

OXFORD INDUSTRIES INC
Form 4
November 05, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LANIER JOHN HICKS

2. Issuer Name and Ticker or Trading Symbol
OXFORD INDUSTRIES INC
[OXM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
999 PEACHTREE ST NE, SUITE 688
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/01/2013

Director 10% Owner
 Officer (give title below) Other (specify below)

ATLANTA, GA 30309

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|------------------|
| | | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 11/01/2013 | | S ⁽¹⁾ | | | 15,500 | D | \$ 69.165 (2) |
| Common Stock | 11/01/2013 | | S ⁽¹⁾ | | | 6,300 | D | \$ 69.802 (3) |
| Common Stock | 11/01/2013 | | S ⁽¹⁾ | | | 2,700 | D | \$ 70.926 (4) |
| Common | 11/01/2013 | | S ⁽¹⁾ | | | 500 | D | \$ 406,916 |

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| | | | | | | | | | |
|--------------|------------|--|------------------|--------|---|-------------|---------|-------------------|---------------|
| Stock | | | | | | 71,696 | | | |
| | | | | | | <u>(5)</u> | | | |
| | | | | | | \$ | | | |
| Common Stock | 11/04/2013 | | S ⁽¹⁾ | 1,300 | D | 70.209 | 405,616 | D | |
| | | | | | | <u>(6)</u> | | | |
| | | | | | | \$ | | | |
| Common Stock | 11/04/2013 | | S ⁽¹⁾ | 5,021 | D | 71.112 | 400,595 | D | |
| | | | | | | <u>(7)</u> | | | |
| | | | | | | \$ | | | |
| Common Stock | 11/04/2013 | | S ⁽¹⁾ | 2,300 | D | 72.026 | 398,295 | D | |
| | | | | | | <u>(8)</u> | | | |
| | | | | | | \$ | | | |
| Common Stock | 11/04/2013 | | S ⁽¹⁾ | 13,921 | D | 73.194 | 384,374 | D | |
| | | | | | | <u>(9)</u> | | | |
| | | | | | | \$ | | | |
| Common Stock | 11/04/2013 | | S ⁽¹⁾ | 5,458 | D | 73.761 | 378,916 | D | |
| | | | | | | <u>(10)</u> | | | |
| | | | | | | \$ | | | |
| Common Stock | 11/05/2013 | | S ⁽¹⁾ | 24,300 | D | 72.452 | 354,616 | D | |
| | | | | | | <u>(11)</u> | | | |
| | | | | | | \$ | | | |
| Common Stock | 11/05/2013 | | S ⁽¹⁾ | 700 | D | 73.126 | 353,916 | D | |
| | | | | | | <u>(12)</u> | | | |
| Common Stock | | | | | | | 66,947 | I | By Spouse |
| Common Stock | | | | | | | 581,600 | I ⁽¹³⁾ | By Trusts |
| Common Stock | | | | | | | 492,477 | I ⁽¹³⁾ | By Foundation |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|

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(9) This transaction was executed in multiple trades at prices ranging from \$72.66 per share to \$73.65 per share. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

(10) This transaction was executed in multiple trades at prices ranging from \$73.66 per share to \$73.94 per share. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

(11) This transaction was executed in multiple trades at prices ranging from \$71.96 per share to \$72.94 per share. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

(12) This transaction was executed in multiple trades at prices ranging from \$72.99 per share to \$73.97 per share. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

(13) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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