Edgar Filing: PERMA FIX ENVIRONMENTAL SERVICES INC - Form 4

PERMA FIX ENVIRONMENTAL SERVICES INC Form 4 August 02, 2007 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading ZWECKER MARK A Issuer Symbol PERMA FIX ENVIRONMENTAL (Check all applicable) SERVICES INC [pesi] (Last) (First) (Middle) 3. Date of Earliest Transaction X_ Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) 1042 CUMBERLAND ROAD 08/02/2007 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Dav/Year) Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting ATLANTA, GA 30306 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired 5. Amount of 7. Nature of 3. 6. Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial any (Month/Day/Year) (Instr. 8) Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 08/02/2007 A 3.881 245,500 D A Stock 1.5525 Common 08/02/2007 4,203 А \$1.74 249,703 D Α Stock Common 08/02/2007 Α 4.916 A \$ 1.785 254.619 D Stock Common \$ 08/02/2007 3.811 А D A 258.430 2.3025 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 2.125						(1)	12/08/2007	Common Stock	5,000
Stock Option	\$ 1.75						(2)	05/20/2008	Common Stock	5,000
Stock Option	\$ 1.25						(3)	12/15/2009	Common Stock	5,000
Stock Option	\$ 1.6875						(4)	12/13/2010	Common Stock	5,000
Stock Option	\$ 2.43						(5)	06/13/2011	Common Stock	5,000
Stock Option	\$ 2.58						(6)	11/06/2012	Common Stock	5,000
Stock Option	\$ 1.99						(7)	07/29/2013	Common Stock	12,000
Stock Option	\$ 1.7						(8)	07/28/2014	Common Stock	12,000
Stock Option	\$ 1.84						(9)	07/27/2015	Common Stock	12,000
Stock Option	\$ 2.15						(10)	07/27/2016	Common Stock	12,000
Stock Option	\$ 2.95	08/02/2007		А	12,000		(11)	08/02/2017	Common Stock	12,000

Reporting Owners

Reporting Owner Name / Address

Relationships

8 E S () Director 10% Owner Officer Other

ZWECKER MARK A 1042 CUMBERLAND ROAD X ATLANTA, GA 30306

Signatures

/s/ Mark A. Zwecker

08/02/2007

Date

<u>**</u>Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Option granted 12/8/97 under the Company's 1992 Outside Director's Stock Plan. The Options vest fully six months from date of grant
- (2) Stock Option granted 05/20/98 under the Company's 1992 Outside Director's Stock Plan. The Options vest fully six months from date of grant.
- $\begin{array}{l} \textbf{(3)} \quad & \begin{array}{l} \begin{array}{l} \text{Stock Option granted 12/15/99 under the Company's 1992 Outside Director's Stock Plan. The Options vest fully six months from date of grant. \end{array} \right. \end{array}$
- (4) Stock Option granted $\frac{12}{13}$ (0) under the Company's 1992 Outside Director's Stock Plan. The Options vest fully six months from date of grant.
- (5) Stock Option granted 06/13/01 under the Company's 1992 Outside Director's Stock Plan. The Options vest fully six months from date of grant.
- (6) $\frac{\text{Stock Option granted } 11/06/02 \text{ under the Company's 1992 Outside Director's Stock Plan. The Options vest fully six months from date of grant.}$
- (7) Stock Option granted 07/29/03 under the Company's 2003 Outside Director's Stock Plan. The Options vest fully six months from date of grant.
- (8) Stock Option granted 07/28/04 under the Company's 2003 Outside Director's Stock Plan. The Options vest fully six months from date of grant.
- (9) Stock Option granted 07/27/05 under the Company's 2003 Outside Director's Stock Plan. The Options vest fully six months from date of grant.
- (10) Stock Option granted $\frac{07}{27}$ /06 under the Company's 2003 Outside Director's Stock Plan. The Options vest fully six months from date of grant.
- (11) Stock Option granted $\frac{08}{02}$ /07 under the Company's 2003 Outside Director's Stock Plan. The Options vest fully six months from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.