

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

TRI-CONTINENTAL CORP

Form NSAR-A

August 28, 2014

PAGE 1
000 A000000 06/30/2014
000 C000000 0000099614
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 TRI-CONTINENTAL CORPORATION
001 B000000 811-00266
001 C000000 6173859594
002 A000000 225 FRANKLIN STREET
002 B000000 BOSTON
002 C000000 MA
002 D010000 02110
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
008 B000001 A
008 C000001 801-25943
008 D010001 BOSTON
008 D020001 MA
008 D030001 02110
010 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
010 B000001 801-25943
010 C010001 BOSTON
010 C020001 MA
010 C030001 02110
012 A000001 COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP.
012 B000001 84-05685
012 C010001 BOSTON
012 C020001 MA
012 C030001 02266
012 C040001 8081
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 MINNEAPOLIS
013 B020001 MN
013 B030001 55402
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.
014 B000001 8-25845
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.
014 B000002 8-42582
014 A000003 AMERIPRISE FINANCIAL SERVICES, INC.
014 B000003 8-16791
014 A000004 RIVERSOURCE DISTRIBUTORS, INC.
PAGE 2
014 B000004 8-67196
015 A000001 JPMORGAN CHASE BANK, N.A.
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10005

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

015 E010001 X
015 A000002 AB SEB BANKAS
015 B000002 S
015 C010002 VILNIUS
015 D010002 LITHUANIA
015 E040002 X
015 A000003 BANCO BCT, S.A.
015 B000003 S
015 C010003 SAN JOSE
015 D010003 COSTA RICA
015 E040003 X
015 A000004 BANCO ITAU URUGUAY S.A.
015 B000004 S
015 C010004 MONTEVIDEO
015 D010004 URUGUAY
015 E040004 X
015 A000005 BANCO NACIONAL DE MEXICO, S.A.
015 B000005 S
015 C010005 MEXICO, D.F.
015 D010005 MEXICO
015 E040005 X
015 A000006 BANCO SANTANDER CHILE
015 B000006 S
015 C010006 SANTIAGO
015 D010006 CHILE
015 E040006 X
015 A000007 BANK HANDLOWY W. WARSZAWIE S.A.
015 B000007 S
015 C010007 WARSAW
015 D010007 POLAND
015 E040007 X
015 A000008 BANK LEUMI LE-ISRAEL B.M.
015 B000008 S
015 C010008 TEL AVIV
015 D010008 ISRAEL
015 E040008 X
015 A000009 BANQUE INTERNATIONALE ARABE DE TUNISIE, S.A.
015 B000009 S
015 C010009 TUNIS
015 D010009 TUNISIA
015 E040009 X
015 A000010 BNP PARIBAS SECURITIES SERVICES S.C.A.
015 B000010 S
015 C010010 BRUSSELS
015 D010010 BELGIUM
015 E040010 X
015 A000011 BNP PARIBAS SECURITIES SERVICES S.C.A.
015 B000011 S
015 C010011 PANTIN
015 D010011 FRANCE
015 E040011 X
015 A000012 BNP PARIBAS SECURITIES SERVICES S.C.A.
015 B000012 S
015 C010012 MILAN
015 D010012 ITALY
015 E040012 X
015 A000013 BNP PARIBAS SECURITIES SERVICES S.C.A.
015 B000013 S
015 C010013 HESPERANGE
015 D010013 LUXEMBOURG
015 E040013 X

