

MENDELSON VICTOR H
Form 4
October 03, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MENDELSON VICTOR H

2. Issuer Name and Ticker or Trading Symbol
HEICO CORP [HEI, HEI.A]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
825 BRICKELL BAY DRIVE,
SUITE 1644

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
09/29/2017

Director 10% Owner
 Officer (give title below) Other (specify below)
Co-President

MIAMI, FL 33131

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | Code | V | Amount or Price | | |
| Common Stock | | | | | 348,970 ⁽¹⁾ | D | |
| Class A Common Stock | 09/29/2017 | | P | | 559 A \$ 76.0825 | 182,090 ⁽¹⁾ | D |
| Class A Common Stock | 06/30/2017 | | G | V | 170 D \$ 0 | 169,223 ⁽¹⁾ | I Owned by Corporation ⁽²⁾ |
| Common Stock | | | | | | 110,410 ⁽¹⁾ | I Owned by Partnership ⁽³⁾ |

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| | | | | | | | | | |
|----------------------|------------|--|---|-----|---|------------------------|-----------------------|---------------------------|------------------|
| Common Stock | | | | | | 3,048 ⁽¹⁾ | I | As custodian for children | |
| Class A Common Stock | | | | | | 12,250 ⁽¹⁾ | I | As custodian for children | |
| Common Stock | | | | | | 58,658 ⁽¹⁾ | I | By 401(k) ⁽⁴⁾ | |
| Class A Common Stock | | | | | | 55,512 ⁽¹⁾ | I | By 401(k) ⁽⁴⁾ | |
| Common Stock | | | | | | 590 ⁽¹⁾ | I | By Keogh Account | |
| Class A Common Stock | 09/29/2017 | | P | 945 | A | \$ 76.0825 | 10,326 ⁽¹⁾ | I | By Keogh Account |
| Common Stock | | | | | | 297,806 ⁽¹⁾ | I | By Trusts ⁽⁵⁾ | |
| Class A Common Stock | | | | | | 87,810 ⁽¹⁾ | I | By Trusts ⁽⁵⁾ | |
| Common Stock | | | | | | 18,437 ⁽¹⁾ | I | By Trusts ⁽⁶⁾ | |
| Class A Common Stock | | | | | | 5,418 ⁽¹⁾ | I | By Trusts ⁽⁶⁾ | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|

| | | | | | |
|------|---|---------------------|--------------------|-------|--|
| | | | | | Amount or Number of Shares |
| | | Date Exercisable | Expiration Date | Title | |
| Code | V | (A) | (D) | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------|-------|
| | Director | 10% Owner | Officer | Other |
| MENDELSON VICTOR H 825 BRICKELL BAY DRIVE, SUITE 1644 MIAMI, FL 33131 | X | | Co-President | |

Signatures

/s/ Victor H.
Mendelson

10/03/2017

**Signature of Reporting
Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All shares reflect the impact of a 5-for-4 stock split that was effected on April 19, 2017
- (2) Represents shares owned by Mendelson International Corporation whose stock is owned solely by the Reporting Person and Eric Mendelson, the brother of the Reporting Person.
- (3) Represents shares owned by VHM Management Limited Partners, a partnership whose sole general partner is a corporation controlled by the Reporting Person.
- (4) Represents shares held for the benefit of the Reporting Person by the HEICO Corporation 401(k), based on a plan statement dated September 28, 2017.
- (5) Represents shares gifted by the Reporting Person to trusts for the benefit of the Reporting Person's immediate family members and whose Trustee is the Reporting Person.
- (6) Represents shares owned by the Victor H. Mendelson Revocable Investment Trust which is owned solely by the Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.