Edgar Filing: KRAUSE DOUGLAS PAUL - Form 4

Form 4 February 28, 2007 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 UNITED STATES SECURITIES Subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES SECURITIES SECURITIES Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Public Utility Holding Company Act of 1935 or Section section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 1(b). (Print or Type Responses) I. Name and Address of Reporting Person 1 Symbol EAST WEST BANK, 135 N. LOS ROBLES AVE. 7TH FLOOR (Street) (Street) (Street) (Street) (Street) (State) (Cip) (State) (Cip) (Cip) (State) (Cip) (Month/Day/Year) (Month/Day/Year		OUGLAS PAUL	Ĺ									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Momber: 3235-0287 Check this box if no longer section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: 2005 Form 4 or Form 5 Section 17(a) of the Public Utility Holding Company Act of 1935, or Section 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 0bligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). S. Relationship of Reporting Person(s) to Issuer (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol EAST WEST BANCORP INC (EWBC) S. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) S. Relationship of Coperting Person(s) to Issuer AST WEST BANK, 135 N, LOS ROBLES AVE. 7TH FLOOR 3. Date of Earliest Transaction (Month/Day/Year) Director X. Officer (give title	Form 4	2007										
Check this box if no longer subject to section 16. Form 4 or Form 5 or Exercise STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB Sumber: SECURITIES 3235-0287 Sumperior Section 16. Form 4 or Form 5 or Form 6 or Form 5 or Form 6 or Form 5 or Form 6 or Form 5 or Form 6 or Form 6 or Form 5 or Form 6 or Form 7 or Form 7 or Form 6 or Form 7 or Form 6 or Form 7 or For 7 or Form 7 or Form 7 or For 7 or For For 7 or For 7 or For For 7 or For 7 or For 7 or For 7 or For 7												
Washington, D.C. 20549 Number: 3225-0287 Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Form 5 - Form 5 - Section 17(a) of the Public Utility Holding Company Act of 1934, any continue, See Instruction 1(b). Express: Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 30(h) of the Investment Company Act of 1940 - 1(b). Express: Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 30(h) of the Investment Company Act of 1940 - 1(b). Securities Exchange Act of 1934, 30(h) of the Investment Company Act of 1940 - 1(b). (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol S. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person 1 (b). 2. Issuer Name and Ticker or Trading Symbol S. Relationship of Reporting Person(s) to Issuer (Liast) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner AST WEST BANK, 135 N. LOS 02/26/2007 Executive Vice President Other (specify below) Director 10% Owner (Street) 4. If Amendment, Date Original Filed/Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) X. Form filed by More than One Reporting Person 6. Anynouth of Security (Month/Day/Year) 6. Anyneship 7. Nature of Security (Month/Day/Year) (City) (State) (Zip) Table I - Non-Derivative Securitites Ac	FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								r			
Check this box if no longer subject to Section 16, STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Form 4 or Form 4 or Form 4 or Form 4 or Form 5 miled pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 18(b) (Print or Type Responses) 1. Name and Address of Reporting Person 1 (RAUSE DOUGLAS PAUL Symbol Class (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) CAST WEST BANK, 135 N. LOS ROBLES AVE. 7TH FLOOR (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) PASADENA, CA 91101 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security (Month/Day/Year) Code V Amount (D) Price (Inst. 3 and 4) Code V Amount (D) Price (Inst. 3 and 4) Common 02/26/2007 A 1,545 A \$ 0 72,836 D		UNITED	JIAIL							OND	3235-0287	
Status Statement of the securities of the securities and the securities are are securities are securities are securities are securities				() usi							January 31,	
Section 16. SECURITIES burden hours per response 0.5 Form 4 or response 0.5 form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, esponse 0.5 section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1935 or Section 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 2. I. Issuer Name and Ticker or Trading Symbol EAST WEST BANCORP INC [EWBC] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 02/26/2007 EAST WEST BANK, 135 N. LOS ROBLES AVE. 7TH FLOOR (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Sccurity (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) PASADENA, CA 91101 (City) (State) (Zip) Table I - Non-Derivative Securities Sources Acquired (A) or Securities Form: Direct Indirect (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Code V Amount (D) Price (A) ransaction(Sig) (Instr. 4) (Instr.	-	sr STATE	MENT O	F CHANO	GES IN B	ENEFI	CIAI	OW	NERSHIP OF			