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

015 A000014 BNP PARIBAS SECURITIES SERVICES S.C.A.
015 B000014 S
015 C010014 AMSTERDAM
015 D010014 NETHERLANDS
015 E040014 X
015 A000015 BNP PARIBAS SECURITIES SERVICES S.C.A.
015 B000015 S
015 C010015 LISBON
015 D010015 PORTUGAL
015 E040015 X
015 A000016 CANADIAN IMPERIAL BANK OF COMMERCE
015 B000016 S
015 C010016 TORONTO
015 D010016 CANADA
015 E040016 X
015 A000017 CITIBANK A.S.
015 B000017 S
015 C010017 ISTANBUL
015 D010017 TURKEY
015 E040017 X
015 A000018 CITIBANK DEL PERU S.A.
015 B000018 S
015 C010018 LIMA
015 D010018 PERU
015 E040018 X
015 A000019 CITIBANK, N.A.
015 B000019 S
015 C010019 CAIRO
015 D010019 EGYPT
015 E040019 X
015 A000020 CITIBANK, N.A.
015 B000020 S
015 C010020 CARACAS
015 D010020 VENEZUELA
015 E040020 X
PAGE 4
015 A000021 CORPBANCA INVESTMENT TRUST COLOMBIA S.A.
015 B000021 S
015 C010021 BOGATA
015 D010021 COLOMBIA
015 E040021 X
015 A000022 DBS BANK LTD.
015 B000022 S
015 C010022 SINGAPORE
015 D010022 SINGAPORE
015 E040022 X
015 A000023 DEUTSCHE BANK AG
015 B000023 S
015 C010023 ESCHBORN
015 D010023 GERMANY
015 E040023 X
015 A000024 DEUTSCHE BANK AG
015 B000024 S
015 C010024 BUDAPEST
015 D010024 HUNGARY
015 E040024 X
015 A000025 DEUTSCHE BANK AG
015 B000025 S
015 C010025 JAKARTA
015 D010025 INDONESIA
015 E040025 X
015 A000026 DEUTSCHE BANK AG

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

015 B000026 S
015 C010026 LONDON
015 D010026 UNITED KINGDOM
015 E040026 X
015 A000027 FIRSTRAND BANK LIMITED
015 B000027 S
015 C010027 JOHANNESBURG
015 D010027 SOUTH AFRICA
015 E040027 X
015 A000028 HSBC BANK (CHINA) COMPANY LIMITED
015 B000028 S
015 C010028 SHANGHAI
015 D010028 CHINA
015 E040028 X
015 A000029 HSBC BANK (VIETNAM) LTD.
015 B000029 S
015 C010029 HO CHI MINH CITY
015 D010029 VIETNAM
015 E040029 X
015 A000030 HSBC BANK ARGENTINA S.A.
015 B000030 S
015 C010030 BUENOS AIRES
015 D010030 ARGENTINA
015 E040030 X
015 A000031 HSBC BANK BERMUDA LIMITED
PAGE 5
015 B000031 S
015 C010031 HAMILTON
015 D010031 BERMUDA
015 E040031 X
015 A000032 HSBC BANK MALAYSIA BERHAD
015 B000032 S
015 C010032 KUALA LUMPUR
015 D010032 MALAYSIA
015 E040032 X
015 A000033 HSBC BANK MIDDLE EAST LIMITED
015 B000033 S
015 C010033 AL SEEF
015 D010033 BAHRAIN
015 E040033 X
015 A000034 HSBC BANK MIDDLE EAST LIMITED
015 B000034 S
015 C010034 AMMAN
015 D010034 JORDAN
015 E040034 X
015 A000035 HSBC BANK MIDDLE EAST LIMITED
015 B000035 S
015 C010035 SAFAT
015 D010035 KUWAIT
015 E040035 X
015 A000036 HSBC BANK MIDDLE EAST LIMITED
015 B000036 S
015 C010036 BEIRUT
015 D010036 LEBANON
015 E040036 X
015 A000037 HSBC BANK MIDDLE EAST LIMITED
015 B000037 S
015 C010037 RAMALLAH
015 D010037 PALESTINE TERRITORY
015 E040037 X
015 A000038 HSBC BANK MIDDLE EAST LIMITED
015 B000038 S

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

015 C010038 DOHA
015 D010038 QATAR
015 E040038 X
015 A000039 HSBC BANK MIDDLE EAST LIMITED
015 B000039 S
015 C010039 DUBAI
015 D010039 UAE
015 E040039 X
015 A000040 HSBC BANK OMAN S.A.O.G.
015 B000040 S
015 C010040 SEEB
015 D010040 OMAN
015 E040040 X
015 A000041 HSBC BANK PLC
015 B000041 S
PAGE 6
015 C010041 ATHENS
015 D010041 GREECE
015 E040041 X
015 A000042 HSBC SAUDI ARABIA LIMITED
015 B000042 S
015 C010042 RIYADH
015 D010042 SAUDI ARABIA
015 E040042 X
015 A000043 ING BANK N.V.
015 B000043 S
015 C010043 SOFIA
015 D010043 BULGARIA
015 E040043 X
015 A000044 ING BANK N.V.
015 B000044 S
015 C010044 BUCHAREST
015 D010044 ROMANIA
015 E040044 X
015 A000045 ING BANK UKRAINE
015 B000045 S
015 C010045 KIEV
015 D010045 UKRAINE
015 E040045 X
015 A000046 ISLANDSBANKI HF.
015 B000046 S
015 C010046 REYKJAVIK
015 D010046 ICELAND
015 E040046 X
015 A000047 J.P. MORGAN AG
015 B000047 S
015 C010047 FRANKFURT
015 D010047 GERMANY
015 E040047 X
015 A000048 J.P. MORGAN BANK INTERNATIONAL
015 B000048 S
015 C010048 MOSCOW
015 D010048 RUSSIA
015 E040048 X
015 A000049 J.P. MORGAN S.A. DTVM
015 B000049 S
015 C010049 SAO PAULO
015 D010049 BRAZIL
015 E040049 X
015 A000050 JPMORGAN CHASE BANK, N.A.
015 B000050 S
015 C010050 SYDNEY

