

NACCO INDUSTRIES INC  
Form 4  
February 28, 2005

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN ROGER F

2. Issuer Name and Ticker or Trading Symbol  
NACCO INDUSTRIES INC [NC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
NACCO INDUSTRIES, INC., 5875  
LANDERBROOK DRIVE., STE.  
300

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/26/2005

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  Other (specify below)  
Member of a group

(Street)  
MAYFIELD HEIGHTS, OH 44124

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  |                                | (A) or (D)<br>Code V Amount (D) Price                             |   |  |                                   |
| Class A Common Stock            |                                      |  |                                |   | 3,015   | I  | By Trust (Daughter 1) <u>(11)</u> |
| Class A Common Stock            |                                      |  |                                |   | 2,400   | I  | By Spouse/Trust <u>(12)</u>       |
| Class A Common Stock            |                                      |  |                                |   | 1,128   | I  | By Trust (Daughter 2) <u>(11)</u> |
| Class A Common Stock            |                                      |  |                                |   | 75,210  | I  | By Trust <u>(13)</u>              |

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|                      |            |            |                  |   |       |        |      |        |                                   |                              |
|----------------------|------------|------------|------------------|---|-------|--------|------|--------|-----------------------------------|------------------------------|
| Common Stock         |            |            |                  |   |       |        |      |        |                                   |                              |
| Class A Common Stock | 01/26/2005 | 01/26/2005 | G V 177          | A | \$ 0  | 51,906 | I    |        | By Assoc II <u>(1)</u>            |                              |
| Class A Common Stock | 01/26/2005 | 01/26/2005 | G V 709          | D | \$ 0  | 51,197 | I    |        | By Assoc II <u>(1)</u>            |                              |
| Class A Common Stock | 02/07/2005 | 02/07/2005 | J <sup>(6)</sup> |   | 9,975 | D      | \$ 0 | 41,222 | I                                 | By Assoc II <u>(1)</u>       |
| Class A Common Stock | 01/26/2005 | 01/26/2005 | G V 177          | A | \$ 0  | 2,116  | I    |        | By Assoc II/Spouse <u>(2)</u>     |                              |
| Class A Common Stock | 01/26/2005 | 01/26/2005 | G V 709          | A | \$ 0  | 9,911  | I    |        | By Assoc II/Daughter 1 <u>(3)</u> |                              |
| Class A Common Stock | 01/26/2005 | 01/26/2005 | G V 709          | A | \$ 0  | 11,799 | I    |        | By Assoc II/Daughter 2 <u>(3)</u> |                              |
| Class A Common Stock | 02/07/2005 | 02/07/2005 | J <sup>(5)</sup> |   | 25    | D      | \$ 0 | 1,975  | I                                 | By RMI (Delaware) <u>(4)</u> |
| Class A Common Stock | 02/07/2005 | 02/07/2005 | J <sup>(6)</sup> |   | 9,975 | A      | \$ 0 | 9,975  | I                                 | By RA4 <u>(7)</u>            |
| Class A Common Stock | 02/24/2005 | 02/24/2005 | J <sup>(9)</sup> |   | 7,100 | D      | \$ 0 | 2,875  | I                                 | By RA4 <u>(7)</u>            |
| Class A Common Stock | 02/07/2005 | 02/07/2005 | J <sup>(5)</sup> |   | 25    | A      | \$ 0 | 25     | I                                 | By GP <u>(8)</u>             |
| Class A Common Stock | 02/24/2005 | 02/24/2005 | J <sup>(9)</sup> |   | 18    | D      | \$ 0 | 7      | I                                 | By GP <u>(8)</u>             |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. P             |                 |                      |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|------------------|-----------------|----------------------|----------------------------|
|  |  |                                      |  | Code                           | V   | (A)  | (D)   | Date Exercisable | Expiration Date | Title                | Amount or Number of Shares |
| Class B Common Stock                       | (10)   |                                      |  |                                |   | (10)   | (10)  |                  |                 | Class A Common Stock | 53,581                     |
| Class B Common Stock                       | (10)   |                                      |  |                                |   | (10)   | (10)  |                  |                 | Class A Common Stock | 118,125                    |
| Class B Common Stock                       | \$ 0 (10)  | 02/24/2005                           | 02/24/2005   | J(9)                           | 18  | (10)   | (10)  |                  |                 | Class A Common Stock | 18                         |
| Class B Common Stock                       | \$ 0 (10)  | 02/24/2005                           | 02/24/2005   | J(9)                           | 7,100   | (10)   | (10)  |                  |                 | Class A Common Stock | 7,100                      |

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Director 10% Owner Officer Other

RANKIN ROGER F  
 NACCO INDUSTRIES, INC.  
 5875 LANDERBROOK DRIVE., STE. 300  
 MAYFIELD HEIGHTS, OH 44124

Member of a group

## Signatures

/s/Constantine E. Tsipis, attorney-in-fact for Roger F. Rankin

02/28/2005

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the Reporting Person's proportionate limited partnership interests in shares held by Rankin Associates II, L.P.-----
- (2) Represents the Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- (3) Represents the Reporting Person's daughter's proportionate limited partnership interest in shares held by Rankin Associates II, L.P. which is held in a trust for the benefit of the daughter. Reporting Person's spouse is the co-trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.

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- (4) Represents the Reporting Person's proportionate interest in shares held by Rankin Management, Inc. ("RMI).
- (5) (RMI to GP) Proportionate limited partnership interest in shares of RMI distributed to the general partner of Rankin Associates IV, L.P.
- (6) (RAII to RAIV) Portionate limited partnership interest in shares of Rankin Associates II, L.P. distributed to Rankin Associates IV, L.P.
- (7) (RAIV) Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates IV, L.P.
- (8) GP. Represents Reporting Person's proportionate limited partnership interest in shares of Rankin Associates IV, L.P. held by the Trust for the benefit of Reporting Person, as general partner.
- (9) Reporting Person exchanged shares of Class A Common Stock held in Rankin Associates IV, L.P. for like amount of Class B Common Stock with another member of the Class B Group.
- (10) N/A
- (11) Held by Trust, Reporting Person's Spouse is Co-Trustee for the benefit of Reporting Person's daughter. Reporting Person disclaims beneficial ownership of all such shares.
- (12) Reporting Person's spouse serves as Trustee of a Trust for the benefit of Alison Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- (13) Reporting Person serves as Trustee of a Trust for the benefit of Roger F. Rankin.
- (14) Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates I, L.P.----- -

### Remarks:

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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