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Public Utility Holding Company Act of 1940 1(b). 0.5 (Print or Type Responses) 30(h) of the Investment Company Act of 1940 1(b). 5. Relationship of Reporting Person 1 Symbol 5. Relationship of Reporting Person(s) to Issuer 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) (Last) (First) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) -X_Form filed by More than One Reporting Person -Security (Gite) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) -X_Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Transaction/Acquired (A) or Security 5. Amount of Nonth/Day/Year) 6. Adividual or Joint/Group Filing(Check Applicable Line) -X_Form filed by More than One Reporting Person (City) (State) 2.7 Transaction Date (Month/Day/Year) 3. 4. Securities Transaction/Acquired (A) or Security 5. Amount of Noned 6. Ownership (Instr. 3. 4 and 5) 6. Ownership (Instr. 4) 7. Nature of Security					SECURI	TIES				burden hours per		
obligations may continue. See Instruction 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting Person 1 (b). 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer KRAUSE DOUGLAS PAUL Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director X												
may continue. Section 17(3) of the Public Onling Colliparty Act of 1955 of Section See Instruction 30(h) of the Investment Company Act of 1940 (b). (b). (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (RAUSE DOUGLAS PAUL Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)								-				
(b). (Print or Type Responses) 1. Name and Address of Reporting Person [±] 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer KRAUSE DOUGLAS PAUL Symbol EAST WEST BANCORP INC [EWBC] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director					•	. .				n		
(Print or Type Responses) I. Name and Address of Reporting Person * KRAUSE DOUGLAS PAUL Symbol EAST WEST BANCORP INC [EWBC] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) A. If Amendment, Date Original Filed(Month/Day/Year) (Stree) (Stree) (Stree) (Stree) (Stree) (Stree) (Stree) (Check all applicable) EXEMPTION (Stree) (Stree) (Stree) (Stree) (Check all applicable) (Check all applicable) EXEMPTION (Stree) (Stree) (Stree) (Check all applicable) (Check		ction	30(h)	of the Inv	estment C	Company	' Act	of 194	40			
1. Name and Address of Reporting Person ⊥ KRAUSE DOUGLAS PAUL 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Check all applicable) EAST WEST BANK, 135 N. LOS ROBLES AVE. 7TH FLOOR 02/26/2007 S. Relationship of Reporting Person(s) to Issuer (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) -X_ Form filed by More Reporting Person PASADENA, CA 91101 (City) (Street) 2. Transaction Date any (Month/Day/Year) 3. 4. Securities TransactionAcquired (A) or Code 5. Amount of Security (Month/Day/Year) 6. Ownership Form filed by One Reporting Person Prom filed by One Reporting Person Prom filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities 5. Amount of Securities 6. Ownership Form filed by One Reporting Person Person (Instr. 3) 2. Transaction Date (Month/Day/Year) 2.A. Deemed any 3. 4. Securities TransactionAcquired (A) or Code 5. Amount of Securities 6. Ownership Form: Direct 6. Ownership Form: Direct 1. Indirect (I) Ownership Following 0. Ownership Following 7. Nature of Securities (Instr. 3) (Month/Day/Year) Code V Amount	1(b).											
KRAUSE DOUGLAS PAUL Symbol Issuer Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) EAST WEST BANK, 135 N. LOS ROBLES AVE. 7TH FLOOR 0/2/26/2007 Check (give title)	(Print or Type Re	esponses)										
KRAUSE DOUGLAS PAUL Symbol Issuer Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) EAST WEST BANK, 135 N. LOS ROBLES AVE. 7TH FLOOR 0/2/26/2007 Check (give title)												
Index in the second of the se							-	of Reporting Person(s) to				
(Check all applicable) (Last) (First) (Midle) 3. Date of Earliest Transaction (Month/Day/Year)	KRAUSE DO	DUGLAS PAU	L	-					Issuer			
(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)						NCORP	INC		(Cheo	k all applicable)		
A. In Amount (Month/Day/Year) (Month/Day/Year) </td <td></td> <td></td> <td></td> <td>[EWBC]</td> <td colspan="4">3C]</td> <td colspan="4"></td>				[EWBC]	3C]							
EAST WEST BANK, 135 N. LOS ROBLES AVE. 7TH FLOOR (Month/Day/Year) below) below) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line)	(Last)	(Last) (First) (Middle) 3. Date of E				Carliest Transaction						
Detention of the state of t		DANUE 125 N			-							
(Street) 4. If Amentry Date Original Filed(Montr/Day/Year) 6. Individual or Jut/Group Files(Check Applicable Line) "X_ Form filed by One Reporting Person "Serson" (City) (State) (Zip) Table I - Vone Voltantian Security (Instr. 3) 6. Ownership Person 7. Nature of Indirect any (Month/Day/Year) 1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2.A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities Disposed of (D) (Instr. 8) 5. Amount of Beneficially Owned or Disposed of (D) 6. Ownership Porm: Direct (Instr. 4) 7. Nature of Indirect Beneficially Owned Following (Instr. 4) Restricted Common 02/26/2007 A 1,545 A 8 0 7.2,836 D				02/26/20	07				Execut	ive Vice Presid	ent	
