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AMERICAN AXLE & MANUFACTURING HOLDINGS INC

Form 3

December 07, 2007

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement AMERICAN AXLE & MANUFACTURING SMITH KEVIN MARK (Month/Day/Year) HOLDINGS INC [AXL] 12/01/2007 (Middle) (Last) (First) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) ONE DAUCH DRIVE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% Owner _X_ Form filed by One Reporting X_ Officer Other Person DETROIT. MIÂ 48211-1198 (give title below) (specify below) _ Form filed by More than One Vice President, Mexico Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock D Â 16,130 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

| 1. Title of Derivative Security | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security | | 4. | 5. | Nature of Indirect |
|---------------------------------|--|------------|--|-----------|-------------|-------------|--------------------------------------|
| (Instr. 4) | | | | | Conversion | Ownership | Beneficial Ownership |
| | | | | | or Exercise | Form of | (Instr. 5) |
| | | | (Instr. 4) | | Price of | Derivative | |
| | ъ. | F | m: d | | Derivative | Security: | |
| | Date | Expiration | Title | Amount or | Security | Direct (D) | |
| | Exercisable | Date | | Number of | • | or Indirect | |

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| | | | | Shares | | (I) (Instr. 5) | |
|--------------------------------------|-----|------------|-----------------|--------|----------|-------------------|---|
| Employee Stock Option (right to buy) | (1) | 05/19/2010 | Common Stock | 10,000 | \$ 15.32 | D | Â |
| Employee Stock Option (right to buy) | (2) | 04/02/2011 | Common Stock | 9,000 | \$ 8.85 | D | Â |
| Employee Stock Option (right to buy) | (3) | 01/23/2012 | Common Stock | 9,500 | \$ 24.15 | D | Â |
| Employee Stock Option (right to buy) | (4) | 01/22/2013 | Common Stock | 10,000 | \$ 23.73 | D | Â |
| Employee Stock Option (right to buy) | (5) | 02/02/2014 | Common Stock | 10,000 | \$ 38.7 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|------------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| SMITH KEVIN MARK ONE DAUCH DRIVE DETROIT. MI 48211-1198 | Â | Â | Vice President, Mexico | Â | | |

Date

Signatures

Laura L. Douglas, Attorney-in-fact

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options are fully vested.
- (2) The options are fully vested.
- (3) The options are fully vested.
- (4) The options are fully vested.
- (5) The options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2