DOAR MICHAEL Form 4 October 10, 2018

## FORM 4

Check this box

if no longer

Section 16.

subject to

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**SECURITIES** 

OMB Number:

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**OMB APPROVAL** 

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* DOAR MICHAEL

(First)

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

**HURCO COMPANIES INC** 

(Check all applicable)

[HURC]

(Middle)

3. Date of Earliest Transaction

\_X\_\_ Director X\_ Officer (give title below)

10% Owner \_ Other (specify

(Month/Day/Year)

10/08/2018

Chairman & CEO

4. If Amendment, Date Original

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

ONE TECHNOLOGY WAY

(Street) Filed(Month/Day/Year)

**INDIANAPOLIS, IN 46268** 

(City)	(State)	(Zip) Tab	le I - Non-	Derivativ	e Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	omr Dispo (Instr. 3,	sed of 4 and (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common			Code V	Amount	(D)	Price	· ·		
Common Stock	10/08/2018		M	450	A	\$ 18.13	95,733	D	
Common Stock	10/08/2018		S	450	D	\$ 44.9919 (1)	95,283	D	
Common Stock	10/09/2018		M	4,922	A	\$ 18.13	100,205	D	
Common Stock	10/09/2018		S	4,422	D	\$ 44.5076 (2)	95,783	D	
	10/09/2018		S	500	D		95,283	D	

Common \$ 45.194 Stock (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

8. I De Sec (In

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	of Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 18.13	10/08/2018		M	450	<u>(4)</u>	05/13/2020	Common Stock	450	
Stock Option (right to buy)	\$ 18.13	10/09/2018		M	4,922	<u>(4)</u>	05/13/2020	Common Stock	4,922	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Other		
DOAR MICHAEL ONE TECHNOLOGY WAY	X		Chairman & CEO			
INDIANAPOLIS, IN 46268						

# **Signatures**

/s/ Michael Doar

10/10/2018

\*\*Signature of Date
Reporting Person

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the sale of 450 shares in multiple transactions, ranging in price from \$44.92 to \$45.10 per share, resulting in a weighted average sale price of \$44.9919. The reporting person will provide, upon request by the SEC staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each price within the range.
- Represents the sale of 4,422 shares in multiple transactions, ranging in price from \$44.17 to \$45.005 per share, resulting in a weighted (2) average sale price of \$44.5076. The reporting person will provide, upon request by the SEC staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each price within the range.
- Represents the sale of 500 shares in multiple transactions, ranging in price from \$45.18 to \$45.25 per share, resulting in a weighted (3) average sale price of \$45.194. The reporting person will provide, upon request by the SEC staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each price within the range.
- (4) Option vested in thirds on each of May 13, 2011, May 13, 2012 and May 13, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.