

Edgar Filing: PIMCO Dynamic Credit & Mortgage Income Fund - Form N-PX

PIMCO Dynamic Credit & Mortgage Income Fund
Form N-PX
August 29, 2018

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT
INVESTMENT COMPANY

Investment Company Act file number 811-22758

PIMCO Dynamic Credit and Mortgage Income Fund
(Exact name of registrant as specified in charter)

1633 Broadway, New York, NY 10019
(Address of principal executive offices) (Zip code)

William G. Galipeau
650 Newport Center Drive
Newport Beach, CA 92660
(Name and address of agent for service)

Registrant's telephone number, including area code: (844) 337-4626

Date of fiscal year end: June 30

Date of reporting period: July 1, 2017 to June 30, 2018

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***** FORM N-Px REPORT *****

ICA File Number: 811-22758
Reporting Period: 07/01/2017 - 06/30/2018
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CAESARS ENTERTAINMENT CORPORATION

Ticker: CZR Security ID: 127686103
Meeting Date: MAY 30, 2018 Meeting Type: Annual
Record Date: APR 04, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Mark Frissora | For | For | Management |
| 1.2 | Elect Director James Hunt | For | For | Management |
| 1.3 | Elect Director John Dionne | For | For | Management |
| 1.4 | Elect Director Richard Schifter | For | Withhold | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 3 | Other Business | For | Against | Management |

DOMMO ENERGIA SA

Ticker: DMMO.Y Security ID: P3601LAA1
Meeting Date: OCT 25, 2017 Meeting Type: Debenture Holder
Record Date:

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|--------------|------------|
| 1 | Approve Extension of the Deadline of the Private Instrument For Negative Covenant Signed on May 14, 2015 | For | Did Not Vote | Management |

DOMMO ENERGIA SA

Ticker: DMMO.Y Security ID: P3601LAA1
Meeting Date: OCT 25, 2017 Meeting Type: Debenture Holder
Record Date:

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Conversion of Debentures into Company Shares | For | For | Management |

DOMMO ENERGIA SA

Ticker: DMMO.Y Security ID: P3601LAB9
Meeting Date: OCT 25, 2017 Meeting Type: Debenture Holder
Record Date:

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Conversion of Debentures into Company Shares | For | For | Management |

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DOMMO ENERGIA SA

Ticker: DMMO.Y Security ID: P3601L103

Meeting Date: MAR 23, 2018 Meeting Type: Special

Record Date:

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|-----------------------------------|---------|-----------|------------|
| 1 | ratify Election of Directors | For | Abstain | Management |
| 2 | Approve Remuneration of Directors | For | Abstain | Management |

DOMMO ENERGIA SA

Ticker: DMMO.Y Security ID: P3601L103

Meeting Date: APR 30, 2018 Meeting Type: Annual

Record Date:

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|--------------|------------|
| 1 | Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2017 | For | Did Not Vote | Management |
| 2 | Approve Allocation of Income | For | Did Not Vote | Management |
| 3 | Fix Number of Directors | For | Did Not Vote | Management |
| 4 | Elect Directors | For | Did Not Vote | Management |
| 5 | Elect All Directors on Slate Proposed | For | Did Not Vote | Management |
| 6 | In Case There is Any Change to the Board Slate Composition, May Your Votes Still be Counted for the Proposed Slate? | None | Did Not Vote | Management |
| 7 | In Case Cumulative Voting Is Adopted, Do You Wish to Equally Distribute Your Votes to All Nominees in the Slate? | None | Did Not Vote | Management |
| 8.1 | Percentage of Votes to Be Assigned - Elect Roderick Fraser as Director | None | Did Not Vote | Management |
| 8.2 | Percentage of Votes to Be Assigned - Elect Conrado Lamastra Pacheco as Director | None | Did Not Vote | Management |
| 8.3 | Percentage of Votes to Be Assigned - Elect Marko Jovovic as Director | None | Did Not Vote | Management |
| 9 | Approve Remuneration of Company's Management | For | Did Not Vote | Management |
| 10 | Do You Wish to Request Installation of a Fiscal Council, Under the Terms of Article 161 of the Brazilian Corporate Law? | None | Did Not Vote | Management |
| 11 | Do You Wish to Adopt Cumulative Voting for the Election of the Members of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law? | None | Did Not Vote | Management |
| 12 | In the Event of a Second Call, the Voting Instructions Contained in this Remote Voting Card May Also be Considered for the Second Call? | None | Did Not Vote | Management |

