	For	Management
1.9	Elect Director Samuel C. Scott, III	For	For	Management
1.10	Elect Director Glenn F. Tilton	For	For	Management
1.11	Elect Director Miles D. White	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named	For	For	Management
	Executive Officers' Compensation			

AFLAC INCORPORATED

Ticker: AFL Security ID: 001055102

Meeting Date: MAY 02, 2016 Meeting Type: Annual

Record Date: FEB 24, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Daniel P. Amos	For	For	Management
1b	Elect Director Paul S. Amos, II	For	For	Management
1c	Elect Director W. Paul Bowers	For	For	Management
1d	Elect Director Kriss Cloninger, III	For	For	Management
1e	Elect Director Toshihiko Fukuzawa	For	For	Management
1f	Elect Director Elizabeth J. Hudson	For	For	Management

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1g	Elect Director Douglas W. Johnson	For	For	Management
1h	Elect Director Robert B. Johnson	For	For	Management
1i	Elect Director Thomas J. Kenny	For	For	Management
1j	Elect Director Charles B. Knapp	For	For	Management
1k	Elect Director Joseph L. Moskowitz	For	For	Management
1l	Elect Director Barbara K. Rimer	For	For	Management
1m	Elect Director Melvin T. Stith	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify KPMG LLP as Auditors	For	For	Management

AGILENT TECHNOLOGIES, INC.

Ticker: A Security ID: 00846U101

Meeting Date: MAR 16, 2016 Meeting Type: Annual

Record Date: JAN 19, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Paul N. Clark	For	Against	Management
1.2	Elect Director James G. Cullen	For	For	Management
1.3	Elect Director Tadataka Yamada	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

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4 Declassify the Board of Directors For For Management

ALEXANDRIA REAL ESTATE EQUITIES, INC.

Ticker: ARE Security ID: 015271109

Meeting Date: MAY 12, 2016 Meeting Type: Annual

Record Date: MAR 31, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Joel S. Marcus	For	For	Management
1.2	Elect Director Steven R. Hash	For	For	Management
1.3	Elect Director John L. Atkins, III	For	For	Management
1.4	Elect Director James P. Cain	For	For	Management
1.5	Elect Director Maria C. Freire	For	For	Management
1.6	Elect Director Richard H. Klein	For	For	Management
1.7	Elect Director James H. Richardson	For	For	Management
2	Amend Omnibus Stock Plan	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Ratify Ernst & Young LLP as Auditors	For	For	Management

ALEXION PHARMACEUTICALS, INC.

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Ticker: ALXN Security ID: 015351109

Meeting Date: MAY 11, 2016 Meeting Type: Annual

Record Date: MAR 15, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Leonard Bell	For	For	Management
1.2	Elect Director Felix J. Baker	For	For	Management
1.3	Elect Director David R. Brennan	For	For	Management
1.4	Elect Director M. Michele Burns	For	Withhold	Management
1.5	Elect Director Christopher J. Coughlin	For	For	Management
1.6	Elect Director David L. Hallal	For	For	Management
1.7	Elect Director John T. Mollen	For	Withhold	Management
1.8	Elect Director R. Douglas Norby	For	Withhold	Management
1.9	Elect Director Alvin S. Parven	For	Withhold	Management
1.10	Elect Director Andreas Rummelt	For	For	Management
1.11	Elect Director Ann M. Veneman	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
4	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For	Shareholder

ALKERMES PLC

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Ticker: ALKS Security ID: G01767105

Meeting Date: MAY 25, 2016 Meeting Type: Annual

Record Date: MAR 17, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director David W. Anstice	For	For	Management
1.2	Elect Director Robert A. Breyer	For	For	Management
1.3	Elect Director Wendy L. Dixon	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
3	Approve PricewaterhouseCoopers as Auditors and Authorize Board to Fix Their Remuneration	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Approve the Grant to Issue Shares Under Irish Law	For	For	Management
6a	Amend Articles of Association to Address the Adoption of the Irish Companies Act 2014	For	For	Management
6b	Amend Memorandum of Association to Address the Adoption of the Irish Companies Act 2014	For	For	Management
7	Authorize the Board to Opt-Out the Statutory Pre-Emptions Rights	For	For	Management

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ALPHABET INC.

