Otter Tail Corp Form 3 April 16, 2014

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement Otter Tail Corp [OTTR] ROGELSTAD TIMOTHY J (Month/Day/Year) 04/14/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 215 S CASCADE ST (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person (give title below) (specify below) **FERGUS** Form filed by More than One Sr VP, Elect Plat; Pres OTP FALLS, MNÂ 56537-2801 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock (1) D Â $2,570.3834 \stackrel{(2)}{=}$ Common Stock 1,944.3457 I **ESOP** Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

3. Title and Amount of 6. Nature of Indirect 1. Title of Derivative Security 2. Date Exercisable and (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial (Month/Day/Year) Derivative Security or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security:

Edgar Filing: Otter Tail Corp - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units (right to buy)	04/08/2015	04/08/2015	Common Stock	250	\$ (3)	D	Â
Restricted Stock Units (right to buy)	04/08/2016	04/08/2016	Common Stock	250	\$ (3)	D	Â
Restricted Stock Units (right to buy)	04/08/2016	04/08/2016	Common Stock	1,000	\$ <u>(3)</u>	D	Â
Restricted Stock Units (right to buy)	04/08/2017	04/08/2017	Common Stock	250	\$ (3)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
ROGELSTAD TIMOTHY J 215 S CASCADE ST	Â	Â	Sr VP, Elect Plat; Pres OTP	Â		
FERGUS FALLS, MN 56537-2801						

Signatures

/s/ Timothy J Rogelstad by Debra J Lill, Attorney-in-Fact

04/16/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Otter Tail Corporation announced Mr. Rogelstad as Sr Vice President, Electric Platform and President of Otter Tail Power Company at (1) the Shareholder Annual Meeting held April 14, 2014. His new role is effective immediately and he will report directly to Mr. Charles MacFarlane.
- Total direct holdings include shares of Common Stock acquired under the 2014 Stock Incentive Plan, which is a grant of Restricted Stock.

 2) The 1.800 shares granted 4/14/2014 yest 25% yearly commencing 4/8/2015. Other direct holdings include shares jointly held with spouse.
- (2) The 1,800 shares granted 4/14/2014 vest 25% yearly commencing 4/8/2015. Other direct holdings include shares jointly held with spouse in the Employee Stock Purchase Plan and shares acquired pursuant to Restricted Stock Unit distributions.
- (**3**) 1 -for -1

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2