

RAGONE DOMINICK
Form 4
March 05, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RAGONE DOMINICK

(Last) (First) (Middle)
C/O LAZARD LTD, 30
ROCKEFELLER PLAZA
(Street)

NEW YORK, NY 10112

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Lazard Ltd [LAZ]

3. Date of Earliest Transaction
(Month/Day/Year)
03/01/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)
Chief Accounting Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)		
				(A) or (D)	Price				
Class A Common Stock	03/01/2019		M	180	A	11	9,594	D	
Class A Common Stock	03/01/2019		F	89 ⁽²⁾	D	\$	37.43 ₍₃₎	9,505	D
Class A Common Stock	03/01/2019		M	7,268	A	11	16,773	D	
Restricted Class A	03/01/2019		M	5,859	D	11	3,489	D	

Edgar Filing: RAGONE DOMINICK - Form 4

Common
Stock

Class A Common Stock	03/01/2019	M	5,859	A	<u>(1)</u>	22,632	D
----------------------------	------------	---	-------	---	------------	--------	---

Class A Common Stock	03/01/2019	F	4,808 <u>(2)</u>	D	\$ 37.43 <u>(3)</u>	17,824	D
----------------------------	------------	---	---------------------	---	---------------------------	--------	---

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
				Code V	(A) (D)	Date Exercisable Expiration Date	Title	
Restricted Stock Units <u>(4)</u>	<u>(5)</u>	03/01/2019		A	610	<u>(6)</u> <u>(6)</u>	Class A Common Stock	610
Restricted Stock Units	<u>(5)</u>	03/01/2019		M	180	03/01/2019 03/01/2019	Class A Common Stock	180
Restricted Stock Units	<u>(5)</u>	03/01/2019		M	7,268	03/01/2019 03/01/2019	Class A Common Stock	7,268

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RAGONE DOMINICK C/O LAZARD LTD 30 ROCKEFELLER PLAZA			Chief Accounting Officer	

NEW YORK, NY 10112

Signatures

/s/ Dominick Ragone by Scott D. Hoffman under a P
of A

03/05/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
Shares of unrestricted Class A Common Stock were acquired upon the vesting of the relevant portion of prior grants of Restricted Stock
- (1) Units ("RSUs") and Restricted Class A Common Stock, including certain RSUs that had been acquired pursuant to the dividend equivalent reinvestment provisions of the underlying RSU awards.
 - (2) Represents shares of Class A Common Stock withheld by the Company to cover taxes arising from the vesting of RSUs and Restricted Class A Common Stock, as applicable.
 - (3) Represents the New York Stock Exchange closing price of Class A Common Stock on the trading day immediately preceding the vesting date of RSUs and Restricted Class A Common Stock referenced in Footnote (1).
 - (4) Additional RSUs were acquired pursuant to the dividend equivalent reinvestment provisions of underlying RSU awards.
 - (5) Each RSU represents a contingent right to receive one share of Class A Common Stock.
 - (6) Of these RSUs, 180 vested on March 1, 2019, 140 will vest on or around March 2, 2020, 155 will vest on or around March 1, 2021, and 135 will vest on or around March 1, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.