FORBES ENERGY SERVICES LTD.

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Ticker: FRB Security ID: 345143200
 Meeting Date: JUN 18, 2018 Meeting Type: Annual
 Record Date: MAY 17, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|-------------|-----------|------------|
| 1.1 | Elect Director Lawrence 'Larry' First | For | Withhold | Management |
| 1.2 | Elect Director Brett G. Wyard | For | Withhold | Management |
| 2 | Ratify BDO USA, LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Advisory Vote on Say on Pay Frequency | Three Years | One Year | Management |

GRIFONAS FINANCIAL NO.1 PLC

Ticker: Security ID: G4180AAA2
 Meeting Date: MAY 14, 2018 Meeting Type: Bondholder
 Record Date:

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Approve Extraordinary Resolution as per Meeting Notice | For | For | Management |

OCEAN RIG UDW INC.

Ticker: ORIG Security ID: G66964118
 Meeting Date: NOV 03, 2017 Meeting Type: Special
 Record Date: SEP 25, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Adopt the Second Amended and Restated Memorandum and Articles | For | For | Management |
| 2 | Reduce Authorized Common and Preferred Stock | For | For | Management |
| 3 | Approve the Redesignation and Cancellation of Shares | For | For | Management |
| 4 | Other Business | For | Against | Management |

OCEAN RIG UDW INC.

Ticker: ORIG Security ID: G66964118
 Meeting Date: MAR 05, 2018 Meeting Type: Annual
 Record Date: JAN 31, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Ratify Ernst & Young (Hellas) Certified Auditors Accountants S.A as Auditors | For | Against | Management |
| 2 | Amend Articles Regarding Director and Officer Indemnification | For | Against | Management |

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TOWERGATE INSURANCE LTD.

Ticker: Security ID: 0547889U0
Meeting Date: MAY 15, 2018 Meeting Type: Annual
Record Date: MAY 11, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | Re-elect John Tiner as Director | For | For | Management |
| 2 | Re-elect David Ross as Director | For | For | Management |
| 3 | Re-elect Antonios Erotocritou as Director | For | For | Management |
| 4 | Re-elect Clive Bouch as Director | For | For | Management |
| 5 | Re-elect Patrick Butler as Director | For | For | Management |
| 6 | Re-elect Christine Dandridge as Director | For | For | Management |
| 7 | Re-elect Feilim Mackle as Director | For | For | Management |
| 8 | Re-elect Vahe Dombalagian as Director | For | For | Management |
| 9 | Re-elect Matthew Raino as Director | For | For | Management |
| 10 | Re-elect Scot French as Director | For | For | Management |
| 11 | Re-elect Aurelio Cusaro as Director | For | For | Management |
| 12 | Re-elect Matthieu Boulanger as Director | For | For | Management |
| 13 | Accept Financial Statements and Statutory Reports | For | For | Management |
| 14 | Reappoint Deloitte LLP as Auditors | For | For | Management |
| 15 | Authorise Board to Fix Remuneration of Auditors | For | For | Management |

===== END NPX REPORT

Signatures

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

PIMCO Dynamic Credit and Mortgage Income Fund

By: /s/ Peter G. Strelow
Peter G. Strelow,
President (Principal Executive Officer)

Date: August 29, 2018

[SEAL]