**Kugler Gary** Form 4 January 03, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### Check this box if no longer subject to Section 16. Form 4 or Form 5

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response...

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* **Kugler Gary** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**OMB** 

Number:

Expires:

PERMA FIX ENVIRONMENTAL SERVICES INC [PESI]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

below)

10% Owner Officer (give title Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

(Month/Day/Year)

8302 DUNWOODY PLACE, SUITE 01/02/2018

(Street)

(State)

250

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

X\_ Director

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

ATLANTA, GA 30350

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 1. Title of Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Zip)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. 7. Nature of Ownership Form: Direct Beneficial (D) or Indirect (I)

Indirect Ownership (Instr. 4)

Reported (Instr. 4)

Transaction(s)

Code V Amount (D) Price

(A)

(Instr. 3 and 4)

Common Stock

(City)

01/02/2018

A 2.137

41,960 2.7375

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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8. Price Derivat Securit (Instr. 5

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration (Month/I	n D		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisa	ole	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 3.7					<u>(1)</u>		09/18/2024	Common Stock	2,400
Stock Option	\$ 4.19					(2)		09/17/2025	Common Stock	2,400
Stock Option	\$ 4.6					(3)		07/28/2026	Common Stock	2,400
Stock Option	\$ 3.55					<u>(4)</u>		07/27/2027	Common Stock	2,400

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

Kugler Gary 8302 DUNWOODY PLACE, SUITE 250 X ATLANTA, GA 30350

## **Signatures**

/s/ Gary Kugler 01/03/2018

\*\*Signature of Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Option granted 09/18/14 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (2) Stock Option granted 09/17/15 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (3) Stock Option granted 07/28/16 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant
- (4) Stock Option granted 07/27/17 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.

Reporting Owners 2

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.