Amphastar Pharmaceuticals, Inc.

Form 3 June 17, 2015

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

(Print or Type Responses)

1. Name and Address of Reporting

Person \* Statement Amphastar Pharmaceuticals, Inc. [AMPH] Zhou Rong (Month/Day/Year) 06/09/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O AMPHASTAR (Check all applicable) PHARMACEUTICALS, INC.. 11570 6TH STREET 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) EVP, Production \_X\_ Form filed by One Reporting Person **RANCHO** Form filed by More than One CUCAMONGA, Â CAÂ 91730 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Ownership Beneficially Owned Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock  $25,688 \frac{(1)}{}$ D Common Stock 99,668 Ι See footnote (2) Common Stock 5,000 I See footnote (3)Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

## Edgar Filing: Amphastar Pharmaceuticals, Inc. - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exer Expiration D (Month/Day/Year)	ate			4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	1	Amount or Number of Shares				
Employee stock option (right to buy)	(4)	12/21/2016	Common Stock	12,000	\$ 30.57	D	Â
Employee stock option (right to buy)	(4)	12/30/2017	Common Stock	24,000	\$ 31.65	D	Â
Employee stock option (right to buy)	(4)	08/28/2018	Common Stock	16,000	\$ 35.32	D	Â
Employee stock option (right to buy)	(4)	08/05/2019	Common Stock	16,000	\$ 20.26	D	Â
Employee stock option (right to buy)	(5)	03/08/2021	Common Stock	20,000	\$ 11.41	D	Â
Employee stock option (right to buy)	(6)	12/22/2021	Common Stock	20,000	\$ 14.23	D	Â
Employee stock option (right to buy)	(7)	11/07/2022	Common Stock	25,000	\$ 10.48	D	Â
Employee stock option (right to buy)	(8)	07/30/2023	Common Stock	28,000	\$ 10.93	D	Â
Employee stock option (right to buy)	(9)	04/14/2024	Common Stock	32,891	\$ 14.4	D	Â
Employee stock option (right to buy)	(10)	03/26/2025	Common Stock	18,524	\$ 14.95	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
topoliting of the remaining	Director	10% Owner	Officer	Other	
Zhou Rong C/O AMPHASTAR PHARMACEUTICALS, INC. 11570 6TH STREET RANCHO CUCAMONGA, CA 91730	Â	Â	EVP, Production	Â	

## **Signatures**

/s/ Ken Stupak, by power of	06/17/2015		
attorney	00/17/2015		
**Signature of Reporting Person	Date		

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 6,187 shares represented by deferred stock units, or DSUs, which vest in four equal annual installments beginning on March 26, 2016.
- (2) The shares are held of record by the Zhou Family Trust for which the reporting person serves as a trustee.
- (3) The shares are held of record by the reporting person's spouse.
- (4) Shares subject to the option are fully vested and immediately exercisable.
- (5) Shares subject to the option vest in five equal annual installments beginning on March 8, 2012.
- (6) Shares subject to the option vest in four equal annual installments beginning on December 22, 2012.
- (7) Shares subject to the option vest in four equal annual installments beginning on November 7, 2013.
- (8) Shares subject to the option vest in four equal annual installments beginning on July 30, 2014.
- (9) Shares subject to the option vest in four equal annual installments beginning on April 14, 2015.
- (10) Shares subject to the option vest in four equal annual installments beginning on March 26, 2016.

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#### **Remarks:**

### Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.