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

015 D010050 AUSTRALIA
015 E040050 X
015 A000051 JPMORGAN CHASE BANK, N.A.
015 B000051 S
015 C010051 QUARRY BAY
PAGE 7
015 D010051 HONG KONG
015 E040051 X
015 A000052 JPMORGAN CHASE BANK, N.A.
015 B000052 S
015 C010052 MUMBAI
015 D010052 INDIA
015 E040052 X
015 A000053 JPMORGAN CHASE BANK, N.A.
015 B000053 S
015 C010053 WELLINGTON
015 D010053 NEW ZEALAND
015 E040053 X
015 A000054 JPMORGAN CHASE BANK, N.A.
015 B000054 S
015 C010054 TAIPEI
015 D010054 TAIWAN
015 E040054 X
015 A000055 JPMORGAN CHASE BANK, N.A.
015 B000055 S
015 C010055 LONDON
015 D010055 UNITED KINGDOM
015 E040055 X
015 A000056 MIZUHO BANK, LTD.
015 B000056 S
015 C010056 TOKYO
015 D010056 JAPAN
015 E040056 X
015 A000057 NORDEA BANK AB
015 B000057 S
015 C010057 STOCKHOLM
015 D010057 SWEDEN
015 E040057 X
015 A000058 NORDEA BANK DANMARK A/S
015 B000058 S
015 C010058 TAASTRUP
015 D010058 DENMARK
015 E040058 X
015 A000059 NORDEA BANK FINLAND PLC
015 B000059 S
015 C010059 HELSINKI
015 D010059 FINLAND
015 E040059 X
015 A000060 NORDEA BANK NORGE ASA
015 B000060 S
015 C010060 OSLO
015 D010060 NORWAY
015 E040060 X
015 A000061 PRIVREDNA BANKA ZAGREB D.D.
015 B000061 S
015 C010061 ZAGREB
015 D010061 CROATIA
PAGE 8
015 E040061 X
015 A000062 REPUBLIC BANK LIMITED
015 B000062 S
015 C010062 PORT OF SPAIN

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

015 D010062 TRINIDAD AND TOBAGO
015 E040062 X
015 A000063 ROYAL BANK OF CANADA
015 B000063 S
015 C010063 TORONTO
015 D010063 CANADA
015 E040063 X
015 A000064 SANTANDER INVESTMENT, S.A.
015 B000064 S
015 C010064 MADRID
015 D010064 SPAIN
015 E040064 X
015 A000065 SB HSBC BANK KAZAKHSTAN JSC
015 B000065 S
015 C010065 ALMATY
015 D010065 KAZAKHSTAN
015 E040065 X
015 A000066 SOCIETE GENERALE
015 B000066 S
015 C010066 PARIS
015 D010066 FRANCE
015 E040066 X
015 A000067 SOCIETE GENERALE MAROCAINE DE BANQUES
015 B000067 S
015 C010067 CASABLANCA
015 D010067 MOROCCO
015 E040067 X
015 A000068 STANBIC BANK TANZANIA LIMITED
015 B000068 S
015 C010068 DAR ES SALAAM
015 D010068 TANZANIA
015 E040068 X
015 A000069 STANBIC BANK ZIMBABWE LIMITED
015 B000069 S
015 C010069 HARARE
015 D010069 ZIMBABWE
015 E040069 X
015 A000070 STANBIC IBTC BANK PLC
015 B000070 S
015 C010070 LAGOS
015 D010070 NIGERIA
015 E040070 X
015 A000071 STANDARD BANK LIMITED, MALAWI
015 B000071 S
015 C010071 BLANTYRE
015 D010071 MALAWI
015 E040071 X
PAGE 9
015 A000072 STANDARD BANK NAMIBIA LIMITED
015 B000072 S
015 C010072 WINDHOEK
015 D010072 NAMIBIA
015 E040072 X
015 A000073 STANDARD CHARTERED BANK
015 B000073 S
015 C010073 DHAKA
015 D010073 BANGLADESH
015 E040073 X
015 A000074 STANDARD CHARTERED BANK (PAKISTAN) LIMITED
015 B000074 S
015 C010074 KARACHI
015 D010074 PAKISTAN

