

GREATBATCH, INC.

Form 3

November 13, 2006

**FORM 3****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â Arellano Mauricio

(Last) (First) (Middle)

9645 WEHRLE DRIVE

(Street)

CLARENCE,Â NYÂ 14031

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

11/02/2006

3. Issuer Name **and** Ticker or Trading Symbol  
GREATBATCH, INC. [GB]4. Relationship of Reporting  
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
VP, Medical Solutions Group5. If Amendment, Date Original  
Filed(Month/Day/Year)6. Individual or Joint/Group  
Filing(Check Applicable Line)  
☒ Form filed by One Reporting  
Person  
☐ Form filed by More than One  
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common

1,000 <sup>(1)</sup>

D

Â

Common

1,553 <sup>(2)</sup>

D

Â

Common

1,493 <sup>(3)</sup>

D

Â

Common

837

I

By 401(k)

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative  
Security2. Date Exercisable and  
Expiration Date3. Title and Amount of  
Securities Underlying

4. Conversion

5. Ownership

6. Nature of Indirect  
Beneficial

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(Instr. 4)	(Month/Day/Year)		Derivative Security (Instr. 4)		or Exercise Price of Derivative Security	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee stock options	12/31/2003 <sup>(4)</sup>	11/10/2013	Common	1,252	\$ 37.51	D	Â
Employee stock options	12/31/2004 <sup>(5)</sup>	05/25/2014	Common	2,000	\$ 26.65	D	Â
Employee stock options	12/31/2004 <sup>(6)</sup>	07/01/2014	Common	1,875	\$ 27.5	D	Â
Employee stock options	12/31/2005 <sup>(7)</sup>	03/31/2015	Common	6,535	\$ 18.24	D	Â
Employee stock options	12/31/2006 <sup>(8)</sup>	02/12/2016	Common	7,467	\$ 25.22	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Arellano Mauricio 9645 WEHRLE DRIVE CLARENCE, NY 14031	Â	Â	Â VP, Medical Solutions Group	Â

## Signatures

/s/ Christopher J. Thome as attorney-in-fact for Mauricio Arellano

11/13/2006

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of restricted stock pursuant to issuer's 2002 Restricted Stock Plan consisting of 1,000 shares of restricted stock vesting on the earlier to occur of (i) the date of the filing with the SEC of the issuer's audited financial statements relating to the first year in which the issuer's diluted Earnings Per Share exceeds \$2.88 per share or (ii) in full on the seventh anniversary of the date of the grant (October 1, 2011).
- (2) Grant of restricted stock pursuant to issuer's 2002 Restricted Stock Plan consisting of 1,553 shares of restricted stock vesting over a four-year period, 50% after the first two years, 25% after the third year and 25% after the fourth year. The vesting will occur on the last calendar day of the appropriate year.
- (3) Grant of restricted stock pursuant to issuer's Stock Incentive Plan consisting of 1,493 shares of restricted stock vesting over a four-year period, 50% after the first two years, 25% after the third year and 25% after the fourth year. The vesting will occur on the last calendar day of the appropriate year.
- (4) On November 10, 2003, the reporting person was granted an option to purchase 1,946 shares of common stock. The option vests in partial installments beginning December 31, 2003, subject to satisfaction of certain performance criteria by the issuer. The performance criteria for 1,252 shares has been met resulting in the vesting of those shares.
- (5) On May 25, 2004, the reporting person was granted an option to purchase 5,000 shares of common stock. The option vests in partial installments beginning December 31, 2004, subject to satisfaction of certain performance criteria by the issuer. The performance criteria for 2,000 shares has been met resulting in the vesting of those shares.
- (6) This option becomes exercisable as to 625 shares on December 31, 2004; 625 shares on December 31, 2005; and 625 shares on December 31, 2006.

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- (7) These non-qualified options vest in four equal installments beginning with 25% on December 31, 2005; 25% on December 31, 2006; 25% on December 31, 2007; and 25% on December 31, 2008.
- (8) This option vests in four equal installments on December 31, 2006; December 31, 2007; December 31, 2008; and December 31, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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