Ortale Gary John Form 4 November 02, 2012

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** Washington, D.C. 20549 Number:

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Ortale Gary John

2. Issuer Name and Ticker or Trading Symbol

MidWestOne Financial Group, Inc.

[MOFG]

(Last) (First) (Middle)

102 S. CLINTON STREET, P.O. **BOX 1700** 

(Street)

3. Date of Earliest Transaction

(Month/Day/Year) 10/31/2012

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Director 10% Owner X\_ Officer (give title \_ Other (specify below)

EVP, CFO & Treasurer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

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|---|----|-----|-----|----|-----|----|----|---|---|
|---|----|-----|-----|----|-----|----|----|---|---|

| (City)                               | (State)                                 | Zip) Table  | I - Non-D                              | erivative :   | Secur | ities Acqu   | iired, Disposed of   | , or Beneficial   | y Owned |
|--------------------------------------|---|---|--|---|-------|--|--|---|---------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or |       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |         |
| Common<br>Stock                      |   |   | Code v                                 | Amount  | (D)   | Price  | 4,270  | D   |         |
| Common<br>Stock                      |   |   |  |   |       |  | 1,390  | I   | By ESOP |
| Common<br>Stock                      | 10/31/2012                              |   | P                                      | 150   | A     | \$<br>19.75  | 7,650  | I   | By IRAs |
| Common<br>Stock                      | 11/01/2012                              |   | P                                      | 483   | A     | \$ 20.3  | 8,133  | I   | By IRAs |
| Common<br>Stock                      | 11/01/2012                              |   | P                                      | 12  | A     | \$ 20.2  | 8,145  | I   | By IRAs |

#### Edgar Filing: Ortale Gary John - Form 4

| Common<br>Stock | 11/01/2012 | P | 5   | A | \$<br>20.11 | 8,150 | I | By IRAs              |
|-----------------|------------|---|-----|---|-------------|-------|---|----------------------|
| Common<br>Stock | 11/02/2012 | P | 850 | A | \$ 20.5     | 9,000 | I | By IRAs              |
| Common<br>Stock |            |   |     |   |             | 1,500 | I | By<br>Spousal<br>IRA |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 8. Pri Deriv Secur (Instr

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  |
|---|---|--------------------------------------|---|--|---|---------------------|--------------------|---|--|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 16.69  |                                      |   |  |   | (2)                 | 04/01/2018         | Common<br>Stock   | 500                                    |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 9.34   |                                      |   |  |   | (3)                 | 01/22/2019         | Common<br>Stock   | 500                                    |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 7.02   |                                      |   |  |   | <u>(4)</u>          | 07/16/2019         | Common<br>Stock   | 4,800                                  |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Ortale Gary John 102 S. CLINTON STREET P.O. BOX 1700 IOWA CITY, IA 52244-1700

EVP, CFO & Treasurer

#### **Signatures**

Kenneth R. Urmie, under Power of Attorney dated January 22, 2009

11/02/2012

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares are held in MidWestOne Financial Group, Inc. Employee Stock Ownership Plan and allocated to reporting person's account as of July 31, 2012. The number of shares has increased by 405 shares since the reporting person's previous Form filing.
- (2) The option vests in four equal annual installments beginning on April 1, 2009.
- (3) The option vests in four equal annual installments beginning on January 22, 2010.
- (4) The option vests in four equal annual installments beginning on July 16, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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