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

015 E040074 X
015 A000075 STANDARD CHARTERED BANK (THAI) PUBLIC CO. LTD
015 B000075 S
015 C010075 BANGKOK
015 D010075 THAILAND
015 E040075 X
015 A000076 STANDARD CHARTERED BANK BOTSWANA LIMITED
015 B000076 S
015 C010076 GABORONE
015 D010076 BOTSWANA
015 E040076 X
015 A000077 STANDARD CHARTERED BANK COTE D'IVOIRE SA
015 B000077 S
015 C010077 ABIDJAN
015 D010077 IVORY COAST
015 E040077 X
015 A000078 STANDARD CHARTERED BANK GHANA LIMITED
015 B000078 S
015 C010078 ACCRA
015 D010078 GHANA
015 E040078 X
015 A000079 STANDARD CHARTERED BANK KENYA LIMITED
015 B000079 S
015 C010079 NAIROBI
015 D010079 KENYA
015 E040079 X
015 A000080 STANDARD CHARTERED BANK KOREA LIMITED
015 B000080 S
015 C010080 SEOUL
015 D010080 SOUTH KOREA
015 E040080 X
015 A000081 STANDARD CHARTERED BANK UGANDA LIMITED
015 B000081 S
015 C010081 KAMPALA
015 D010081 UGANDA
015 E040081 X
015 A000082 STANDARD CHARTERED BANK ZAMBIA PLC
PAGE 10
015 B000082 S
015 C010082 LUSAKA
015 D010082 ZAMBIA
015 E040082 X
015 A000083 SWEDBANK AS
015 B000083 S
015 C010083 TALLINN
015 D010083 ESTONIA
015 E040083 X
015 A000084 SWEDBANK AS
015 B000084 S
015 C010084 RIGA
015 D010084 LATVIA
015 E040084 X
015 A000085 THE BANK OF TOKYO-MITSUBISHI UFJ, LIMITED
015 B000085 S
015 C010085 TOKYO
015 D010085 JAPAN
015 E040085 X
015 A000086 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000086 S
015 C010086 EBENE
015 D010086 MAURITIUS
015 E040086 X

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

015 A000087 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000087 S
015 C010087 TAGUIG CITY
015 D010087 PHILIPPINES
015 E040087 X
015 A000088 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000088 S
015 C010088 COLOMBO
015 D010088 SRI LANKA
015 E040088 X
015 A000089 UBS AG
015 B000089 S
015 C010089 ZURICH
015 D010089 SWITZERLAND
015 E040089 X
015 A000090 UNICREDIT BANK AUSTRIA AG
015 B000090 S
015 C010090 VIENNA
015 D010090 AUSTRIA
015 E040090 X
015 A000091 UNICREDIT BANK CZECH RPB. & SLOVAKIA A.S.
015 B000091 S
015 C010091 PRAGUE
015 D010091 CZECH REPUBLIC
015 E040091 X
015 A000092 UNICREDIT BANK CZECH RPB. & SLOVAKIA A.S.
015 B000092 S
PAGE 11
015 C010092 BRATISLAVA
015 D010092 SLOVAK REPUBLIC
015 E040092 X
015 A000093 UNICREDIT BANK SRBIJA A.D.
015 B000093 S
015 C010093 BELGRADE
015 D010093 SERBIA
015 E040093 X
015 A000094 UNICREDIT BANKA SLOVENIJA D.D.
015 B000094 S
015 C010094 LJUBLJANA
015 D010094 SLOVENIA
015 E040094 X
018 000000 Y
019 A000000 Y
019 B000000 200
019 C000000 COLUMBIAFD
020 A000001 GOLDMAN, SACHS & CO.
020 B000001 13-5108880
020 C000001 69
020 A000002 CREDIT SUISSE SECURITIES (USA) L.L.C.
020 B000002 13-5659485
020 C000002 48
020 A000003 BARCLAYS CAPITAL
020 B000003 13-3297824
020 C000003 37
020 A000004 MORGAN STANLEY
020 B000004 13-2655998
020 C000004 24
020 A000005 UBS SECURITIES LLC
020 B000005 13-3873456
020 C000005 24
020 A000006 J.P. MORGAN SECURITIES, INC.
020 B000006 13-3224016

