Edgar Filing: PERMA FIX ENVIRONMENTAL SERVICES INC - Form 4

PERMA FIX ENVIRONMENTAL SERVICES INC

Form 4 July 01, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

REEDER JOE Issuer Symbol PERMA FIX ENVIRONMENTAL (Check all applicable) SERVICES INC [pesi] (Last) (First) (Middle) 3. Date of Earliest Transaction _X__ Director 10% Owner _ Other (specify Officer (give title (Month/Day/Year) below) 106 W. ROSEMONT AVENUE 06/27/2014

2. Issuer Name and Ticker or Trading

(Street)

(Zin)

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

5. Relationship of Reporting Person(s) to

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Person

ALEXANDRIA, VA 32653

(State)

(City)

(City)	(State) (2	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securities onAcquired (A) or			5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect
(Instr. 3)	•	any (Month/Day/Year)	Code (Instr. 8)	Disposed of (D) (Instr. 3, 4 and 5)			Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership
		(Month/Day/Tear)	(msu. o)	(Ilisti: 5, 4 and 3)			Following	(Instr. 4)	(Instr. 4)
				(A) or		Reported Transaction(s) (Instr. 3 and 4)			
Common			Code V	Amount	(D)	Price	()		
Stock	06/27/2014		G	1,083	D	\$0	101,288	D	
Common Stock	06/27/2014		G	2,165	D	\$0	99,123	D	
Common Stock	06/27/2014		G	3,030	D	\$0	96,093	D	
Common Stock	06/30/2014		G	1,083	D	\$0	95,010	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: PERMA FIX ENVIRONMENTAL SERVICES INC - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 8. Price Derivat Securit (Instr. 5

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day/	Date Exercisable and spiration Date Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 8.5					<u>(1)</u>	07/28/2014	Common Stock	2,400	
Stock Option	\$ 9.2					(2)	07/27/2015	Common Stock	2,400	
Stock Option	\$ 10.75					(3)	07/27/2016	Common Stock	2,400	
Stock Option	\$ 14.75					<u>(4)</u>	08/02/2017	Common Stock	2,400	
Stock Option	\$ 11.7					<u>(5)</u>	08/05/2018	Common Stock	2,400	
Stock Option	\$ 13.35					<u>(6)</u>	07/29/2019	Common Stock	2,400	
Stock Option	\$ 8.4					<u>(7)</u>	09/29/2020	Common Stock	2,400	
Stock Option	\$ 7.05					(8)	08/24/2021	Common Stock	2,400	
Stock Option	\$ 5.5					<u>(9)</u>	09/13/2022	Common Stock	2,400	
Stock Option	\$ 2.785					(10)	09/12/2023	Common Stock	2,400	

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

REEDER JOE 106 W. ROSEMONT AVENUE X ALEXANDRIA, VA 32653

Signatures

/s/Joe Reeder 07/01/2014

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Option granted 07/28/04 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (2) Stock Option granted 07/27/05 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (3) Stock Option granted 07/27/06 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (4) Stock Option granted 08/02/07 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (5) Stock Option granted 08/05/08 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (6) Stock Option granted 07/29/09 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (7) Stock Option granted 09/29/10 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (8) Stock Option granted 08/24/11 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (9) Stock Option granted 09/13/12 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (10) Stock Option granted 09/12/2013 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3