AMBARELLA INC

Form 4 June 26, 2013

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

Estimated average

1(b).

(City)

(State)

(Zip)

(Print or Type Responses)

| 1. Name and Address of Reporting Person * TAN LIP BU |         |          | 2. Issuer Name and Ticker or Trading<br>Symbol<br>AMBARELLA INC [AMBA] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                             |  |  |  |
|--|---------|----------|--|--|--|--|--|
| (Last)   | (First) | (Middle) | 3. Date of Earliest Transaction  | (Check all applicable)   |  |  |  |
| ONE CALIFORNIA STREET,<br>SUITE 2800                 |         | ЕЕТ,     | (Month/Day/Year)<br>06/24/2013   | XDirector 10% Owner Officer (give title below) Other (specify below)                                 |  |  |  |
| (Street)   |         |          | 4. If Amendment, Date Original   | 6. Individual or Joint/Group Filing(Check  |  |  |  |
| SAN FRANCISCO, CA 94111                              |         |          | Filed(Month/Day/Year)  | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |  |

| (City)                               | (State)                                 | Table   | e I - Non-D      | erivative Se                              | curiti                 | es Acqu       | uired, Disposed of   | i, or Beneficial   | ly Owned  |
|--------------------------------------|---|---|------------------|---|------------------------|---------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code (Instr. 8)  | 4. Securities n(A) or Disp (Instr. 3, 4 a | osed of and 5)  (A) or | of (D)        | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Ordinary<br>Shares                   | 06/24/2013                              |   | Code V $J_{(3)}$ | Amount 741,151                            | (D)                    | Price<br>\$ 0 | 2,271,592  | I  | See Footnote  |
| Ordinary<br>Shares                   | 06/24/2013                              |   | J(4)             | 1,116                                     | A                      | \$0           | 1,116  | I  | See<br>Footnote   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

#### Edgar Filing: AMBARELLA INC - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc   | cisable and | 7. Title   | and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|-----------------|-------------|------------|--------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | orNumber   | Expiration Date |             | Amount     | t of   | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/     | Year)       | Underly    | ing    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e               |             | Securitie  | es     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |                 |             | (Instr. 3  | and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |                 |             |            |        |             | Follo  |
|             | •           |                     |                    |            | (A) or     |                 |             |            |        |             | Repo   |
|             |             |                     |                    |            | Disposed   |                 |             |            |        |             | Trans  |
|             |             |                     |                    |            | of (D)     |                 |             |            |        |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |                 |             |            |        |             |        |
|             |             |                     |                    |            | 4, and 5)  |                 |             |            |        |             |        |
|             |             |                     |                    |            |            |                 |             | Λ.         | mount  |             |        |
|             |             |                     |                    |            |            |                 |             |            | mount  |             |        |
|             |             |                     |                    |            |            | Date            | Expiration  | Title N    |        |             |        |
|             |             |                     |                    |            |            | Exercisable     | Date        | Title Numb |        |             |        |
|             |             |                     |                    | C + V      | (A) (D)    |                 |             |            |        |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |                 |             | S          | hares  |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> 10% Owner Officer Other Director

TAN LIP BU ONE CALIFORNIA STREET, SUITE 2800 X SAN FRANCISCO, CA 94111

## **Signatures**

/s/ Lip-Bu Tan 06/26/2013 \*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Such shares are held by Pacven Walden Ventures V, L.P. and affiliated funds. Mr. Tan disclaims beneficial ownership of these shares **(1)** except to the extent of any pecuniary interest therein.
- Such shares are held by Lip-Bu Tan & Ysa Loo Trust DTD 2/3/92. **(2)**
- Represents a pro-rata, in-kind distribution by Pacven Walden Ventures V, L.P. and affiliated funds, without consideration, to their partners or shareholders as applicable.
- Represents a pro-rata, in-kind distribution by Pacven Walden Ventures V, L.P and Pacven Walden Management V Co., Ltd., without **(4)** consideration.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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