Edgar Filing: Marshall Dennis E - Form 4

Marshall D Form 4	ennis E										
July 03, 20	18										
FORM	ЛД								PPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							N OMB Number:	3235-0287			
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). StateMent of CHANGES IN BENEFICIAL OWN SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Section 17(a) of the Public Utility Holding Company Act of 1940 30(h) of the Investment Company Act of 1940						nge Act of 1934, of 1935 or Secti	Estimated burden hou response	urs per			
(Print or Type	Responses)										
1. Name and Marshall D	Address of Reporting Dennis E		Symbol	er Name an ARGO, IN		-	5. Relationship Issuer				
(Last)	(First) (A	Middle)	3. Date of Earliest Transaction				(Check all applicable)				
14921 CHESTNUT ST.			(Month/Day/Year) 06/29/2018				X_ Director 10% Owner Officer (give title Other (specify below) below)				
	(Street)			endment, D onth/Day/Yea	-	ıl	6. Individual or Applicable Line) _X_ Form filed by Form filed by		erson		
WESTMIN	NSTER, CA 92683	3					Person				
(City)	(State)	(Zip)	Tab	ole I - Non-l	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution I any (Month/Day	Date, if	Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, 4 Amount	(A) or of (D) 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Reminder: Re	port on a separate line	e for each cla	ss of sec	urities bene	ficially own	ned directly	or indirectly.				
					Perso inforn requir	ns who res nation con red to resp nys a curre	spond to the collect tained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)		
	Tab	le II - Deriva	ative Sec	curities Acc	quired, Dis	posed of, or	Beneficially Owne	d			

II - Derivative Securities Acquired, Disposed of, or Beneficiall (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative		3. Transaction Date (Month/Day/Year)			5. Number of orDerivative	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities
Security	or Exercise	(Woldin Day Tear)	any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)	<u>.</u>	

	Derivative Security			or Disposed o (D) (Instr. 3, 4, and 5)	f			
			Code V	7 (A) (D) Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase Common Stock	\$ 0.43	06/29/2018	А	43,605	06/29/2018	06/29/2028	Common stock	43,605

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Marshall Dennis E 14921 CHESTNUT ST. WESTMINSTER, CA 92683	Х					
Signatures						
/s/ John R. Browning, Attorney in fact		07/03/20)18			

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Option was issued to Reporting Person as payment for \$18,750 in fees due to Reporting Person by Issuer in exchange for services on its board of directors for the three months ended June 30, 2018, pursuant to the Issuer's 2018 Equity Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.