### Edgar Filing: EAGLE PHARMACEUTICALS, INC. - Form 4

EAGLE PHARMACEUTICALS, INC. Form 4 November 14, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading ProQuest Investments IV, L.P. Issuer Symbol EAGLE PHARMACEUTICALS, (Check all applicable) INC. [EGRX] (Last) (First) (Middle) 3. Date of Earliest Transaction Director X\_\_ 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) . 2430 VANDERBILT BEACH 11/10/2016 ROAD, #108 - 190 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting **NAPLES, FL 34109** Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of 7. Nature of 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 6. Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) anv Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Ownership (Instr. 8) Owned Direct (D) Following or Indirect (Instr. 4) Reported  $(\mathbf{I})$ (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) (D) Price Code V Amount Common By ProQuest Stock \$85.1 11/10/2016 S 15,368 D 3,961,919 I Investments (3) \$0.001 par IV, L.P. (1) value Common **By ProOuest** Stock 11/10/2016 S 167 3,961,752 I Investments D \$ 86 \$0.001 par IV, L.P. (1) value Common 11/10/2016 S 100 D \$ 3,961,652 Ι **By ProOuest** 86.06 Stock Investments IV, L.P. (1) \$0.001 par

value

Common Stock \$0.001 par value	S	1,700	D	\$ 87.05 (4)	3,959,952	Ι	By ProQuest Investments IV, L.P. (1)
Common Stock \$0.001 par value	S	300	D	\$ 85	7,677	I	By ProQuest Financial LLC (2)
Common Stock \$0.001 par value	S	1,300	D	\$ 85	26,174	I	By Jay Moorin through IRA
Common Stock 11/11/2016 \$0.001 par value	S	15,551	D	\$ 85 (5)	3,944,401	Ι	By ProQuest Investments IV, L.P. (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	Execution Date, if any	4. Transactio Code	of	6. Date Exerce Expiration D (Month/Day/	ate	7. Title Amour Underl	nt of lying	8. Price of Derivative Security	9. Nu Deriv Secu
(Instr. 3)	Price of Derivative		(Month/Day/Year)	(Instr. 8)	Derivative Securities			Securit (Instr.	3 and 4)	(Instr. 5)	Bene Owne
	Security				Acquired			(	)		Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
						Date Exercisable	Expiration Date	Title	Amount or Number		

Code V (A) (D)

## **Reporting Owners**

**Reporting Owner Name / Address** 

#### Relationships

Director 10% Owner Officer Other

of

Shares

SCHREIBER ALAIN C/O PROQUEST INVESTMENTS 2430 VANDERBILT BEACH ROAD, #108 - 190 NAPLES, FL 34109	X
Moorin Jay C/O PROQUEST INVESTMENTS 2430 VANDERBILT BEACH ROAD, #108 - 190 NAPLES, FL 34109	X
ProQuest Associates IV LLC 2430 VANDERBILT BEACH ROAD, #108 - 190 NAPLES, FL 34109	X
ProQuest Financial LLC 2430 VANDERBILT BEACH ROAD, #108-190 NAPLES, FL 34109	X
ProQuest Investments IV, L.P. 2430 VANDERBILT BEACH ROAD, #108 - 190 NAPLES, FL 34109	

## Signatures

/s/ Pasquale 11/14/2016 DeAngelis 11/14/2016 <u>\*\*Signature of Date</u>

Reporting Person Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares are held by ProQuest Investments IV, L.P. ("Investments IV"), which ProQuest Financial LLC ("ProQuest Financial")
 (1) manages. Jay Moorin and Alain Schreiber are managing members of ProQuest Financial and each of them disclaims beneficial ownership of such securities except to the extent of his pecuniary interest in such securities.

(2) Jay Moorin and Alain Schreiber are managing members of ProQuest Financial and each of them disclaims beneficial ownership of such securities except to the extent of his pecuniary interest in such securities.

Constitutes the weighted average purchase price for multiple transactions reported on this line having prices per share ranging from
 (3) \$85.00 to \$85.82. The Reporting Persons will provide upon request by the Commission staff, the issuer or a security holder of the issuer, full information regarding each separate transaction.

Constitutes the weighted average purchase price for multiple transactions reported on this line having prices per share ranging from
(4) \$87.03 to \$87.09. The Reporting Persons will provide upon request by the Commission staff, the issuer or a security holder of the issuer, full information regarding each separate transaction.

Constitutes the weighted average purchase price for multiple transactions reported on this line having prices per share ranging from
 (5) \$85.00 to \$85.10. The Reporting Persons will provide upon request by the Commission staff, the issuer or a security holder of the issuer, full information regarding each separate transaction.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.