

ORTHOFIX INTERNATIONAL N V
Form 4
April 18, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Finegan Michael

(Last) (First) (Middle)
3451 PLANO PARKWAY

(Street)

LEWISVILLE, TX 75056

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol
ORTHOFIX INTERNATIONAL N V [OFIX]

3. Date of Earliest Transaction (Month/Day/Year)
04/14/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

 Director 10% Owner
 Officer (give title below) Other (specify below)
Chief Strategy Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| Common Stock | 04/14/2016 | 04/14/2016 | M ⁽¹⁾ | 50,000 A | \$ 38.11 | 90,996 | D |
| Common Stock | 04/14/2016 | 04/14/2016 | S ⁽¹⁾ | 52,222 D | \$ 42 | 38,774 | D |
| Common Stock | 04/18/2016 | 04/18/2016 | M ⁽¹⁾ | 20,000 A | \$ 25.01 | 58,774 | D |
| Common Stock | 04/18/2016 | 04/18/2016 | M ⁽¹⁾ | 8,750 A | \$ 21.78 | 67,524 | D |
| Common Stock | 04/18/2016 | 04/18/2016 | S ⁽¹⁾ | 28,750 D | \$ 44 | 38,774 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----------------|---|----------------------------|
| | | | | | | Date Exercisable | Expiration Date | | |
| 06/29/06 Stock Option | \$ 38.11 | 04/14/2016 | 04/14/2016 | M ⁽¹⁾ | 50,000 | ⁽²⁾ | 06/29/2016 | Common Stock | 50,000 |
| 06/30/09 Stock Option | \$ 25.01 | 04/18/2016 | 04/18/2016 | M ⁽¹⁾ | 20,000 | ⁽³⁾ | 06/30/2019 | Common Stock | 20,000 |
| 09/26/13 Stock Option | \$ 21.78 | 04/18/2016 | 04/18/2016 | M ⁽¹⁾ | 8,750 | ⁽⁴⁾ | 09/26/2023 | Common Stock | 8,750 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Finegan Michael 3451 PLANO PARKWAY LEWISVILLE, TX 75056 | | | Chief Strategy Officer | |

Signatures

/s/ Jeffrey M. Schumm, by power of attorney
Date 04/18/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

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The stock option exercises and sales reported in this Form 4 were effected pursuant to a Rule 10b-5-1 trading plan adopted by the reporting person on December 16, 2015.

- (2) These stock options vested in 1/3rd increments on the first, second and third anniversaries of the grant date, June 29, 2006.
- (3) These stock options vested in 1/3rd increments on the first, second and third anniversaries of the grant date, June 30, 2009.
- (4) These stock options vested in 1/4th increments on the first, second, third and fourth anniversaries of the grant date, September 26, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.