Edgar Filing: PARKERVISION INC - Form 4

PARKERVISION INC

Form 4

December 19, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

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response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * ROSENBAUM PAUL A

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

PARKERVISION INC [PRKR]

(Check all applicable)

(Last)

(City)

Security

(Instr. 3)

(First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

10% Owner Officer (give title Other (specify

7915 BAYMEADOWS WAY, #400

(Street)

(State)

12/15/2016

below)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

X_ Director

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

D

Person

JACKSONVILLE, FL 32256

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if anv

(Zip)

4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) or

Reported Transaction(s)

Code V Amount (D) Price

(Instr. 3 and 4) 190,000

Common 12/15/2016 M 10,000 A <u>(1)</u> Stock

(Month/Day/Year)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	onDerivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	An or Nu of S
Option (Right to Buy	\$ 2.12	12/15/2016		A	50,000		<u>(2)</u>	12/15/2023	Common Stock	50
Restricted Stock Units (RSUs)	(1)	12/15/2016	12/15/2016	A	50,000		(3)	<u>(1)</u>	Common Stock	50
Restricted Stock Units (RSUs)	(1)	12/15/2016	12/15/2016	M		10,000	12/15/2016	<u>(1)</u>	Common Stock	10

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
ROSENBAUM PAUL A							
915 BAYMEADOWS WAY, #400	X						

7915 BAYMEADOWS WAY, #400 JACKSONVILLE, FL 32256

Signatures

/s/ Cynthia
Poehlman

**Signature of Date

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit (RSU) entitles the holder to one share of PRKR common stock upon vesting.
- (2) 50,000 share options granted in on December 15, 2016 in accordance with director equity compensation program. 20% of the share options vest upon grant and the remaining vest in 8 equal quarterly increments beginning 3/15/17.
- (3) 50,000 RSUs granted in on December 15, 2016 in accordance with director equity compensation program. 20% of the RSUs vest on upon grant and the remaining 80% vest in 8 equal quarterly increments beginning 3/15/17.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2