TrueCar, Inc. Form 3 November 07, 2016

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement TrueCar, Inc. [TRUE] Swart Jeff (Month/Day/Year) 10/27/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O TRUECAR, INC., 120 (Check all applicable) **BROADWAY, SUITE 200** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting SVP & General Counsel Person **SANTA** Form filed by More than One MONICA. CAÂ 90401 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 132,320 (1) D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 6. Nature of Indirect **Expiration Date** (Instr. 4) Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security: Title Direct (D) Security

### Edgar Filing: TrueCar, Inc. - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	(2)	05/02/2024	Common Stock	300,000	\$ 12.81	D	Â
Employee Stock Option (right to buy)	(3)	05/15/2024	Common Stock	11,227	\$ 12.81	D	Â
Employee Stock Option (right to buy)	(4)	08/11/2026	Common Stock	200,000	\$ 10.85	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b></b>	Director	10% Owner	Officer	Other		
Swart Jeff C/O TRUECAR, INC. 120 BROADWAY, SUITE 200 SANTA MONICA. CA 90401	Â	Â	SVP & General Counsel	Â		

### **Signatures**

/s/ Scott Watkinson, by Power of Attorney

11/07/2016

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 101,694 shares represented by restricted stock units.
- (2) Shares subject to the option vest in forty-eight (48) equal monthly installments beginning on June 2, 2014.
- (3) Shares subject to the option vest in forty-eight (48) equal monthly installments beginning on March 1, 2015.
- (4) 4,166 shares subject to the option vested on August 11, 2016 and the remaining shares vest in forty-seven (47) equal monthly installments beginning on August 24, 2016.

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#### **Remarks:**

#### Ex. 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2