Edgar Filing: ITERIS, INC. - Form 4

ITERIS, IN Form 4	С.									
February 21	, 2017									
FORM			GEGUD						OMB A	PROVAL
	UNITED	STATES		hington,			NGE C	COMMISSION	OMB Number:	3235-0287
Check tr if no lon subject t Section Form 4 o Form 5 obligatio	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF on 16. SECURITIES 14 or Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,						Expires: January 3 200 Estimated average burden hours per response 0			
may con <i>See</i> Instr 1(b).	tinue. Section 17(a			ility Hold	•	• •		f 1935 or Section 40	n	
(Print or Type)	Responses)									
1. Name and A Kreter Todo	Address of Reporting F d	Person <u>*</u>	Symbol	Name and		Fradin	g	5. Relationship of Issuer	Reporting Pers	son(s) to
		r 1 11 \		, INC. [IT	-			(Chec	k all applicable	;)
(Last) 1700 CARI	(First) (M	liddle) FE 100	3. Date of (Month/D 02/16/20	-	ansaction			Director X Officer (give below) Sr. V		Owner er (specify
SANTA AN	(Street) NA, CA 92705			ndment, Dat th/Day/Year)	-			6. Individual or Jo Applicable Line) _X_ Form filed by O Form filed by M Person	One Reporting Pe	rson
(City)	(State) (Zip)	Table	e I - Non-D	erivative S	ecuri	ties Acq	uired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any	n Date, if	3. Transactio Code (Instr. 8) Code V	4. Securit n(A) or Dis (Instr. 3, 4)	sposed	l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial
Common Stock	02/16/2017			М	25,000	A	\$ 2.46	56,863	D	
Common Stock	02/16/2017			М	25,000	А	\$ 1.41	81,863	D	
Common Stock	02/17/2017			S	610	D	\$ 5.28	81,253	D	
Common Stock	02/17/2017			S	100	D	\$ 5.3	81,153	D	
Common Stock	02/17/2017			S	5,700	D	\$ 5.31	75,453	D	

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Common	02/17/2017	S	3,400	D	\$	72,053	D
stock	02/1//2017	5	5,400	D	5.36	12,000	D
Common Stock	02/17/2017	S	5,000	D	\$ 5.33	67,053	D
common stock	02/17/2017	S	200	D	\$ 5.25	66,853	D
Common Stock	02/17/2017	S	3,079	D	\$ 5.32	63,774	D
Common Stock	02/27/2017	S	5,540	D	\$ 5.35	58,234	D
Common Stock	02/17/2017	S	2,240	D	\$ 5.26	55,994	D
Common Stock	02/17/2017	S	3,010	D	\$ 5.27	52,984	D
Common Stock	02/17/2017	S	5,000	D	\$ 5.29	47,984	D
Common Stock	02/21/2017	S	631	D	\$ 5.18	47,353	D
Common Stock	02/21/2017	S	80	D	\$ 5.16	47,273	D
Common Stock	02/21/2017	S	2,290	D	\$ 5.15	44,983	D
Common Stock	02/21/2017	S	700	D	\$ 5.09	44,283	D
Common Stock	02/21/2017	S	500	D	\$ 5.03	43,783	D
Common Stock	02/21/2017	S	171	D	\$ 4.9	43,612	D
Common Stock	02/21/2017	S	229	D	\$ 4.91	43,383	D
Common Stock	02/21/2017	S	100	D	\$ 4.92	43,283	D
Common Stock	02/21/2017	S	200	D	\$ 4.96	43,083	D
Common Stock	02/21/2017	S	100	D	\$ 4.93	42,983	D
Common Stock	02/21/2017	S	100	D	\$ 4.94	42,883	D
Common Stock	02/21/2017	S	400	D	\$ 4.95	42,483	D
	02/21/2017	S	200	D		42,283	D

Common Stock					\$ 4.99		
Common Stock	02/21/2017	S	4,800	D	\$ 5.01	37,483	D
Common Stock	02/21/2017	S	120	D	\$ 5.02	37,363	D
Common Stock	02/21/2017	S	900	D	\$ 5	36,463	D
Common Stock	02/21/2017	S	4,600	D	\$ 5.05	31,863	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day	ate	7. Title and J Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 2.46	02/16/2017		М	25,000	<u>(1)</u>	02/20/2018 <u>(1)</u>	Common Stock	25,000
Stock option (Right to Buy)	\$ 1.41	02/16/2017		М	25,000	<u>(1)</u>	05/26/2019 <u>(1)</u>	Common Stock	25,000

Reporting Owners

Reporting Owner Name / Address	Relationships							
FB	Director	10% Owner	Officer	Other				
Kreter Todd			Sr. Vice President					
1700 CARNEGIE AVE, SUITE 100								

SANTA ANA, CA 92705

Signatures

/s/ Todd Kreter

02/21/2017

<u>**</u> Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option became exercisable in (4) successive equal annual installments upon optionee's completion of each year of service over the four year period measured from the grant date. Option expired ten years from the grant date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.