PIGOTT MARK C

Form 4 June 07, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

5. Relationship of Reporting Person(s) to

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

PIGOTT MA	ARK C		Symbol PACCA	R INC [F	PCAR]		Is	(Chaoli	all applicable	2)
(Last) 777 106TH	(First)  AVENUE NE	(Middle)	3. Date of (Month/Date 06/05/20	•	ansaction		_	_X Director _X Officer (give elow)	10%	Owner er (specify
BELLEVUE	4. If Amendment, Date Original Filed(Month/Day/Year)				A 	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Table	e I - Non-D	erivative Se	curiti	es Acqui	red, Disposed of,	or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Execution	emed on Date, if /Day/Year)	3. Transactic Code (Instr. 8)	4. Securitie coor Disposec (Instr. 3, 4 a	d of (E	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
COMMON STOCK								2,886,445	D	
COMMON STOCK								1,308,892	I (1)	EASCLIFFE COMPANY
COMMON STOCK								283,280	I	WIFE AND CHILDREN
COMMON STOCK (SIP) (2)	06/05/2018			J <u>(3)</u>	371.128	A	\$ 64.16	85,445.71 (4)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number of or Derivative Securities Acquired (A) Disposed of (I (Instr. 3, 4, an 5)	Expiration Da (Month/Day/Yor	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securiti (Instr. 3 and 4)	
				Code V	(A) (I	Date Exercisable	Expiration Date	Title	An Nu Sha	
STOCK OPTION (5)	\$ 30.81					01/01/2012	02/06/2019	COMMON STOCK	19	
STOCK OPTION (5)	\$ 36.12					01/01/2013	02/02/2020	COMMON STOCK	13	
STOCK OPTION (5)	\$ 50.5					01/01/2014	02/03/2021	COMMON STOCK	9	
STOCK OPTION (5)	\$ 43.24					01/01/2015	02/02/2022	COMMON STOCK	13	
STOCK OPTION (5)	\$ 47.81					01/01/2016	02/06/2023	COMMON STOCK	10	
STOCK OPTION (5)	\$ 59.15					01/01/2017	02/07/2024	COMMON STOCK	8	
COMMON STOCK (LTIP) (6)	<u>(6)</u>	06/05/2018		J <u>(7)</u>	192.844	<u>(6)</u>	<u>(6)</u>	COMMON STOCK	19	
COMMON STOCK (DICP) (8)	<u>(8)</u>	06/05/2018		J <u>(9)</u>	480.613	(8)	<u>(8)</u>	COMMON STOCK	48	
COMMON STOCK (DCP) (10)	(10)	06/05/2018		J <u>(11)</u>	146.934	(10)	(10)	COMMON STOCK	14	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
PIGOTT MARK C 777 106TH AVENUE NE	X		Executive Chairman				

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BELLEVUE, WA 98004

### **Signatures**

Mark C. Pigott by Irene E. Song POA

06/07/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held by a corporation in which Reporting Person is a shareholder. Holding is reported voluntarily as Reporting Person is not a controlling shareholder and has no voting or investment power with respect to the Issuer's securities.
- (2) Shares held in PACCAR Savings Investment Plan (SIP).
- (3) June 5, 2018 dividend on PACCAR Savings Investment Plan (SIP) shares reinvested pursuant to SIP.
- Balance includes shares awarded under SIP (Company match) since date of last report in a transaction that was exempt under both Rule 16b-3(d) and Rule 16b-3(c).
- (5) Option to buy awarded under PACCAR Long Term Incentive Plan (LTIP).
- (6) Share units held in deferred phantom stock account under LTIP convertible to common stock on a one for one basis upon satisfaction of all applicable conditions.
- (7) Dividend on share units held in deferred phantom stock account under LTIP reinvested pursuant to LTIP.
- (8) Share units held in deferred phantom stock account under PACCAR Deferred Incentive Compensation Plan (DICP) convertible to common stock on a one for one basis upon satisfaction of all applicable conditions.
- (9) Dividend on share units held in deferred phantom stock account under DICP reinvested pursuant to DICP.
- (10) Share units held in deferred phantom stock account under PACCAR Deferred Compensation Plan (DCP) convertible to common stock on a one for one basis upon satisfaction of all applicable conditions
- (11) Dividend on share units held in deferred phantom stock account under DCP reinvested pursuant to DCP.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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