### GENOCEA BIOSCIENCES, INC.

Form 4

February 02, 2015

# FORM 4

Section 16.

Form 4 or

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Flechtner Jessica Baker

2. Issuer Name and Ticker or Trading Symbol

GENOCEA BIOSCIENCES, INC. [GNCA]

(Middle) 3. Date of Earliest Transaction

C/O GENOCEA BIOSCIENCES, INC., 100 ACORN DRIVE, 5TH **FLOOR** 

(First)

(Street)

4. If Amendment, Date Original

(Month/Day/Year)

01/29/2015

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

Sr. VP of Research

10% Owner

Other (specify

Issuer

below)

Person

Director

X\_ Officer (give title

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

CAMBRIDGE, MA 02140

(City)	(State) (Z	Zip) Table	e I - Non-Do	erivative S	Securi	ties Acq	quired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	on(A) or D: (D)	urities Acquired Disposed of 3, 4 and 5)  (A) or ant (D) Price		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/29/2015		M(1)	1,614	A	\$ 2.38	3,495 (2)	D	
Common Stock	01/29/2015		S <u>(1)</u>	1,614	D	\$ 8.5	1,881	D	
Common Stock	02/02/2015		M <u>(1)</u>	1,614	A	\$ 2.38	3,495	D	
Common Stock	02/02/2015		S(1)	1,614	D	\$ 8.5	1,881	D	
	02/02/2015		M(1)	1,471	A		3,352	D	

### Edgar Filing: GENOCEA BIOSCIENCES, INC. - Form 4

(e.g., puts, calls, warrants, options, convertible securities)

Common 2.38 Stock Common  $S^{(1)}$ 02/02/2015 1,471 D \$ 8.5 1,881 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control

(9-02)

De Se (In

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number conf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exerc Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 2.38	01/29/2015		M(1)		1,614	<u>(3)</u>	06/29/2019	Common Stock	1,614
Stock Option (Right to Buy)	\$ 2.38	02/02/2015		M <u>(1)</u>		1,614	<u>(3)</u>	06/29/2019	Common Stock	1,614
Stock Option (Right to Buy)	\$ 2.38	02/02/2015		M <u>(1)</u>		1,471	<u>(4)</u>	06/29/2019	Common Stock	1,471

# **Reporting Owners**

100 ACORN DRIVE, 5TH FLOOR

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other Flechtner Jessica Baker Sr. VP of Research C/O GENOCEA BIOSCIENCES, INC.

Reporting Owners 2

#### CAMBRIDGE, MA 02140

## **Signatures**

/s/ Robert E. Farrell, Jr., Attorney-in-Fact

02/02/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported transactions were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Amount adjusted to reflect shares acquired under the registrant's Employee Stock Purchase Plan.
- (3) The option vested 6.25% on 06/30/09 and the remainder in equal monthly installments for the next four years thereafter.
- (4) The option vested 6.25% on 06/30/09 and the remainder in equal monthly installments for the next four years thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3