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

020 C000006 19
020 A000007 DEUTSCHE BANK SECURITIES INC.
020 B000007 13-2730828
020 C000007 17
020 A000008 CITIGROUP GLOBAL MARKETS INC.
020 B000008 11-2418191
020 C000008 17
020 A000009 RBC CAPITAL MARKETS CORPORATION
020 B000009 13-5033225
020 C000009 11
020 A000010 KEYBANC CAPITAL MARKETS
020 B000010 34-1391952
020 C000010 11
021 000000 322
022 A000001 UBS SECURITIES LLC
022 B000001 13-3873456
022 C000001 21578
PAGE 12
022 D000001 39558
022 A000002 CITIGROUP GLOBAL MARKETS INC.
022 B000002 11-2418191
022 C000002 21176
022 D000002 38410
022 A000003 MORGAN STANLEY
022 B000003 13-2655998
022 C000003 53475
022 D000003 5558
022 A000004 JEFFERIES & COMPANY, INC.
022 B000004 95-2622900
022 C000004 16575
022 D000004 16420
022 A000005 GOLDMAN, SACHS & CO.
022 B000005 13-5108880
022 C000005 16411
022 D000005 14119
022 A000006 J.P. MORGAN SECURITIES, INC.
022 B000006 13-3224016
022 C000006 18005
022 D000006 12221
022 A000007 BANC OF AMERICA SECURITIES LLC
022 B000007 56-2058405
022 C000007 8030
022 D000007 9510
022 A000008 WELLS FARGO INVESTMENTS, LLC
022 B000008 91-1374280
022 C000008 11288
022 D000008 6060
022 A000009 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.
022 B000009 13-5674085
022 C000009 4430
022 D000009 10619
022 A000010 CREDIT SUISSE SECURITIES (USA) L.L.C.
022 B000010 13-5659485
022 C000010 7547
022 D000010 4977
023 C000000 209773
023 D000000 187454
024 000000 Y
025 A000001 Citigroup, Inc.
025 B000001 11-2418191
025 C000001 E
025 D000001 17516

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

025 A000002 JPMorgan Chase & Co.
025 B000002 13-3224016
025 C000002 E
025 D000002 8355
025 A000003 The Goldman Sachs Group, Inc.
025 B000003 13-5108880
025 C000003 E

PAGE 13

025 D000003 8975
026 A000000 N
026 B000000 Y
026 C000000 N
026 D000000 Y
026 E000000 N
026 F000000 N
026 G010000 N
026 G020000 N
026 H000000 N
027 000000 N
028 A010000 0
028 A020000 0
028 A030000 0
028 A040000 0
028 B010000 0
028 B020000 0
028 B030000 0
028 B040000 0
028 C010000 0
028 C020000 0
028 C030000 0
028 C040000 0
028 D010000 0
028 D020000 0
028 D030000 0
028 D040000 0
028 E010000 0
028 E020000 0
028 E030000 0
028 E040000 0
028 F010000 0
028 F020000 0
028 F030000 0
028 F040000 0
028 G010000 0
028 G020000 0
028 G030000 0
028 G040000 0
028 H000000 0
030 A000000 0
030 B000000 0.00
030 C000000 0.00
031 A000000 0
031 B000000 0
032 000000 0
033 000000 0
035 000000 0
036 B000000 0
038 000000 0
042 A000000 0
PAGE 14
042 B000000 0
042 C000000 0

