

ANGLOGOLD ASHANTI LTD

Form 6-K

March 01, 2019

**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**WASHINGTON, DC 20549**

**FORM 6-K**

**REPORT OF FOREIGN PRIVATE ISSUER**

**PURSUANT TO RULE 13a-16 OR 15d-16 OF**

**THE SECURITIES EXCHANGE ACT OF 1934**

Report on Form 6-K dated March 01, 2019

Commission File Number 1-14846

AngloGold Ashanti Limited

(Name of registrant)

76 Rahima Moosa Street

Newtown, 2001

(P.O. Box 62117, Marshalltown, 2107)

South Africa

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F.

**Form 20-F X**

Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Yes

**No X**

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

Yes

**No X**

Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes

**No X**

Enclosure: Press release:

**DEALINGS IN SECURITIES BY AN EXECUTIVE DIRECTOR AND THE  
COMPANY SECRETARY OF ANGLOGOLD ASHANTI LIMITED**

1

AngloGold Ashanti Limited  
(Incorporated in the Republic of South Africa)  
Reg. No. 1944/017354/06  
ISIN: ZAE000043485 - JSE share code: ANG  
CUSIP: 035128206 - NYSE share code: AU  
JSE Bond Company Code - BIANG  
("AngloGold Ashanti" or the "Company")

NEWS RELEASE

**DEALINGS IN SECURITIES BY AN EXECUTIVE DIRECTOR AND THE COMPANY SECRETARY OF ANGLOGOLD ASHANTI LIMITED**

In terms of paragraph 3.63 of the JSE Limited Listings Requirements (JSE Listings Requirements), AngloGold Ashanti gives notice that an executive director and the company secretary have dealt in ordinary shares of the Company, after having received clearance to do so in terms of paragraph 3.66 of the JSE Listings Requirements. The transactions were pursuant to a Co-Investment Plan (CIP) for the Company's executives.

In terms of the CIP, executives are allowed to apply up to 50% of their after-tax cash bonus to purchase AngloGold Ashanti ordinary shares. The Company then matches their investment at 150% through an on-market purchase of shares, with vesting over a two-year period in two equal tranches; the first vesting date being the anniversary of the date on which the executive purchased the shares and the second vesting date being the second anniversary of the date on which the executive purchased the shares.

The executive director opted to participate in the CIP in 2017. This being the second anniversary of the date on which the executive director purchased the shares in 2017, the Company has purchased and allocated matching shares to the executive director as detailed below:

Name of executive director

Christine Ramon

Name of company

AngloGold Ashanti Limited

Date of transaction

27 February 2019

Nature of transaction

On-market purchase of shares by the Company, being the allocation of the matched portion in respect of the second tranche

Class of security

Ordinary shares

Number of shares purchased

6,320

Purchase price per share

R203.0210

Value of transaction (excluding  
brokerage and other fees)

R1,283,092.72

Extent of interest

Direct beneficial

Prior clearance to deal

Obtained

Further, the executive director opted to participate in the CIP in 2018. This being the first anniversary of the date on which the executive director purchased the shares in 2018, the Company has purchased and allocated matching shares to the executive director as detailed below:

Name of executive director

Christine Ramon

Name of company  
AngloGold Ashanti Limited

Date of transaction

27 February 2019

Nature of transaction

On-market purchase of shares by the Company, being the allocation of the matched portion in respect of the first tranche

Class of security

Ordinary shares

Number of shares purchased

8,475

Purchase price per share

R203.0210

Value of transaction (excluding brokerage and other fees)

R1,720,602.98

Extent of interest

Direct beneficial

Prior clearance to deal

Obtained

Related taxes have been paid by the executive director by selling a portion of the shares allocated to the executive director by the Company as detailed below:

**SHARES SOLD TO SETTLE TAX COSTS**

The company secretary opted to participate in the CIP in 2018. This being the first anniversary of the date on which the company secretary purchased the shares in 2018, the Company has purchased and allocated matching shares to the company secretary as detailed below:

Name of officer

Maria Sanz Perez

Name of company

AngloGold Ashanti Limited

Date of transaction

27 February 2019

Nature of transaction

On-market purchase of shares by the Company, being the allocation of the matched portion in respect of the first tranche

Class of security

Ordinary shares

Number of shares

5,742

Purchase price per share

R204.3279

Value of transaction (excluding brokerage and other fees)

R1,173,250.80

Extent of interest

Direct beneficial

Name of executive director

Christine Ramon

Name of company

AngloGold Ashanti Limited

Date of transaction

27 February 2019

Nature of transaction

Edgar Filing: ANGLOGOLD ASHANTI LTD - Form 6-K

On-market sale of shares to fund tax liability in relation to costs  
incurred in CIP

Class of security

Ordinary shares

Number of shares sold

6,733

Selling price per share

R202.1413

Value of transaction (excluding  
brokerage and other fees)

R1,361,017.37

Extent of interest

Direct beneficial

Prior clearance to deal

Obtained

Prior clearance to deal  
Obtained

Related taxes have been paid by the company secretary by selling a portion of the shares allocated to the company secretary by the Company as detailed below:

**SHARES SOLD TO SETTLE TAX COSTS**

**ENDS**

28 February 2019

Johannesburg

JSE Sponsor: The Standard Bank of South Africa Limited

**CONTACTS**

**Media**

**Chris Nthite**

**+27 11 637 6388/+27 83 301 2481**

cnthite@anglogoldashanti.com

**Stewart Bailey**

**+27 81 032 2563/+27 11 637 6031**

sbailey@anglogoldashanti.com

**General inquiries**

media@anglogoldashanti.com

**Investors**

**Stewart Bailey**

**+27 81 032 2563/+27 11 637 6031**

sbailey@anglogoldashanti.com

**Sabrina Brockman**

**+1 646 880 4526/+1 646 379 2555**

sbrockman@anglogoldashanti.com

**Fundisa Mgidi**

**+27 11 637 6763/+27 82 821 5322**

fmgidi@anglogoldashanti.com

Website: www.anglogoldashanti.com

Name of executive director

Maria Sanz Perez

Name of company

AngloGold Ashanti Limited

Date of transaction

27 February 2019

Nature of transaction

On-market sale of shares to fund tax liability in relation to costs incurred in CIP

Class of security

Ordinary shares

Number of shares sold

2,613

Selling price per share

R204.3683

Value of transaction (excluding brokerage and other fees)

R534,014.37

Extent of interest

Direct beneficial

Prior clearance to deal

Obtained

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorised.

Date: March 01, 2019

AngloGold Ashanti Limited

By:

/s/ M E SANZ PEREZ

Name:

M E Sanz Perez

Title:

EVP: Group Legal, Commercial & Governance