#### Edgar Filing: FREEDMAN ALLEN - Form 3/A

FREEDMAN ALLEN Form 3/A April 19, 2018

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement STONEMOR PARTNERS LP [STON] FREEDMAN ALLEN (Month/Day/Year) 04/04/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O STONEMOR PARTNERS 04/13/2018 (Check all applicable) L.P., Â 3600 HORIZON **BOULEVARD** 10% Owner \_X\_ Director (Street) Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person TREVOSE. PAÂ 19053 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common units representing limited partner D Â 20,832 interests Common units representing limited partner By StoneMor GP Holdings LLC 1,405 (1) Ι interests (2)(3)Common units representing limited partner  $20,798^{(4)}$ Ι By wife interests Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	Ownership E Form of (	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Derivative Security: Security Direct (D) or Indirect (I) (Instr. 5)		
Restricted Phantom Units	(5)	(5)	common	37,178.6334 (6)	\$ <u>(5)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

FREEDMAN ALLEN C/O STONEMOR PARTNERS L.P. 3600 HORIZON BOULEVARD TREVOSE, PAÂ 19053

X Â Â Â

# **Signatures**

/s/ Shirley Herman, Attorney-in-Fact 04/19/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the proportionate interest of the reporting person in 2,332,878 common units of StoneMor Partners, L.P. (the "Partnership") issued and sold to StoneMor GP Holdings LLC ("GP Holdings") by the Partnership, which purchase was funded by the purchase of common units of GP Holdings (the "GP Holdings Units") by a trust for the pecuniary benefit of American Cemeteries Infrastructure Investors, LLC ("ACII") (collectively, the "Transaction").
- (2) The reporting person is a member of GP Holdings.
- (3) The reporting person disclaims beneficial ownership of the common units except to the extent of his pecuniary interest therein.
- The reporting person's Form 4 filed on January 4, 2017 inadvertently reported that the reporting person indirectly beneficially owned 21,798 common units representing limited partnership interests through his spouse when, in fact, his spouse owned 20,798 common units representing limited partnership interests at such time and as of the date of this amendment.
- (5) Each restricted phantom unit representing limited partner interests is the economic equivalent of one common unit representing limited partner interests. Restricted phantom units become payable, in cash or common units, at the election of the issuer, upon the separation of the reporting person from service as a director or upon the occurrence of certain other events specified in Section 409A of the Internal Revenue Code of 1986, as amended.
- (6) Represents restricted phantom units allocated to the reporting person's deferred compensation account, including distribution equivalent rights that accrued under StoneMor Partners L.P. 2014 Long-Term Incentive Plan and under StoneMor Partners L.P. Long-Term Incentive Plan, as amended, credited to such person's deferred compensation account in the form of phantom units and accrued on all phantom units allocated or credited to such account.

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#### **Remarks:**

Reporting Owners 2

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The filing of this statement shall not be construed as an admission (a) that the person filing thisA

 $This \^A \ amendment \^A \ to \^A \ the \^A \ reporting \^A \ person's \^A \ Form \^A \ 3\^A \ filed \^A \ on \^A \ April \^A \ 13, \^A \ 2018 \^A \ (the \^A \ "Original \^A \ Report") \^A$ 

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.