Edgar Filing: McKay Scott J - Form 4

McKay Scott J										
Form 4										
November 02, 2	2012									
FORM	4							OMB A	PPROVAL	
CURIVI 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								N OMB Number:	3235-0287	
Check this box								Expires:	January 31,	
if no longer subject to Section 16. Form 4 or						ICIAL O	WNERSHIP OF	Estimated burden hou response	urs per	
Form 5 obligations may continu See Instructi 1(b).	e. Section 17((a) of the l	Public U	Jtility Ho	lding Cor		nge Act of 1934, of 1935 or Section 1940	on		
(Print or Type Res	ponses)									
1. Name and Address of Reporting Person <u>*</u> McKay Scott J			2. Issuer Name and Ticker or Trading Symbol			Trading	5. Relationship of Reporting Person(s) to Issuer			
	GENWORTH FINANCIAL [GNW]				AL INC	(Check all applicable)				
(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year)				Director 10% Owner X Officer (give title Other (specify			
C/O GENWO INC., 6620 W			10/31/2	-			below)	below) ief Information (
	4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 						
RICHMOND,	VA 23230						Person	More than One K	sporting	
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. I Exec any (Month/Day/Year)		Execution any			4. Securities nAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			6. Ownership Form: Direct (D) or Indirect (I)	Ownership	
						(A)	Following Reported Transaction(s)	(Instr. 4)	(Instr. 4)	
				Code V	Amount	or (D) Price	(Instr. 3 and 4)			
Reminder: Report	on a separate line	e for each cl	ass of sec	urities bene	eficially ow	ned directly	or indirectly.			
					inforn requii	nation cont red to resp ays a curre	spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	
	Tab					posed of, or convertible	Beneficially Owned securities)	1		

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	f 8
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities	D

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Security (Instr. 3)	or Exercise Price of Derivative Security	Price of Derivative		Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)		(Instr. 3 and 4)		(
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Settled SARs	\$ 5.96	10/31/2012		А	80,000	(1)	10/31/2022	Class A Common Stock	80,000	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
McKay Scott J C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET RICHMOND, VA 23230			SVP- Chief Information Officer				
Signatures							
/s/ Christine A. Ness, by power of attorney	11/02/20)12					
** Signature of Deporting Derson	Date						

**Signature of Reporting Person

Date

Explanation of Responses:

If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The SARs vest in one installment on December 31, 2014. The vested and unexercised portion of the SARs, if any, shall be automatically (1) exercised if the closing price of the issuer's common stock equals or exceeds a specified "maximum share value" equal to \$75.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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