

Shattock Matthew J  
 Form 3  
 October 06, 2011

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â Shattock Matthew J		(Month/Day/Year)	BEAM INC [NYSE: BEAM]	
(Last)	(First)	(Middle)	10/03/2011	
BEAM INC.,Â 510 LAKE COOK ROAD			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
DEERFIELD,Â ILÂ 60015			<input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			(give title below) (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
			President & CEO	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Beam Inc. Common Stock	4,863	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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## Edgar Filing: Shattock Matthew J - Form 3

	Date Exercisable	Expiration Date	Amount or Number of Shares	or Indirect (I) (Instr. 5)
Employee Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(2)</sup>	09/30/2016	Beam Inc. Common Stock 62,000 \$ 42.98	D Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(3)</sup>	02/22/2017	Beam Inc. Common Stock 44,300 \$ 43.67	D Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(4)</sup>	02/22/2021	Beam Inc. Common Stock 41,600 \$ 61.54	D Â
Restricted Stock Unit <sup>(1)</sup>	Â <sup>(5)</sup>	Â <sup>(5)</sup>	Beam Inc. Common Stock 14,300 \$ <sup>(6)</sup>	D Â
Restricted Stock Unit <sup>(1)</sup>	Â <sup>(7)</sup>	Â <sup>(7)</sup>	Beam Inc. Common Stock 13,300 \$ <sup>(6)</sup>	D Â
Restricted Stock Unit <sup>(1)</sup>	Â <sup>(8)</sup>	Â <sup>(8)</sup>	Beam Inc. Common Stock 15,200 \$ <sup>(6)</sup>	D Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Shattock Matthew J BEAM INC. 510 LAKE COOK ROAD DEERFIELD, IL 60015	Â X	Â	Â President & CEO	Â

## Signatures

Todd M. Bloomquist, as Attorney-in-Fact for Matthew J. Shattock 10/06/2011

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

As a result of the Issuer's spin-off of Fortune Brands Home & Security, Inc. on October 3, 2011, these securities are subject to an intrinsic value adjustment as described in the Issuer's Long-Term Incentive Plans and the Employee Matters Agreement dated as of September 28, 2011.

(2) The options vest in three equal annual installments beginning on September 30, 2010.

(3) The options vest in three equal annual installments beginning on February 22, 2011.

(4) The options vest in three equal annual installments beginning on February 22, 2012.

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- (5) Payment of the award will be made on or about January 31, 2012 if Mr. Shattock remains employed through such date, subject to special provisions in the event of death, disability or termination following a change in control.
- (6) Each restricted stock unit represents a contingent right to receive one share of Beam Inc. common stock.
- (7) Payment of the award will be made on or about January 31, 2013 if Mr. Shattock remains employed through such date, subject to special provisions in the event of death, disability or termination following a change in control.
- (8) Payment of the award will be made on or about January 31, 2014 if Mr. Shattock remains employed through such date, subject to special provisions in the event of death, disability or termination following a change in control.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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