

Edgar Filing: PNM RESOURCES - Form 8-K

PNM RESOURCES  
Form 8-K  
August 14, 2002

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 8-K  
CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF THE  
SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest events reported) August 14, 2002  
-----  
August 14, 2002  
-----

PNM RESOURCES, INC.  
-----

(Exact name of registrant as specified in its charter)

New Mexico Commission 85-0468296  
-----  
(State or Other Jurisdiction File Number 333-32170 (I.R.S. Employer  
of Incorporation) ----- Identification) Number)

Alvarado Square, Albuquerque, New Mexico 87158  
-----  
(Address of principal executive offices) (Zip Code)

(505) 241-2700  
-----

(Registrant's telephone number, including area code)

-----  
(Former name, former address and former fiscal year, if changed since last  
report)

Item 5. Other Events

Pursuant to the order issued by the Commission on June 27, 2002, Jeffrey E. Sterba, Chairman, Chief Executive Officer and President of PNM Resources, Inc.

Edgar Filing: PNM RESOURCES - Form 8-K

(the Company) and Max H. Maerki, Senior Vice President and Chief Financial Officer of the Company, filed their sworn statements regarding facts and circumstances relating to exchange act filings with the Commission on August 14, 2002. These sworn statements of Mr. Sterba and Mr. Maerki are filed herein as exhibits 99.1 and 99.2, respectively.

Item 7. Financial Statements, Pro Forma Financial Information and Exhibits

(c) Exhibits

- 99.1 Jeffrey E. Sterba, Chairman, Chief Executive Officer and President of PNM Resources, Inc., statement under oath of principal executive officer regarding facts and circumstances relating to exchange act filings.
- 99.2 Max H. Maerki, Senior Vice President and Chief Financial Officer of the Company, statement under oath of principal financial officer regarding facts and circumstances relating to exchange act filings.

2

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

PNM RESOURCES, INC.

-----  
(Registrant)

Date: August 14, 2002

/s/ John R. Loyack

-----  
John R. Loyack  
Vice President and Chief Accounting Officer  
(Officer duly authorized to sign this report)

3