

GOMEZ GREGORY M
Form 4
February 01, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
GOMEZ GREGORY M

2. Issuer Name and Ticker or Trading Symbol
BADGER METER INC [BMI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
4545 W. BROWN DEER ROAD

3. Date of Earliest Transaction (Month/Day/Year)
01/30/2018

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

VP-Flow Instrumentation

(Street)
MILWAUKEE, WI 53223

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| | | | | | | | \$ |
| Common Stock | 01/30/2018 | | A ⁽¹⁾ | | 320.7438 | A | 47.8 |
| | | | | | | | <u>(2)</u> |
| Common Stock | | | | | | | 16,979 |
| | | | | | | | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price or Fair Value of Underlying Security (Instr. 3 and 4) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Options | \$ 19.345 | | | | | 05/01/2010 | 05/01/2019 | BMI Common Stock | 1,200 |
| Stock Options | \$ 19.205 | | | | | 05/07/2011 | 05/07/2020 | BMI Common Stock | 2,400 |
| Stock Options | \$ 18.295 | | | | | 05/06/2012 | 05/06/2021 | BMI Common Stock | 2,400 |
| Stock Options | \$ 18.075 | | | | | 05/04/2013 | 05/04/2022 | BMI Common Stock | 4,800 |
| Stock Options | \$ 25.645 | | | | | 03/01/2014 | 03/01/2023 | BMI Common Stock | 2,836 |
| Stock Options | \$ 27.18 | | | | | 03/07/2015 | 03/07/2024 | BMI Common Stock | 2,818 |
| Stock Options | \$ 28.33 | | | | | 03/06/2016 | 03/06/2025 | BMI Common Stock | 3,378 |
| Stock Options | \$ 33.975 | | | | | 03/04/2017 | 03/04/2026 | BMI Common Stock | 2,886 |
| Stock Options | \$ 36.45 | | | | | 03/03/2018 | 03/03/2027 | BMI Common Stock | 2,294 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| GOMEZ GREGORY M 4545 W. BROWN DEER ROAD MILWAUKEE, WI 53223 | | | VP-Flow Instrumentation | |

Signatures

Gregory M.
Gomez

02/01/2018

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of Badger Meter stock accumulated during 2017 and early 2018 in the participant's 401k component of the ESSOP, including contributions and 401K match.
- (2) Year end stock price for Badger Meter Common Stock used to calculate the Company's match.

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