

MARCUS CORP
Form 4
December 09, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
HAASE BRONSON J

(Last) (First) (Middle)

6948 N. WILDWOOD POINT RD.

(Street)

HARTLAND, WI 53029

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

MARCUS CORP [MCS]

3. Date of Earliest Transaction
(Month/Day/Year)

12/07/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/07/2016		M	500 A	\$ 23.37 19,624 ⁽¹⁾	D	
Common Stock	12/07/2016		F	384 D	\$ 30.5 19,240	D	
Common Stock					6,204	I	By Trust (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. P Der Sec (Ins
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy) ⁽³⁾	\$ 23.37	12/07/2016		M	500	05/31/2007	05/31/2017	Common Stock	500	
Stock Option (Right to Buy) ⁽³⁾	\$ 17.17					05/29/2008	05/29/2018	Common Stock	500	
Stock Option (Right to Buy) ⁽³⁾	\$ 10.78					05/28/2009	05/28/2019	Common Stock	500	
Stock Option (Right to Buy) ⁽³⁾	\$ 11.14					05/27/2010	05/27/2020	Common Stock	500	
Stock Option (Right to Buy) ⁽³⁾	\$ 10.5					05/26/2011	05/26/2021	Common Stock	500	
Stock Option (Right to Buy) ⁽³⁾	\$ 13.33					05/31/2012	05/31/2022	Common Stock	500	
Stock Option (Right to Buy) ⁽³⁾	\$ 13.45					05/30/2013	05/30/2023	Common Stock	1,000	
Stock Option (Right to	\$ 16.84					05/29/2014	05/29/2024	Common Stock	1,000	

Buy) ⁽³⁾

Stock

Option
(Right to
Buy) ⁽³⁾

\$ 19.65

05/28/2015 05/28/2025

Common
Stock

1,000

Stock

Option
(Right to
Buy) ⁽³⁾

\$ 18.97

12/31/2015 12/31/2025

Common
Stock

583

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HAASE BRONSON J 6948 N. WILDWOOD POINT RD. HARTLAND, WI 53029			X	

Signatures

/s/ Steven R. Barth, Attorney-in-Fact for Bronson J.
Haase

12/09/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes 138 shares of Common Stock acquired through automatic reinvestment of dividends under the Marcus Corporation Dividend Reinvestment and Associate Stock Purchase Plan as of December 7, 2016.
- (2) By the Bronson J. and Jacqueline A. Haase Living Trust dated 8/17/1999.
- (3) Granted pursuant to The Marcus Corporation 2004 Equity and Incentive Awards Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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