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

042 D000000 0
042 E000000 0
042 F000000 0
042 G000000 0
042 H000000 0
043 000000 0
044 000000 0
045 000000 Y
046 000000 N
047 000000 Y
048 000000 0.355
048 A010000 0
048 A020000 0.000
048 B010000 0
048 B020000 0.000
048 C010000 0
048 C020000 0.000
048 D010000 0
048 D020000 0.000
048 E010000 0
048 E020000 0.000
048 F010000 0
048 F020000 0.000
048 G010000 0
048 G020000 0.000
048 H010000 0
048 H020000 0.000
048 I010000 0
048 I020000 0.000
048 J010000 0
048 J020000 0.000
048 K010000 0
048 K020000 0.000
049 000000 N
050 000000 N
051 000000 N
052 000000 N
053 A000000 N
054 A000000 Y
054 B000000 Y
054 C000000 Y
054 D000000 N
054 E000000 N
054 F000000 N
054 G000000 Y
054 H000000 Y
054 I000000 N
054 J000000 Y
054 K000000 N
PAGE 15
054 L000000 Y
054 M000000 Y
054 N000000 N
054 O000000 Y
055 A000000 N
055 B000000 N
056 000000 Y
057 000000 N
058 A000000 N
059 000000 Y
060 A000000 Y
060 B000000 Y

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

061 000000 0
062 A000000 N
062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 Y
066 F000000 N
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 Y
PAGE 16
070 D020000 N
070 E010000 Y
070 E020000 N
070 F010000 Y
070 F020000 Y
070 G010000 Y
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 Y
070 I020000 N
070 J010000 Y
070 J020000 Y
070 K010000 Y
070 K020000 Y
070 L010000 Y
070 L020000 Y
070 M010000 Y
070 M020000 Y
070 N010000 Y
070 N020000 N
070 O010000 Y

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

070	O020000	N	
070	P010000	Y	
070	P020000	N	
070	Q010000	Y	
070	Q020000	N	
070	R010000	Y	
070	R020000	N	
071	A000000		533644
071	B000000		541505
071	C000000		1473461
071	D000000		36
072	A000000	6	
072	B000000		9132
072	C000000		17270
072	D000000		0
072	E000000		0
072	F000000		2613
072	G000000		405
072	H000000		0
072	I000000		177
072	J000000		11
072	K000000		36
072	L000000		0
072	M000000		23
072	N000000		0
072	O000000		0
072	P000000		0
072	Q000000		0
072	R000000		22
	PAGE	17	
072	S000000		9
072	T000000		0
072	U000000		0
072	V000000		32
072	W000000		140
072	X000000		3468
072	Y000000		0
072	Z000000		22934
072AA	000000		96803
072BB	000000		0
072CC	010000		0
072CC	020000		18883
072DD	010000		22030
072DD	020000		0
072EE	000000		0
073	A010000		0.3575
073	A020000		0.0000
073	B000000		0.0000
073	C000000		0.0000
074	A000000		0
074	B000000		0
074	C000000		0
074	D000000		347523
074	E000000		115523
074	F000000	1063826	
074	G000000		0
074	H000000		0
074	I000000		15039
074	J000000		7076
074	K000000		0
074	L000000		6001
074	M000000		44

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

074	N000000	1555032
074	O000000	13594
074	P000000	124
074	Q000000	0
074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	1234
074	S000000	37637
074	T000000	1502443
074	U010000	61579
074	U020000	0
074	V010000	24.40
074	V020000	0.00
074	W000000	0.0000
074	X000000	15624
074	Y000000	418
075	A000000	0
075	B000000	1452389
	PAGE	18
076	000000	20.98
077	A000000	Y
077	B000000	N
077	C000000	Y
077	D000000	N
077	E000000	Y
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	N
077	Q020000	N
077	Q030000	N
078	000000	N
080	C000000	0
081	B000000	0
082	B000000	0
083	B000000	0
084	B000000	0
086	A010000	56
086	A020000	1119
086	B010000	934
086	B020000	18750
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	TRI-CONTINENTAL - COMMON STOCK
087	A020000	895436103
087	A030000	TY
087	B010000	TRI-CONTINENTAL - PREFERRED STOCK

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

087 B020000 895436202
087 C010000 TRI-CONTINENTAL - WARRANT
087 C020000 895436111
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE SEE BELOW
TITLE SEE BELOW

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Boston State of: Massachusetts Date: August 19, 2014

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Michael G. Clarke

Michael G. Clarke
Treasurer

/s/ Joseph F. DiMaria

Joseph F. DiMaria
Chief Accounting Officer
Witness

TRI-CONTINENTAL CORPORATION