Ticker: GOOGL Security ID: 02079K305

Meeting Date: JUN 08, 2016 Meeting Type: Annual

Record Date: APR 11, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Larry Page	For	For	Management
1.2	Elect Director Sergey Brin	For	For	Management
1.3	Elect Director Eric E. Schmidt	For	For	Management
1.4	Elect Director L. John Doerr	For	Withhold	Management
1.5	Elect Director Diane B. Greene	For	For	Management
1.6	Elect Director John L. Hennessy	For	Withhold	Management
1.7	Elect Director Ann Mather	For	For	Management
1.8	Elect Director Alan R. Mulally	For	For	Management
1.9	Elect Director Paul S. Otellini	For	For	Management
1.10	Elect Director K. Ram Shriram	For	For	Management
1.11	Elect Director Shirley M. Tilghman	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	Against	Management
4	Amended and Restated Certificate of Incorporation of Google Inc.	For	For	Management
5	Approve Recapitalization Plan for all Stock to Have One-vote per Share	Against	For	Shareholder
6	Report on Lobbying Payments and Policy	Against	For	Shareholder
7	Report on Political Contributions	Against	Against	Shareholder
8	Require a Majority Vote for the	Against	For	Shareholder

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Election of Directors

9	Require Independent Board Chairman	Against	For	Shareholder
10	Report on Gender Pay Gap	Against	Abstain	Shareholder

ALTERA CORPORATION

Ticker: ALTR Security ID: 021441100

Meeting Date: OCT 06, 2015 Meeting Type: Special

Record Date: AUG 12, 2015

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Merger Agreement	For	For	Management
2	Adjourn Meeting	For	For	Management
3	Advisory Vote on Golden Parachutes	For	For	Management

ALTRIA GROUP, INC.

Ticker: MO Security ID: 02209S103

Meeting Date: MAY 19, 2016 Meeting Type: Annual

Record Date: MAR 28, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
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1.1	Elect Director Gerald L. Baliles	For	For	Management
1.2	Elect Director Martin J. Barrington	For	For	Management
1.3	Elect Director John T. Casteen, III	For	Against	Management
1.4	Elect Director Dinyar S. Devitre	For	For	Management
1.5	Elect Director Thomas F. Farrell, II	For	Against	Management
1.6	Elect Director Thomas W. Jones	For	For	Management
1.7	Elect Director Debra J. Kelly-Ennis	For	For	Management
1.8	Elect Director W. Leo Kiely, III	For	For	Management
1.9	Elect Director Kathryn B. McQuade	For	For	Management
1.10	Elect Director George Munoz	For	For	Management
1.11	Elect Director Nabil Y. Sakkab	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Report on Health Impacts of Additives and Chemicals in Products	Against	Abstain	Shareholder
5	Participate in OECD Mediation for Human Rights Violations	Against	Abstain	Shareholder

AMAZON.COM, INC.

Ticker: AMZN Security ID: 023135106

Meeting Date: MAY 17, 2016 Meeting Type: Annual

Record Date: MAR 23, 2016

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#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Jeffrey P. Bezos	For	For	Management
1b	Elect Director Tom A. Alberg	For	For	Management
1c	Elect Director John Seely Brown	For	For	Management
1d	Elect Director William B. Gordon	For	For	Management
1e	Elect Director Jamie S. Gorelick	For	For	Management
1f	Elect Director Judith A. McGrath	For	For	Management
1g	Elect Director Jonathan J. Rubinstein	For	For	Management
1h	Elect Director Thomas O. Ryder	For	For	Management
1i	Elect Director Patricia Q. Stonesifer	For	For	Management
1j	Elect Director Wendell P. Weeks	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Report on Sustainability, Including GHG Goals	Against	Abstain	Shareholder
4	Report on Human Rights Risk Assessment Process	Against	Abstain	Shareholder
5	Report on Political Contributions	Against	Against	Shareholder

