Edgar Filing: GIORDANO MICHAEL R - Form 4

GIORDANO I Form 4 March 16, 201 FORM Check this if no longer subject to Section 16. Form 4 or Form 5 obligations	1 4 UNITED S ⁷ box STATEMI Filed pursu	SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES ection 16(a) of the Securities Exchange Act of 1934,						OMB APPROVAL OMB 3235-0287 Number: January 31, 2005 Estimated average burden hours per response 0.5				
See Instruction 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type Re	sponses)											
1. Name and Address of Reporting Person <u>*</u> GIORDANO MICHAEL R			8					5. Relationship of Reporting Person(s) to ssuer				
(Last)	(First) (Mi	irst) (Middle) 3. Date of Earliest Transa						(Check	ck all applicable)			
15660 DALLAS PARKWAY, SUITE 850			(Month/Day/Year) 03/15/2011					Director 10% Owner Officer (give title Other (specify below)				
(Street)			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check				
Filed(Mor DALLAS, TX 75248								Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State) (Z	Zip)	Table I	- Non-Deriv	vative Secu	rities		Disposed of.	or Beneficially	Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Transaction Date 2A. Deemed			4. Securit or Dispos (Instr. 3, 4	ies Aco ed of (4 and 5 (A) or	quired (A) D)	5. Amount Securities Beneficiall Owned Following Reported Transaction (Instr. 3 and	of 6. Ownersh y Form: Direct (D or Indirec (I) n(s) (Instr. 4)	 7. Nature p of Indirect Beneficial Ownership 		
Diodes Incorporated Common Stock				Code V	Amount	(D)	Price	7,262	Ι	Family Trust		
Diodes Incorporated Common Stock	03/15/2011			M <u>(1)</u>	10,000	A	\$ 5.7955	65,989	D			
Diodes Incorporated Common Stock	03/15/2011			S <u>(5)(6)</u>	10,000	D	\$ 28.0068	55,989	D			

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of mDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am or Nui of S
8/1/03 DIOD NQSO	\$ 5.7955	03/15/2011		M <u>(2)</u>	10,000	08/01/2004 <u>(4)</u>	08/01/2013	Diodes Incorporated Common Stock	43

Reporting Owners

Explanation of Responses:

**Signature of Reporting Person

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Exercise pursuant to previously filed 10b5-1 Plan.
- (2) Exercise pursuant to previously filed 10b5-1 Plan.
- (3) Granted under Rule 16b-3 Plan.
- (4) Non-qualified stock options exercisable in three equal annual installments beginning 08/01/2004.
- (5) Sale pursuant to previously filed 10b5-1 Plan.

Reporting Owners

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The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$28.00 to \$28.05, inclusive. The reporting person undertakes to provide to Diodes Incorporated, any security holder of Diodes Incorporated, or

(6) to \$22.05, inclusive. The reporting person undertakes to provide to Diodes incorporated, any security holder of Diodes incorporated, of the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (6) to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.