Ferguson Robert L Form 4 December 20, 2011

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per 0.5

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

| 71   | ,   |                                |  |        |        |   |  |  |   |  |  |
|--|---|--------------------------------|--|--------|--------|---|--|--|---|--|--|
| 1  |   |                                | 2. Issuer Name <b>and</b> Ticker or Trading Symbol PERMA FIX ENVIRONMENTAL SERVICES INC [pesi] |        |        |   | -  | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                   |   |  |  |
| (Last)   | (First) (M  | Middle)                        | 3. Date of Earliest Transaction  |        |        |   |  | _X_ Director10% Owner  |   |  |  |
| 2753 HOLY  | NAMES PLAC  | (Month/Day/Year)<br>12/15/2011 |  |        |        |   | Officer (give title Other (specify below) below)   |  |   |  |  |
|  | (Street)  |                                | 4. If Amendment, Date Original   |        |        |   |  | 6. Individual or Joint/Group Filing(Check  |   |  |  |
|  | Filed(Month/Day/Year)   |                                |  |        |        | Applicable Line) _X_ Form filed by One Reporting Person |  |  |   |  |  |
| LAKE OSWEGO, OR 97034 — Form filed by More than One Reporting Person |   |                                |  |        |        |   |  |  |   |  |  |
| (City)   | (State)   | (Zip)                          | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned               |        |        |   |  |  |   | lly Owned                              |  |
| 1.Title of<br>Security<br>(Instr. 3)                                 | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) |                                | Code (D)   |        |        |   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect Indirect Indirect Ownership (Instr. 4) Instr. 4) |   |  |  |
| Common   |   |                                |  | Code V | Amount | (D)   | Price  |  |   |  |  |
| Stock  | 12/15/2011  |                                |  | G      | 64,935 | D   | \$0  | 265,328  | D |  |  |
| Common<br>Stock  |   |                                |  |        |        |   |  | 24,018   | I | Issued to Ferguson Financial Group (1) |  |
| Common<br>Stock  |   |                                |  |        |        |   |  | 27,046   | I | IRA<br>Holding (2)                     |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

#### Edgar Filing: Ferguson Robert L - Form 4

required to respond unless the form displays a currently valid OMB control number.

8. Pri Deriv Secur (Instr

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercis<br>Expiration Dat<br>(Month/Day/Y | e                  | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|--------------------------------------|---|--|---|---|--------------------|---|-------------------------------------|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable                               | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option                                     | \$ 2.95   |                                      |   |  |   | (3)   | 08/02/2017         | Common<br>Stock   | 30,000                              |
| Stock<br>Option                                     | \$ 2.34   |                                      |   |  |   | <u>(4)</u>  | 08/05/2018         | Common<br>Stock   | 12,000                              |
| Stock<br>Option                                     | \$ 2.67   |                                      |   |  |   | <u>(5)</u>  | 07/29/2019         | Common<br>Stock   | 12,000                              |
| Warrant   | \$ 1.5  |                                      |   |  |   | 12/29/2011  | 05/08/2012         | Common<br>Stock   | 67,500                              |
| Stock<br>Option                                     | \$ 1.41   |                                      |   |  |   | <u>(6)</u>  | 08/24/2021         | Common<br>Stock   | 30,000                              |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Ferguson Robert L 2753 HOLY NAMES PLACE. V-28 X LAKE OSWEGO, OR 97034

## **Signatures**

/s/Robert L. 12/19/2011 Ferguson

\*\*Signature of Date
Reporting Person

Reporting Owners 2

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Held in name of Ferguson Financial Group, entity controlled by Mr. Ferguson.
- (2) Issued to USB Financial Services, Inc., as custodian for Robert L. Ferguson, IRA.
- (3) Stock Option granted 08/02/07 under the Company's 2003 Outside Director's Stock Plan. The Options vest fully six months from date of grant.
- (4) Stock Option granted 08/05/08 under the Company's 2003 Outside Director's Stock Plan. The Options vest fully six months from date of grant.
- (5) Stock Option granted 07/29/09 under the Company's 2003 Outside Director's Stock Plan. The Options vest fully six months from date of grant.
- (6) Stock Option granted 08/24/11 under the Company's 2003 Outside Director's Stock Plan. The Options vest fully six months from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.