Seufer-Wasserthal Peter Form 3 January 28, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

response...

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

A Seufer-Wasserthal Peter

C/O CODEXIS, INC., 200

(Street)

(Last) (First)

PENOBSCOT DRIVE

(Middle)

Statement

(Month/Day/Year)

01/24/2013

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

CODEXIS INC [CDXS]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

10% Owner Director _X__ Officer Other (give title below) (specify below) SVP, Pharmaceuticals

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

REDWOOD CITY, CAÂ 94063

(City) (State)

1. Title of Security (Instr. 4)

Common Stock

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

Ownership (Instr. 5) Direct (D)

Â

or Indirect (I) (Instr. 5)

D

55,000 (1)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Title

Derivative Security: Direct (D) Security

Edgar Filing: Seufer-Wasserthal Peter - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	(2)	02/29/2016	Common Stock	33,333	\$ 1.05	D	Â
Stock Option (right to buy)	(2)	01/25/2017	Common Stock	34,332	\$ 2.45	D	Â
Stock Option (right to buy)	(2)	08/27/2017	Common Stock	27,333	\$ 6.71	D	Â
Stock Option (right to buy)	(2)	10/24/2017	Common Stock	43,333	\$ 6.86	D	Â
Stock Option (right to buy)	(2)	06/01/2019	Common Stock	41,666	\$ 7.46	D	Â
Stock Option (right to buy)	(3)	01/25/2021	Common Stock	15,000	\$ 9.15	D	Â
Stock Option (right to buy)	(4)	03/06/2022	Common Stock	47,000	\$ 3.56	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Seufer-Wasserthal Peter						
C/O CODEXIS, INC.	â	â	SVP, Pharmaceuticals	â		
200 PENOBSCOT DRIVE	A	A	A SVF, Filal filaceuticals	A		
REDWOOD CITY, CA 94063						

Signatures

/s/ Doug Sheehy, Attorney-in-Fact for Peter Seufer-Wasserthal

01/28/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 32,500 Restricted Stock Units. The Reporting Person is entitled to receive one (1) share of common stock for each one (1) restricted stock unit.
- (2) The option is fully vested and exercisable.
- Option vests with respect to 25% of the shares subject thereto on January 26, 2012, with 1/48 of the shares vesting monthly thereafter, such that the option will be fully vested and exercisable on January 26, 2015.
- Option vests with respect to 25% of the shares subject thereto on March 7, 2013, with 1/48 of the shares vesting monthly thereafter, such that the option will be fully vested and exercisable on March 7, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2