

MARCUS CORP
Form 4
June 02, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
ERICSON JAMES D

(Last) (First) (Middle)

**777 E. WISCONSIN AVE., SUITE
3010**

(Street)

MILWAUKEE, WI 53202

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
MARCUS CORP [MCS]

3. Date of Earliest Transaction
(Month/Day/Year)
05/29/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/29/2014		A	(A) or (D) 1,250 (1)	\$ 0 16,298	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8.
				Code	V	(A)	(D)	Title	Amount or Number of Shares
Stock Option (Right to Buy) ⁽²⁾	\$ 16.84	05/29/2014		A		1,000		05/29/2014 05/29/2024	Common Stock 1,000
Stock Option (Right to Buy) ⁽²⁾	\$ 15.6966							05/26/2005 05/26/2015	Common Stock 713
Stock Option (Right to Buy) ⁽²⁾	\$ 17.73							05/25/2006 05/25/2016	Common Stock 500
Stock Option (Right to Buy) ⁽²⁾	\$ 23.37							05/31/2007 05/31/2017	Common Stock 500
Stock Option (Right to Buy) ⁽²⁾	\$ 17.17							05/29/2008 05/29/2018	Common Stock 500
Stock Option (Right to Buy) ⁽²⁾	\$ 10.78							05/28/2009 05/28/2019	Common Stock 500
Stock Option (Right to Buy) ⁽²⁾	\$ 11.14							05/27/2010 05/27/2020	Common Stock 500
Stock Option (Right to Buy) ⁽²⁾	\$ 10.5							05/26/2011 05/26/2021	Common Stock 500
Stock Option	\$ 13.33							05/31/2012 05/31/2022	Common Stock 500

(Right to
Buy) ⁽²⁾

Stock

Option
(Right to
Buy) ⁽²⁾

\$ 13.45

05/30/2013 05/30/2023

Common
Stock

1,000

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
ERICSON JAMES D 777 E. WISCONSIN AVE., SUITE 3010 MILWAUKEE, WI 53202	X

Signatures

/s/ Steven R. Barth, Attorney-in-Fact for James D.
Ericson

06/02/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock granted May 29, 2014 vests and becomes exercisable as follows: 50% after 3rd anniversary of the date of the grant and 100% after 5th anniversary of the date of the grant or upon death, disability or retirement.
- (2) Granted pursuant to The Marcus Corporation 2004 Equity and Incentive Awards Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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