AMEREN CORPORATION

Ticker: AEE Security ID: 023608102

Meeting Date: APR 28, 2016 Meeting Type: Annual

Record Date: MAR 08, 2016

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#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Warner L. Baxter	For	For	Management
1.2	Elect Director Catherine S. Brune	For	For	Management
1.3	Elect Director J. Edward Coleman	For	For	Management
1.4	Elect Director Ellen M. Fitzsimmons	For	For	Management
1.5	Elect Director Rafael Flores	For	For	Management
1.6	Elect Director Walter J. Galvin	For	For	Management
1.7	Elect Director Richard J. Harshman	For	For	Management
1.8	Elect Director Gayle P.W. Jackson	For	For	Management
1.9	Elect Director James C. Johnson	For	For	Management
1.10	Elect Director Steven H. Lipstein	For	For	Management
1.11	Elect Director Stephen R. Wilson	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
4	Report Analyzing Renewable Energy Adoption	Against	Abstain	Shareholder
5	Adopt Share Retention Policy For Senior Executives	Against	For	Shareholder

AMERISOURCEBERGEN CORPORATION

Ticker: ABC Security ID: 03073E105

Meeting Date: MAR 03, 2016 Meeting Type: Annual

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Record Date: JAN 04, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Ornella Barra	For	For	Management
1.2	Elect Director Steven H. Collis	For	For	Management
1.3	Elect Director Douglas R. Conant	For	For	Management
1.4	Elect Director D. Mark Durcan	For	For	Management
1.5	Elect Director Richard W. Gochnauer	For	For	Management
1.6	Elect Director Lon R. Greenberg	For	For	Management
1.7	Elect Director Jane E. Henney	For	For	Management
1.8	Elect Director Kathleen W. Hyle	For	For	Management
1.9	Elect Director Michael J. Long	For	For	Management
1.10	Elect Director Henry W. McGee	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Proxy Access	Against	Against	Shareholder

ANTHEM, INC.

Ticker: ANTM Security ID: 036752103

Meeting Date: DEC 03, 2015 Meeting Type: Special

Record Date: OCT 22, 2015

#	Proposal	Mgt Rec	Vote Cast	Sponsor
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1	Issue Shares in Connection with Acquisition	For	For	Management
2	Adjourn Meeting	For	For	Management

APPLE INC.

Ticker: AAPL Security ID: 037833100

Meeting Date: FEB 26, 2016 Meeting Type: Annual

Record Date: DEC 28, 2015

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director James Bell	For	For	Management
1.2	Elect Director Tim Cook	For	For	Management
1.3	Elect Director Al Gore	For	For	Management
1.4	Elect Director Bob Iger	For	For	Management
1.5	Elect Director Andrea Jung	For	For	Management
1.6	Elect Director Art Levinson	For	For	Management
1.7	Elect Director Ron Sugar	For	For	Management
1.8	Elect Director Sue Wagner	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Create Feasibility Plan for Net-Zero GHG Emissions, Including for Major	Against	Abstain	Shareholder

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Suppliers

6	Adopt Policy to Increase Diversity of Senior Management and Board of Directors	Against	Abstain	Shareholder
7	Report on Guidelines for Country Selection	Against	Abstain	Shareholder
8	Adopt Proxy Access Right	Against	Against	Shareholder

ARCHER-DANIELS-MIDLAND COMPANY

Ticker: ADM Security ID: 039483102

Meeting Date: MAY 05, 2016 Meeting Type: Annual

Record Date: MAR 10, 2016

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Alan L. Boeckmann	For	For	Management
1.2	Elect Director Mollie Hale Carter	For	For	Management
1.3	Elect Director Terrell K. Crews	For	For	Management
1.4	Elect Director Pierre Dufour	For	For	Management
1.5	Elect Director Donald E. Felsing	For	For	Management
1.6	Elect Director Juan R. Luciano	For	For	Management
1.7	Elect Director Antonio Maciel Neto	For	For	Management
1.8	Elect Director Patrick J. Moore	For	For	Management
1.9	Elect Director Francisco Sanchez	For	For	Management
1.10	Elect Director Debra A. Sandler	For	For	Management

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1.11	Elect Director Daniel Shih	For	For	Management
1.12	Elect Director Kelvin R. Westbrook	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Mana