## Edgar Filing: TRI-CONTINENTAL CORP - Form 497

TRI-CONTINENTAL CORP Form 497 August 06, 2010

(COLUMBIA LOGO)

Supplement dated August 6, 2010 to the Prospectus dated May 1, 2010 for Tri-Continental Corporation (the "Corporation")

Effective September 7, 2010, the following changes are made to the Corporation's prospectus:

The information under the section entitled "TRANSFER, STOCKHOLDER SERVICE AND DIVIDEND PAYING AGENT" is replaced with the following:

Columbia Investment Management Services Corp. is the Corporation's transfer, stockholder service agent and dividend paying agent. Columbia Investment Management Services Corp. is located in Boston, MA 02266-8081.

The fifth through eighth sentences in the section entitled "INVESTMENT PLANS AND OTHER SERVICES -- AUTOMATIC DIVIDEND INVESTMENT AND CASH PURCHASE PLAN" are replaced with the following:

Funds forwarded by you under the Plan should be made payable to Tri-Continental Corporation and mailed (if regular mail) to Tri-Continental Corporation, c/o Columbia Investment Management Services Corp., P.O. Box 8081, Boston, MA 02266-8081, and (if express mail) to Tri-Continental Corporation, c/o Columbia Investment Management Services Corp, 30 Dan Road, Canton, MA 02021-2809. Checks for investment must be in U.S. dollars drawn on a domestic bank. You will be assessed a \$15 fee for any checks rejected by your financial institution due to insufficient funds or other reasons. The Corporation does not accept cash, credit card convenience checks, money orders, traveler's checks, starter checks, third or fourth party checks, or other cash equivalents. You should direct all correspondence concerning the Plan to Columbia Investment Management Services Corp., P.O. Box 8081, Boston, MA 02266-8081.

The first and second sentences in the section entitled "SHARE KEEPING SERVICE" are replaced with the following:

You may send certificates for shares of the Corporation's Common Stock to Columbia Investment Management Services Corp. to be placed in your account. Certificates should be sent to Columbia Investment Management Services Corp., P.O. Box 8081, Boston, MA 02266-8081, in each case with a letter requesting that they be placed in your account.

The third paragraph in the section entitled "TAX-DEFERRED RETIREMENT PLANS" is replaced with the following:

For more information, write Retirement Plan Services, Columbia Investment Management Services Corp., P.O. Box 8081, Boston, MA 02266-8081. You may also telephone toll-free by dialing 800.221.2450 in the United States.

The second sentence of the fourth paragraph in the section entitled "METHODS OF PURCHASE" is replaced with the following:

Instructions must be signed by all registered stockholders and should be sent to Columbia Investment Management Services Corp., P.O. Box 8081, Boston, MA 02266-8081.

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The sixth sentence of the fourth paragraph in the section entitled "METHODS OF PURCHASE" is replaced with the following:

Whenever the value of the shares being sold is greater than \$100,000, or the proceeds are to be paid or mailed to an address or payee different from that on our records, the signature of all stockholders must be guaranteed by any financial institution — including commercial banks, credit unions and broker/dealers — that participates in one of the three Medallion Signature Guarantee programs recognized by the Securities and Exchange Commission. These Medallion Signature Guarantee programs are the Securities Transfer Agents Medallion Program (STAMP), the Stock Exchanges Medallion Program (SEMP) and the New York Stock Exchange Medallion Signature Program (MSP).

The last two paragraphs in the section entitled "METHODS OF PURCHASE" are replaced with the following:

General information about the Corporation may be requested by writing to Columbia Investment Management Services Corp., P.O. Box 8081, Boston, MA 02266-8081 or by calling, toll-free, 800.221.2450 in the U.S. You may call 800.221.2450 for information about your account held directly by the Corporation, or you can write to Columbia Investment Management Services Corp., P.O. Box 8081, Boston, MA 02266-8081.

The Service Agent may be telephoned Monday through Friday (except holidays) between the hours of 9:00 a.m. and 6:00 p.m. Eastern time. Your call will be answered by a service representative. 24-HOUR AUTOMATED TELEPHONE ACCESS IS AVAILABLE BY DIALING 800.221.2450, OPTION 3 (WITHIN THE UNITED STATES) ON A TOUCHTONE TELEPHONE, WHICH PROVIDES INSTANT ACCESS TO PRICE, ACCOUNT BALANCE, MOST RECENT TRANSACTION AND OTHER INFORMATION. IN ADDITION, YOU MAY REQUEST ACCOUNT STATEMENTS AND FORM 1099-DIV.

The following forms are removed from the Corporation's prospectus:

- AUTHORIZATION FORM FOR AUTOMATIC DIVIDEND INVESTMENT AND CASH PURCHASE PLAN
- AUTHORIZATION FORM FOR AUTOMATIC CHECK SERVICE

Effective September 7, 2010, the forms listed above are available at tricontinental.com.

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