PASADENA, CA 91101 Filed(Month/Day/Year) Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, A) or Security (Instr. 3) S. Amount of Securities S. Amount of Securities S. Amount of Securities S. Amount of Form: Direct G. Ownership 7. Nature of Indirect (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any 3. 4. Securities TransactionAcquired (A) or Code 5. Amount of Disposed of (D) 6. Ownership 7. Nature of Form: Direct (Instr. 3) (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned 6. Ownership 7. Nature of Form: Direct (Instr. 4) (Month/Day/Year) Code V Amount (D) Price Reported (Instr. 4) Code V Amount (D) Price (Instr. 3) Note that the price of the pr	KOBLES AV		/K									
ASADENA, CA 91101 	· · · ·				-							
PASADENA, CA 91101 $\overline{Person}^{Form filed by More than One Reporting Person(City)(State)(Zip)Table I - Non-Derretative Securities Security Owned1. Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)3.4. Securities Code5. Amount of Securities Beneficially (D) or6. Ownership Indirect7. Nature of Securities Beneficially (Month/Day/Year)7. Nature of Code1. Single Code$					'IIed(Month/Day/Year)				•••			
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any 3. 4. Securities Transaction-Acquired (A) or Code 5. Amount of Disposed of (D) 6. Ownership Form: Direct 7. Nature of Indirect (Instr. 3) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) 8. (Instr. 3, 4 and 5) 0. Winder 6. Ownership Form: Direct 7. Nature of Indirect V (Month/Day/Year) (Month/Day/Year) 0. (Instr. 8) (Instr. 3, 4 and 5) 0. Winder 6. Ownership Following 7. Nature of Indirect Code V Amount (D) Price 6. Ownership 7. Nature of Indirect Restricted Kannon 02/26/2007 A 1,545 A \$0 72,836 D	PASADENA	, CA 91101							Form filed by M			
1. Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)3.4. Securities TransactionAcquired (A) or Code5. Amount of Securities6. Ownership Form: Direct7. Nature of Indirect0. Instr. 3)2. Transaction Date, if any (Month/Day/Year)3.4. Securities TransactionAcquired (A) or Code5. Amount of Securities6. Ownership Form: Direct7. Nature of Indirect0. Instr. 3)(Month/Day/Year)(Instr. 8)(Instr. 3, 4 and 5)Owned (Instr. 3, 4 and 5)6. Ownership Following (Instr. 4)7. Nature of Indirect0. CodeVAmount(D)Price1. Securities6. Ownership Following (Instr. 4)7. Nature of IndirectRestricted Common02/26/2007A1,545A\$ 072,836D									Person			
Security (Instr. 3) (Month/Day/Year) Execution Date, if any TransactionAcquired (A) or Code Securities Form: Direct Indirect (Instr. 3) (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Securities Beneficially (D) or Beneficial (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership (Instr. 4) Code V Amount (D) Price Reported Transaction(s) (Instr. 4) (Instr. 4) Instr. 4) Restricted 02/26/2007 A 1,545 A \$0 72,836 D D	(City)	(State)	(Z1p)	Table	I - Non-De	rivative S	ecurit	ies Acq	uired, Disposed o	f, or Beneficial	lly Owned	
(Instr. 3)any (Month/Day/Year)Code (Instr. 8)Disposed of (D) (Instr. 3, 4 and 5)Beneficially Owned Following (Instr. 4)(D) or Owned (Instr. 4)Beneficial Ownership (Instr. 4)(Instr. 3)(Month/Day/Year)(Instr. 8)(Instr. 3, 4 and 5)Beneficially Owned (Instr. 4)(D) or (Instr. 4)Beneficial Ownership (Instr. 4)(A) or Code V(A) or (Instr. 3 and 4)(Instr. 4)(Instr. 4)Restricted Common02/26/2007A1,545A\$ 072,836D												
(Month/Day/Year)(Instr. 8)(Instr. 3, 4 and 5)Owned Following Reported Transaction(s) (Instr. 4)Indirect (I) (Instr. 4)Ownership (Instr. 4)Restricted Common02/26/2007A1,545A\$ 072,836D		(Month/Day/Yea		· · · ·		-						
RestrictedOutputAI,545A\$072,836D	(msu. 5)			n/Day/Year)	1				Owned In	· /		
(A) or (D)Transaction(s) (Instr. 3 and 4)Restricted Common02/26/2007A1,545A\$ 072,836D				• • • • • • • • • •					-			
Code V Amount (D) Price (Instr. 3 and 4) Restricted A 1,545 A \$ 0 72,836 D							(A)					
Restricted A 1,545 A \$ 0 72,836 D								р.				
Common 02/26/2007 A 1,545 A \$0 72,836 D	Restricted				Code V	Amount	(D)	Price				
		02/26/2007			А	1 545	А	\$ 0	72,836	D		
		02/20/2007				1,010		ΨŪ	,000	-		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4,			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amoun or Number of Shares
Employee Stock Option (right to buy)	\$ 38.81	02/26/2007		А	6,460	02/26/2009 <u>(2)</u>	02/26/2014	Common Stock	6,460

Edgar Filing: KRAUSE DOUGLAS PAUL - Form 4

Reporting Owners

Reporting Owner Name / Address	Relationships						
r of the test	Director	10% Owner	Officer	Other			
KRAUSE DOUGLAS PAUL EAST WEST BANK 135 N. LOS ROBLES AVE. 7TH FLOOR PASADENA, CA 91101			Executive Vice President				
Signatures							
Douglas Paul							

Krause 02/27/2007 **Signature of Date Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Restricted Stock vests 50% at the end of 4th year and 50% at the end of 5th year.
- (2) The options vest in three annual installments beginning on February 26, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.