FAIR ISAAC CORP

Form 4

December 17, 2013

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Washington, D.C. 20549

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Scadina Mark R

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Middle)

FAIR ISAAC CORP [FICO] 3. Date of Earliest Transaction

(Month/Day/Year) 12/13/2013

(Check all applicable)

Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify below)

EVP, Gen. Counsel & Sec.

181 METRO DRIVE

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SAN JOSE, CA 95110

(City)	(State) (2	Zip) Table	e I - Non-D	erivative S	Securi	ities Ac	equired, Disposed	of, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	TransactionAcquired (A) or Code Disposed of (D)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	12/13/2013		M	8,000	A	<u>(1)</u>	38,484.7922	D	
Common Stock	12/13/2013		M	4,000	A	(1)	42,484.7922	D	
Common Stock	12/13/2013		M	2,500	A	<u>(2)</u>	44,984.7922	D	
Common Stock	12/13/2013		M	3,750	A	<u>(2)</u>	48,734.7922	D	
Common Stock	12/13/2013		M	2,500	A	<u>(2)</u>	51,234.7922	D	

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Common Stock	12/13/2013	F	6,261 (3)	D	\$ 57 44,973.7922	D
Common Stock	12/13/2013	F	4,564 (4)	D	\$ 57 40,409.7922	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	TransactionDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am or Nur of Sha
Performance Share Units	<u>(1)</u>	12/13/2013		M		8,000	12/13/2012(5)	<u>(6)</u>	Common Stock	8,0
Performance Share Units	(1)	12/13/2013		M		4,000	12/13/2013(5)	<u>(6)</u>	Common Stock	4,0
Restricted Stock Units	<u>(2)</u>	12/13/2013		M		2,500	12/13/2012(7)	(6)	Common Stock	2,
Restricted Stock Units	<u>(2)</u>	12/13/2013		M		3,750	12/13/2012(7)	<u>(6)</u>	Common Stock	3,
Restricted Stock Units	<u>(2)</u>	12/13/2013		M		2,500	12/13/2013(7)	<u>(6)</u>	Common Stock	2,
Restricted Stock Units	<u>(2)</u>	12/13/2013		A	9,747		12/13/2014(7)	<u>(6)</u>	Common Stock	9,

# **Reporting Owners**

Reporting Owner Name / Address	iciationships						
•	Director	10% Owner	Officer	Other			
Scadina Mark R							
181 METRO DRIVE			EVP, Gen. Counsel & Sec.				
SAN JOSE, CA 95110							

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#### **Signatures**

/s/Nancy E. Fraser, Attorney-in-fact

12/16/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each earned performance share unit represents a right to receive one share of Fair Isaac common stock contingent upon continued employment.
- (2) Each restricted stock unit represents a right to receive one share of Fair Isaac common stock contingent upon continued employment.
- (3) Shares withheld by Company for payment of taxes due at vesting from earned performance share units.
- (4) Shares withheld by Company for payment of taxes due at vesting from restricted stock units.
- (5) The performance share units vest in four equal annual installments commencing on this date and one share will be delivered to the reporting person for each vested unit as soon as practicable thereafter.
- (6) No expiration date.
- (7) The restricted stock units vest in four equal annual installments commencing on this date and vested shares will be delivered to the reporting person as soon as practicable thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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