

METLIFE INC

Form 3

January 25, 2007

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Mullaney William J

(Last) (First) (Middle)

200 PARK AVENUE

(Street)

NEW YORK, NY 10166

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

01/17/2007

3. Issuer Name and Ticker or Trading Symbol  
METLIFE INC [MET]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

President, Institutional

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities Beneficially Owned  
(Instr. 4)3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

17,083 <sup>(1)</sup>

D

A

Common Stock

46

I

MetLife Policyholder Trust <sup>(2)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and Expiration Date  
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

## Edgar Filing: METLIFE INC - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	Â <u>(3)</u>	04/08/2011	Common Stock	10,050	\$ 29.95	D	Â
Employee Stock Option (Right to Buy)	Â <u>(3)</u>	02/18/2012	Common Stock	21,500	\$ 30.35	D	Â
Employee Stock Option (Right to Buy)	Â <u>(3)</u>	02/17/2013	Common Stock	16,000	\$ 26	D	Â
Employee Stock Option (Right to Buy)	Â <u>(4)</u>	02/16/2014	Common Stock	14,000	\$ 35.26	D	Â
Employee Stock Option (Right to Buy)	Â <u>(5)</u>	04/14/2015	Common Stock	19,000	\$ 38.47	D	Â
Employee Stock Option (Right to Buy)	Â <u>(6)</u>	02/27/2016	Common Stock	20,000	\$ 50.12	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Mullaney William J 200 PARK AVENUE NEW YORK, NY 10166	Â	Â	Â President, Institutional	Â

## Signatures

Gwenn L. Carr, authorized  
signer 01/25/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held in the Reporting Person's share deferral account, acquired pursuant to the MetLife Deferred Compensation Plan for Officers.
- (2) Shares held in trust under MetLife Policyholder Trust established to hold shares of Common Stock allocated to eligible policyholders of Metropolitan Life Insurance Company, a wholly-owned subsidiary of MetLife, Inc.
- (3) These options are currently vested and exercisable.
- (4) Two-thirds (2/3) of these options are currently vested and exercisable. The remaining one-third (1/3) will become exercisable on February 17, 2007.
- (5) One-third (1/3) of these options are currently vested and exercisable. The remaining two-thirds (2/3) will become exercisable on April 15, 2007 and April 15, 2008.
- (6) These options become exercisable in three equal installments on February 28, 2007, 2008 and 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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