Stock Yards Bancorp, Inc.

Form 4

March 28, 2017

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Poindexter Philip Issuer Symbol Stock Yards Bancorp, Inc. [SYBT] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify X\_ Officer (give title 320 MOCKINGBIRD HILL ROAD 03/24/2017 below) **Executive Vice President** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

LOUISVILLE, KY 40207

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or Di (D) (Instr. 3,	4 and (A) or	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/24/2017		A	4,912	A	\$ 39.9	26,430	D	
Common Stock	03/24/2017		F	2,448	D	\$ 39.9	23,982	D	
Common Stock							11,590	I	401k/ESOP-FBO Philip Poindexter

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		te	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 15.84					03/15/2012	03/15/2021	Common Stock	6,145
Stock Appreciation Right	\$ 15.24					02/20/2013	02/20/2022	Common Stock	10,698
Stock Appreciation Right	\$ 15.26					02/19/2014	02/19/2023	Common Stock	7,575
Stock Appreciation Right	\$ 19.37					02/18/2015	02/18/2024	Common Stock	8,872
Stock Appreciation Right	\$ 22.96					03/17/2016	03/17/2025	Common Stock	8,098
Stock Appreciation Right	\$ 25.76					03/15/2017	03/15/2026	Common Stock	9,469
Stock Appreciation Right	\$ 40					03/21/2018	03/21/2017	Common Stock	4,968

# **Reporting Owners**

Reporting Owner Name / Address	Ketationships			
	Director	10% Owner	Officer	Other
oindexter Philip			Executive Vice President	

Poindexter Philip 320 MOCKINGBIRD HILL ROAD

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LOUISVILLE, KY 40207

## **Signatures**

/s/ Philip Poindexter

03/28/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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